

Addendum to the
“Technical Support Document
For the April 3, 2009
Pagosa Springs Exceptional Event”



Colorado Department
of Public Health
and Environment

Prepared by the Technical Services Program
Air Pollution Control Division
Colorado Department of Public Health and
Environment

September 30, 2013

1.0 Introduction

This addendum serves to incorporate additional requested information regarding Section 5 “Local Dust Control Measures” of the “Technical Support Document for the April 3, 2009 Pagosa Springs Exceptional Event” submitted to the EPA on June 30, 2012. This addendum addresses additional support regarding local sources and controls outlined in the letter sent by EPA Region 8 on April 2, 2013. This letter states that the Exceptional Event documentation submitted by APCD did not “sufficiently investigate whether local sources of windblown dust may have contributed to the exceedances.” Since receiving the EPA letter, the Colorado Air Pollution Control Division (Division) conducted a thorough investigation, including EPA’s suggestions, of local sources of windblown dust and relevant reasonable controls in place at the time of the April 3, 2009 Exceptional Event. These sources include the nine acre park along South 5th Street, the lots on the southeast corners of U.S. Highway 160 and Main Street/Lewis Street, and the expansion of the Springs Resort and Spa. This addendum documents the results of this investigation.

In addition, this addendum also provides additional information for Section 1 “Introduction” of the “Technical Support Document for the April 3, 2009 Pagosa Springs Exceptional Event” submitted to the EPA on June 30, 2012. Specifically, this addendum provides information regarding prompt public notification of the event, as required in 40 CFR 50.14 (c)(1)(i).

This addendum, the original report, and the analysis and data contained within it, clearly shows that this exceptional event passed the required tests under 40 CFR 50.14 (3)(iv).

2.0 Public Notification

The Federal EER requirements include public notification when an event occurs or is reasonably anticipated to occur which may result in the exceedance of an applicable air quality standard, per 40 CFR 50.14(c)(1)(i).

In 2009, the Division was not providing detailed advisories for the entire state on a website. At that time, the focus of the Division website was AQI reporting and advisories for areas with populations over 350,000, as required in 40 CFR 58.50, as well as advisories for areas under a Natural Events Action Plan. For other areas, phone calls or emails were provided to local officials as forecasting predicted that natural events had a likelihood of occurring. For the high wind/dust event on April 3, 2009, it is unknown if an advisory phone call or email was provided to local officials in Archuleta County or Pagosa Springs as, per State of Colorado archive requirements, phone and email records are not kept for extended periods.

It should be noted that the high values from this exceptional event were not from real-time samplers, but were from filter-based samplers where the values are not known for a few weeks after sampling.

3.0 Not Reasonably Controllable or Preventable: Local Particulate Matter Control Measures

While it is likely that some dust was generated within the local communities as gusts from the regional dust storm passed through the area, the amount of dust generated locally was easily overwhelmed by, and largely unnoticeable as compared to the dust transported in from the source regions of the dust storm. The following sections will describe in detail the regulations and programs in place designed to control PM₁₀ in the affected community. These sections will demonstrate that the event was not reasonably controllable, as laid out in Section 50.1(j) of Title 40 CFR 50, within the context of reasonable local particulate matter control measures.

The Colorado Air Pollution Control Division (Division) conducted thorough analyses and outreach with local governments to confirm that no unusual anthropogenic PM₁₀-producing activities occurred in Pagosa Springs and that despite reasonable control measures in place, high wind conditions overwhelmed all reasonably available controls. The following subsections describe in detail Best Available Control Measures (BACM), other reasonable control measures, applicable federal, state, and local regulations, appropriate land use management, and an in-depth analysis of potential areas of local soil disturbance for the affected community during the March 30, 2012 event, as well as subsequent outreach designed to administer these activities. This information shall confirm that no unusual anthropogenic actions occurred in Pagosa Springs during this time.

Regulatory Measures- State

The Division's regulations on PM₁₀ emissions are summarized in Table 1.

Table 1: State Regulations Regulating Particulate Matter Emissions

Rule/Ordinance	Description
Colorado Department of Public Health and Environment Regulation 1- Emission Control For Particulate Matter, Smoke, Carbon Monoxide, And Sulfur Oxides	Applicable sections include but are not limited to: Everyone who manages a source or activity that is subject to controlling fugitive particulate emissions must employ such control measures and operating procedures through the use of all available practical methods which are technologically feasible and economically reasonable and which reduce, prevent and control emissions so as to facilitate the achievement of the maximum practical degree of air purity in every portion of the State. Section III.D.1.a) Anyone clearing or leveling of land greater than five acres in attainment areas or one acre in non-attainment areas from which fugitive particulate emissions will be emitted are required to use all available and practical methods which are technologically feasible and economically reasonable in order to minimize fugitive particulate emissions.(Section III.D.2.b) Control measures or operational procedures for fugitive particulate emissions to be employed may include planting vegetation cover, providing synthetic

	<p>cover, watering, chemical stabilization, furrows, compacting, minimizing disturbed area in the winter, wind breaks and other methods or techniques approved by the Division. (Section III.D.2.b)</p> <p>Any owner or operator responsible for the construction or maintenance of any existing or new unpaved roadway which has vehicle traffic exceeding 200 vehicles per day in the attainment/maintenance area and surrounding areas must stabilize the roadway in order to minimize fugitive dust emissions (Section III.D.2.a.(i))</p>
<p>Colorado Department of Public Health and Environment Regulation 3- Stationary Source Permitting and Air Pollutant Emission Notice Requirements</p>	<p>Construction Permit required if a land development project exceeds 25 acres and spans longer than 6 months in duration (Section II.D.1.j)</p>
<p>Colorado Department of Public Health and Environment Regulation 6- Standards of Performance for New Stationary Sources</p>	<p>Implements federal standards of performance for new stationary sources including ones that have particulate matter emissions. (Section I)</p>
<p>Colorado Department of Public Health and Environment Regulation 9- Open Burning, Prescribed Fire, and Permitting</p>	<p>Prohibits open burning throughout the state unless a permit has been obtained from the appropriate air pollution control authority. In granting or denying any such permit, the authority will base its action on the potential contribution to air pollution in the area, climatic conditions on the day or days of such burning, and the authority's satisfaction that there is no practical alternate method for the disposal of the material to be burned. Among other permit conditions, the authority granting the permit may impose conditions on wind speed at the time of the burn to minimize smoke impacts on smoke-sensitive areas. (Section III)</p>
<p>Federal Motor Vehicle Emission Control Program</p>	<p>The federal motor vehicle emission control program has reduced PM₁₀ emissions through a continuing process of requiring diesel engine manufacturers to produce new vehicles that meet tighter and tighter emission standards. As older, higher emitting diesel vehicles are replaced with newer vehicles; the PM₁₀ emissions in areas will be reduced.</p>

3.1 Pagosa Springs

Regulatory Measures- City and County

The Division and Archuleta County are responsible for implementing regulatory measures to control emissions from agricultural sources, stationary sources, fugitive dust sources, and open burning within the city limits of Pagosa Springs and Archuleta County. Archuleta County regulations pertaining to PM₁₀ emissions are summarized in Table 2.

Table 2: Rules and Ordinances Regulating Particulate Matter Emissions in Archuleta County

Rule/Ordinance	Description
Pagosa Springs Land Use and Development Code 6.6.3(h)	Requires that all new developments have paved streets.
Pagosa Springs Land Use and Development Code 6.6.3(m)(i)	All new roads having a projected trip generation of 200 or greater ADT (average daily traffic) shall be paved.

The following control measures resulted in the area's attainment of the PM₁₀ NAAQS, and these measures should ensure continued maintenance of the PM₁₀ NAAQS through the year 2021, which is the duration of the maintenance period:

Control of Emissions through Road Paving

The Town of Pagosa Springs paved 6.5 miles of unpaved roads during 1992, 1993, and 1994 in order to reduce PM₁₀ emissions. This strategy was adopted locally in 1991 and included in State regulation in 1992 (Section I.B. of the State Implementation Plan-Specific Regulations for Nonattainment - Attainment/Maintenance Areas (Local Elements)). The rule was approved by EPA in 1994 and was removed from the Colorado regulation in 2000 as the paving requirements had been completed.

Street Sanding Controls

There is a requirement that any user that applies street sanding material on Highway 160 and Highway 84 in the Pagosa Springs attainment/maintenance area must use materials containing less than one percent fines. Users of street sand on these highways must also use 15 percent less sand than an established base sanding amount. These strategies were adopted in 1992 and approved by EPA in 1994, and they are defined in detail in Sections I.B. and C., respectively, of the State Implementation Plan-Specific Regulations for Nonattainment -Attainment/Maintenance Areas (Local Elements) Regulations (5 CCR 1001-20).

Control of Emissions from Stationary Sources

Although there are no stationary sources located in the Pagosa Springs attainment/maintenance area, the State's comprehensive permit rules will limit emissions from any new source that may, in the future, locate in the area. These rules are outlined in Table 1.

As indicated above, emissions from new or modified major stationary sources emissions of PM₁₀ are controlled under AQCC Regulation No. 3's nonattainment-area (NAA) new source review (NSR) permitting requirements. The NSR provisions require all new and modified major stationary sources to apply emission control equipment that achieves the "lowest achievable emission rate" (LAER) and to obtain emission offsets from other stationary sources of PM₁₀.

The EPA approval of the original PM₁₀ Maintenance Plan, effective on 08/14/01, reinstates the prevention of significant deterioration (PSD) permitting requirements in the Pagosa Springs Attainment/Maintenance area. The federal PSD requirements are considered a relaxation from the NAA NSR requirements, as LAER is no longer required and is replaced by the less stringent "best available control technology" (BACT), along with the removal of the requirement to offset PM₁₀ emissions. The future reapplication of NAA NSR provisions appears unlikely in the Pagosa Springs Attainment/Maintenance area based on current PM₁₀ monitoring trends.

Voluntary and State-Only Measures

In addition to the mandatory control measures discussed above, there are other activities that result in the reduction of PM₁₀ emissions that are not classified as “federally enforceable control measures.” Some notable examples include:

The Town of Pagosa Springs has historically cleaned Highway 160 in town throughout the winter and spring using regenerative air vacuum sweepers. The frequency of this voluntary sweeping/cleaning has been about once after each street sanding deployment. The Town of Pagosa Springs is committed to regularly vacuum sweep/clean Highway 160 within four days of the roadway becoming free and clear of snow and ice following each street sanding deployment, as weather, temperature, and street conditions permit, between the intersections of Highway 84 to the east and 14th street to the west. The town also street sweeps side streets regularly.

The Town of Pagosa Springs encourages private businesses to properly clean/sweep private parking lots on a regular basis. These strategies are considered to be voluntary local initiatives intended to reduce PM₁₀ emissions. These strategies are not intended to be federally enforceable.

Archuleta County annually treats about 95 miles of unpaved roadways that exceed 200 ADT with MgCl₂ to control dust and stabilize the road according to the County Public Works Director.

Windblown Dust from Disturbed Soils

Pagosa Springs has a semi-arid climate with approximately 17 inches of precipitation annually. The town is located about 35 miles north of the New Mexico border at 7,000 feet. This area is considered a high desert plateau, creating an unusually mild climate. In winter and spring, regional windstorms are common, especially in drier years. It is during these high velocity windstorms that Pagosa Springs experiences PM₁₀ issues. Figure 1 illustrates potential areas of local soil disturbance that have been evaluated by the Division.



Figure 1: Relative positions of Pagosa Springs PM₁₀ monitor and known or potential disturbed soil. (Image from EPA)

Site A in Figure 1 shows a 1 acre vacant lot (southeast corner of U.S. Highway 160 and Main Street/Lewis Street) that previously contained a small convenience store which was torn down by the new owner between March and April of 2006. Division conversations with neighboring local business owners indicate the owner seeded the vacant lot (site A) with grass soon after demolishing the building. According to several nearby businesses and a court house clerk, the lot has been under continuous vegetative cover since the seeding in 2006. The grass is well maintained and is enclosed by a small fence (shown in Figure 2) to deter people from walking on the grass. Moreover, the lot is not used for parking or storage. As of August 2013, the lot is for sale.



Figure 2: Site A (August 2013)

Site B in Figure 1 (approximately 2 acres) shows part of The Springs Resort and Spa. The resort underwent an expansion where construction began in June 2008 and was completed in May 2009. The construction project was awarded “Gold Status” (second highest award) for complying with the Leadership in Energy & Environmental Design (LEED)¹ standards for New Construction Version 2.2 (Appendix B). The LEED New Construction Standards require the developer to create (during the design phase of the project) and implement an Erosion Control and Sedimentation Control Plan to prevent polluting the air with particulate matter during and after construction. Figure 3 shows the completed hotel addition as of August 2013. The Town of Pagosa Springs has provided Building Inspection Reports (Appendix A) demonstrating that on February 27, 2009, the exterior lath² was inspected. The building inspection report from April 29, 2009 (Appendix A) shows that the hotel was approved for temporary occupancy for 60 days. The final building inspection was completed on June 18, 2009 (Appendix A). From the dates of the Town’s Building inspections it is clear that by April 2009, the entire construction site was paved and the building was completely constructed; the interior was just being finished.

¹ LEED: <http://www.usgbc.org/leed>

² Lath: weatherproofing material that covers the exterior of the building before stucco is applied



Figure 3: Site B- The Springs Resort and Spa (August 2013)

Site C in Figure 1 is a 35-acre area of vacant land that is proposed for future expansion of the Springs Hotel and Spa. According to the Pagosa Springs Parks Department, the area is private property and is entirely naturally vegetated because of a continuous supply of ground water from the nearby stream. The Parks Department also indicates that off-road recreational vehicles are prohibited on the property and signs are posted. The Parks Department is very aware of dust prevention practices and does not believe that the area is a significant source of dust during high winds. Figure 4 demonstrates that site C is fully vegetated as of August 2013. With regard to AQCC Regulation 1 requirements (Section III.D.2.b), the Division considers the natural vegetation with regular ground water availability due to the low-lying terrain to be the appropriate available and practical method that is technologically feasible and economically reasonable in order to minimize fugitive particulate emissions for this lot at this time. Local sources, including the Pagosa Daily Post³, cite the proposed future 35-acre hotel expansion (Site C) to be projected to occur in several phases over a 10-15 year time period.

The Division has conducted appropriate outreach and compliance assistance so the hotel and County are aware of potentially applicable AQCC Regulations, including Regulation 1 (Section III.D.2.b) and Regulation 3 (Construction Permit required if the project exceeds 25 acres and spans longer than 6 months in duration) requirements for future construction projects. The Division has specific Air Pollutant Emissions Notices (APENs) for land development and associated guidance documents posted on its website for these types of sources. Additionally, the Division has staff that conducts Small Business Assistance outreach as warranted. Compliance and enforcement inspectors from the Division are assigned regions throughout the state. As part of their workplans, they are required to be reasonably

³ http://www.pagosadaily.com/news/8417/Springs_Resort_Sketch_Gets_Planning_Commission_Okay/

(within one-two business days) responsive to community and local government concerns and complaints regarding air quality issues, including fugitive dust.



Figure 4: Site C (August 2013)

Site D in Figure 1 is Yamaguchi Park, a 16-acre park consisting of well-maintained turf, irrigated grass, and some stabilized clay associated with a baseball field. The entire park is irrigated on a regular basis to both maintain the vegetation and to mitigate dust. In the fall of 2008, Pagosa Springs hydro-seeded the park which was watered on a regular basis to help the vegetation grow. In Figure 5 and Figure 6, it is apparent that the park has well maintained vegetation and a small amount of stabilized clay (on the baseball field). With regard to AQCC Regulation 1 requirements (Section III.D.2.b), the Division considers hydro-seeding to be the appropriate available and practical method that is technologically feasible and economically reasonable in order to minimize fugitive particulate emissions for this magnitude of construction project.



Figure 5: Yamaguchi Park- Google Image from 10-2011



Figure 6: Site D Yamaguchi Park (August 2013)

The Division conducted thorough assessments to determine if the potential soil disturbances shown in Figure 1 were present during the 2009 exceedances. During the course of these assessments, the Division

discovered that these sites were reasonably controlled during the April 3, 2009 high wind event. Therefore, these sites were not significant contributors to fugitive dust in the Pagosa Springs area during the April 3, 2009 high wind event.

Appendix A-Building Inspection Reports for Expansion of The Springs Resort and Spa from the Town of Pagosa Springs

TOWN OF PAGOSA SPRINGS BUILDING DEPARTMENT

INSPECTION REQUEST

(Submit at least 24 hours before requested inspection)

INSPECTION REQUEST LINE: 264-4151 EXT. 234

Date Received 2/27/09 Time Received 2:00 ^{AM} PM By SP Permit No. 1670

Contractor: OKLAND CONSTRUCTION By Phone Visit

Owner GG LLC Address 323 HORSERINGS BLVD

- | | | | | |
|--|---|--|--|--|
| <p>BUILDING</p> <input checked="" type="checkbox"/> General
<input type="checkbox"/> Excavation
<input type="checkbox"/> Footing
<input type="checkbox"/> Found. Walls/Piers
<input type="checkbox"/> Structural Slab
<input type="checkbox"/> Masonry
<input type="checkbox"/> Framing
<input type="checkbox"/> Insulation
<input type="checkbox"/> Drywall
<input type="checkbox"/> Fireproofing
<input type="checkbox"/> Roofing
<input type="checkbox"/> Final | <p>ELECTRICAL</p> <input type="checkbox"/> General
<input type="checkbox"/> Const. Elec. Serv.
<input type="checkbox"/> Underground
<input type="checkbox"/> Underslab
<input type="checkbox"/> Rough Elec.
<input type="checkbox"/> Temp. Elec. Service
<input type="checkbox"/> Motor/Controls
<input type="checkbox"/> Mobile Home Elec. Serv.
<input type="checkbox"/> Final | <p>PLUMBING</p> <input type="checkbox"/> General
<input type="checkbox"/> Underslab DWV
<input type="checkbox"/> Rough Plumbing
<input type="checkbox"/> DWV
<input type="checkbox"/> Water Pipe
<input type="checkbox"/> Roof Drain
<input type="checkbox"/> Irrigation System
<input type="checkbox"/> Water Meter
<input type="checkbox"/> Final | <p>MECHANICAL</p> <input type="checkbox"/> General
<input type="checkbox"/> Rough Mechanical
<input type="checkbox"/> Gas pipe - Building
<input type="checkbox"/> Gas Pipe - Yard
<input type="checkbox"/> Gas Vent
<input type="checkbox"/> Duct Work
<input type="checkbox"/> Heat Piping
<input type="checkbox"/> Gas Appliance
<input type="checkbox"/> Vent Equip.
<input type="checkbox"/> Refrigeration
<input type="checkbox"/> Fireplace/Woodstove
<input type="checkbox"/> Mobile Home Gas Pipe
<input type="checkbox"/> Final | <p>OTHER</p> <input type="checkbox"/> General Pool/Spa
<input type="checkbox"/> Rough Pool/Spa
<input type="checkbox"/> Final Pool/Spa
<input type="checkbox"/> Rough Solar
<input type="checkbox"/> Final Solar
<input type="checkbox"/> Road Cut |
|--|---|--|--|--|

Ready for Inspection: Mon Tue Wed Thu Fri AM ___ PM ___

INSPECTION REPORT

Item	Work Inspected	OK	N	NA	Remarks
		OK	N	NA	
	EXPANSION LATH				
	PARTIAL	X			* BALCONY #216 GUARD WALLS & RAIL WRAP ONLY

Approved Disapproved Reinspection Required

Date 2/27/09 Time 4:20 ^{AM} _{PM} Inspector SP Page 1 Of 1

Building Inspection Report from the Town of Pagosa Springs for the Expansion of The Springs Resort and Spa- 2/27/2009

TOWN OF PAGOSA SPRINGS BUILDING DEPARTMENT

INSPECTION REQUEST

(Submit at least 24 hours before requested inspection)

INSPECTION REQUEST LINE: 264-4151 EXT. 234

Date Received 4/29/09 Time Received 3:00 ^{AM} ~~PM~~ By [Signature] Permit No. 1670

Contractor: OKLAND CONST. WAYNE 749-8942 By Phone Visit

Owner GG, LLC. Address 323 Hot Springs BLVD

- | | | | | |
|--|---|--|--|--|
| BUILDING
<input type="checkbox"/> General
<input type="checkbox"/> Excavation
<input type="checkbox"/> Footing
<input type="checkbox"/> Found. Walls/Piers
<input type="checkbox"/> Structural Slab
<input type="checkbox"/> Masonry
<input type="checkbox"/> Framing
<input type="checkbox"/> Insulation
<input type="checkbox"/> Drywall
<input type="checkbox"/> Fireproofing
<input type="checkbox"/> Roofing
<input checked="" type="checkbox"/> Final | ELECTRICAL
<input type="checkbox"/> General
<input type="checkbox"/> Const. Elec. Serv.
<input type="checkbox"/> Underground
<input type="checkbox"/> Underslab
<input type="checkbox"/> Rough Elec.
<input type="checkbox"/> Temp. Elec. Service
<input type="checkbox"/> Motor/Controls
<input type="checkbox"/> Mobile Home Elec. Serv.
<input type="checkbox"/> Final | PLUMBING
<input type="checkbox"/> General
<input type="checkbox"/> Underslab DWV
<input type="checkbox"/> Rough Plumbing
<input type="checkbox"/> DWV
<input type="checkbox"/> Water Pipe
<input type="checkbox"/> Roof Drain
<input type="checkbox"/> Irrigation System
<input type="checkbox"/> Water Meter
<input type="checkbox"/> Final | MECHANICAL
<input type="checkbox"/> General
<input type="checkbox"/> Rough Mechanical
<input type="checkbox"/> Gas pipe - Building
<input type="checkbox"/> Gas Pipe - Yard
<input type="checkbox"/> Gas Vent
<input type="checkbox"/> Duct Work
<input type="checkbox"/> Heat Piping
<input type="checkbox"/> Gas Appliance
<input type="checkbox"/> Vent Equip.
<input type="checkbox"/> Refrigeration
<input type="checkbox"/> Fireplace/Woodstove
<input type="checkbox"/> Mobile Home Gas Pipe
<input type="checkbox"/> Final | OTHER
<input type="checkbox"/> General Pool/Spa
<input type="checkbox"/> Rough Pool/Spa
<input type="checkbox"/> Final Pool/Spa
<input type="checkbox"/> Rough Solar
<input type="checkbox"/> Final Solar
<input type="checkbox"/> Road Cut |
|--|---|--|--|--|

Ready for Inspection: MAY 18 Mon Tue Wed Thu Fri AM PM

INSPECTION REPORT

Item	Work Inspected	OK	N/OK	NA	Remarks
1)	Final Final				* ACCESSIBLE DESIGNATED WATER CLOSETS MUST BE 17" MIN TO TOP OF SEAT.
	Building Inspection	X			* NEEDED PERMANENT SIGNAGE AT ALL REQUIRED AREAS. SEE PLANS, REVIEW COMMENTS & SPEC BOOK FOR LOCATIONS
					* NEEDED MECHANICAL FINAL INSPECTION APPROVAL - SEE REPORT
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: fit-content; margin: 0 auto;"> <p style="margin: 0;">APPROVED FOR TEMPORARY 60 DAY CERTIFICATE OF OCCUPANCY.</p> </div>					

Approved

Disapproved

Reinspection Required

Date 5/1/09 Time 3:25 AM PM Inspector [Signature] Page 1 of 1

Building Inspection Report from the Town of Pagosa Springs for the Expansion of The Springs Resort and Spa- 4/29/2009

**Appendix B-LEED for New Construction and Major Renovations
Version 2.2**

LEED® for New Construction & Major Renovations



Version 2.2

For Public Use and Display

October 2005

Table of Contents

Introduction	4
Sustainable Sites	8
SS Prerequisite 1: Construction Activity Pollution Prevention	8
SS Credit 1: Site Selection	9
SS Credit 2: Development Density & Community Connectivity	10
SS Credit 3: Brownfield Redevelopment	11
SS Credit 4.1: Alternative Transportation: Public Transportation Access	12
SS Credit 4.2: Alternative Transportation: Bicycle Storage & Changing Rooms	13
SS Credit 4.3: Alternative Transportation: Low Emitting & Fuel Efficient Vehicles	14
SS Credit 4.4: Alternative Transportation: Parking Capacity	15
SS Credit 5.1: Site Development: Protect or Restore Habitat	16
SS Credit 5.2: Site Development: Maximize Open Space	17
SS Credit 6.1: Stormwater Design: Quantity Control	18
SS Credit 6.2: Stormwater Design: Quality Control	19
SS Credit 7.1: Heat Island Effect: Non-Roof	20
SS Credit 7.2: Heat Island Effect: Roof	21
SS Credit 8: Light Pollution Reduction	22
Water Efficiency	24
WE Credit 1.1: Water Efficient Landscaping: Reduce by 50%	24
WE Credit 1.2: Water Efficient Landscaping: No Potable Water Use or No Irrigation	25
WE Credit 2: Innovative Wastewater Technologies	26
WE Credit 3.1: Water Use Reduction: 20% Reduction	27
WE Credit 3.2: Water Use Reduction: 30% Reduction	28
Energy & Atmosphere	29
EA Prerequisite 1: Fundamental Commissioning of the Building Energy Systems	29
EA Prerequisite 2: Minimum Energy Performance	31
EA Prerequisite 3: Fundamental Refrigerant Management	32
EA Credit 1: Optimize Energy Performance	33
EA Credit 2: On-Site Renewable Energy	36
EA Credit 3: Enhanced Commissioning	37
EA Credit 4: Enhanced Refrigerant Management	39
EA Credit 5: Measurement & Verification	41
EA Credit 6: Green Power	42

Materials & Resources	43
MR Prerequisite 1: Storage & Collection of Recyclables	43
MR Credit 1.1: Building Reuse: Maintain 75% of Existing Walls, Floors & Roof	44
MR Credit 1.2: Building Reuse - Maintain 95% of Existing Walls, Floors & Roof	45
MR Credit 1.3: Building Reuse: Maintain 50% of Interior Non-Structural Elements	46
MR Credit 2.1: Construction Waste Management: Divert 50% From Disposal	47
MR Credit 2.2: Construction Waste Management: Divert 75% From Disposal	48
MR Credit 3.1: Materials Reuse: 5%	49
MR Credit 3.2: Materials Reuse: 10%	50
MR Credit 4.1: Recycled Content: 10% (post-consumer + 1/2 pre-consumer)	51
MR Credit 4.2: Recycled Content: 20% (post-consumer + 1/2 pre-consumer)	52
MR Credit 5.1: Regional Materials: 10% Extracted, Processed & Manufactured Regionally	53
MR Credit 5.2: Regional Materials: 20% Extracted, Processed & Manufactured Regionally	54
MR Credit 6: Rapidly Renewable Materials	55
MR Credit 7: Certified Wood	56
Indoor Environmental Quality	57
EQ Prerequisite 1: Minimum IAQ Performance	57
EQ Prerequisite 2: Environmental Tobacco Smoke (ETS) Control	58
EQ Credit 1: Outdoor Air Delivery Monitoring	60
EQ Credit 2: Increased Ventilation	61
EQ Credit 3.1: Construction IAQ Management Plan: During Construction	62
EQ Credit 3.2: Construction IAQ Management Plan: Before Occupancy	63
EQ Credit 4.1: Low-Emitting Materials: Adhesives & Sealants	65
EQ Credit 4.2: Low-Emitting Materials: Paints & Coatings	67
EQ Credit 4.3: Low-Emitting Materials: Carpet Systems	68
EQ Credit 4.4: Low-Emitting Materials: Composite Wood & Agrifiber Products	69
EQ Credit 5: Indoor Chemical & Pollutant Source Control	70
EQ Credit 6.1: Controllability of Systems: Lighting	71
EQ Credit 6.2: Controllability of Systems: Thermal Comfort	72
EQ Credit 7.1: Thermal Comfort: Design	73
EQ Credit 7.2: Thermal Comfort: Verification	74
EQ Credit 8.1: Daylight & Views: Daylight 75% of Spaces	75
EQ Credit 8.2: Daylight & Views: Views for 90% of Spaces	76
Innovation & Design Process	77
ID Credit 1–1.4: Innovation in Design	77
ID Credit 2: LEED Accredited Professional	78

Introduction

Leadership in Energy and Environmental Design (LEED®)

Buildings fundamentally impact people's lives and the health of the planet. In the United States, buildings use one-third of our total energy, two-thirds of our electricity, one-eighth of our water, and transform land that provides valuable ecological resources. Since the LEED Green Building Rating System for New Construction (LEED-NC version 2.0) was first published in 1999, it has been helping professionals across the country to improve the quality of our buildings and their impact on the environment.

As the green building sector grows exponentially, more and more building professionals, owners, and operators are seeing the benefits of green building and LEED certification. Green design not only makes a positive impact on public health and the environment, it also reduces operating costs, enhances building and organizational marketability, potentially increases occupant productivity, and helps create a sustainable community. LEED fits into this market by providing rating systems that are voluntary, consensus-based, market-driven, based on accepted energy and environmental principles, and they strike a balance between established practices and emerging concepts.

The LEED rating systems are developed by USGBC committees, in adherence with USGBC policies and procedures guiding the development and maintenance of rating systems. LEED-NC version 2.2 is only possible due to the generous volunteer efforts of many individuals, and has been in development for over 2 years. LEED-NC is one of a growing portfolio of rating systems serving specific market sectors.

LEED for Schools

The LEED for New Construction Rating System is applicable to new commercial construction and major renovation projects.

Why Certify?

While LEED Rating Systems can be useful just as tools for building professionals, there are many reasons why LEED project certification can be an asset:

- Be recognized for your commitment to environmental issues in your community, your organization (including stockholders), and your industry;
- Receive third party validation of achievement;
- Qualify for a growing array of state & local government initiatives;
- Receive marketing exposure through USGBC Web site, Greenbuild conference, case studies, and media announcements.

Certification Process

Project teams interested in obtaining LEED certification for their project must first register online. Registration during early phases of the project will ensure maximum potential for certification. The LEED website, www.leedbuilding.org, contains important details about the certification review process, schedule and fees. The applicant project must satisfactorily document achievement of all the prerequisites and a minimum number of points. See the LEED for New Construction project checklist for the number of points required to achieve LEED for New Construction rating levels.

Additional LEED Resources

Visit the LEED Web site for available tools and support, such as the LEED for New Construction Version 2.2 Reference Guide (essential for all LEED for New Construction project teams), technical support via Credit Interpretations, and training workshops.

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Project Checklist

Sustainable Sites		14 Possible Points
Prereq 1	Construction Activity Pollution Prevention	Required
Credit 1	Site Selection	1
Credit 2	Development Density & Community Connectivity	1
Credit 3	Brownfield Redevelopment	1
Credit 4.1	Alternative Transportation , Public Transportation Access	1
Credit 4.2	Alternative Transportation , Bicycle Storage & Changing Rooms	1
Credit 4.3	Alternative Transportation , Low Emitting & Fuel Efficient Vehicles	1
Credit 4.4	Alternative Transportation , Parking Capacity	1
Credit 5.1	Site Development , Protect or Restore Habitat	1
Credit 5.2	Site Development , Maximize Open Space	1
Credit 6.1	Stormwater Design , Quantity Control	1
Credit 6.2	Stormwater Design , Quality Control	1
Credit 7.1	Heat Island Effect , Non-Roof	1
Credit 7.2	Heat Island Effect , Roof	1
Credit 8	Light Pollution Reduction	1
Water Efficiency		5 Possible Points
Credit 1.1	Water Efficient Landscaping , Reduce by 50%	1
Credit 1.2	Water Efficient Landscaping , No Potable Use or No Irrigation	1
Credit 2	Innovative Wastewater Technologies	1
Credit 3.1	Water Use Reduction , 20% Reduction	1
Credit 3.2	Water Use Reduction , 30% Reduction	1
Energy & Atmosphere		17 Possible Points
Prereq 1	Fundamental Commissioning of the Building Energy Systems	Required
Prereq 2	Minimum Energy Performance	Required
Prereq 3	Fundamental Refrigerant Management	Required
Credit 1	Optimize Energy Performance	1–10
Credit 2	On-Site Renewable Energy	1–3
Credit 3	Enhanced Commissioning	1
Credit 4	Enhanced Refrigerant Management	1
Credit 5	Measurement & Verification	1
Credit 6	Green Power	1
Materials & Resources		13 Possible Points
Prereq 1	Storage & Collection of Recyclables	Required
Credit 1.1	Building Reuse , Maintain 75% of Existing Walls, Floors & Roof	1
Credit 1.2	Building Reuse , Maintain 95% of Existing Walls, Floors & Roof	1
Credit 1.3	Building Reuse , Maintain 50% of Interior Non-Structural Elements	1
Credit 2.1	Construction Waste Management , Divert 50% from Disposal	1

Credit 2.2	Construction Waste Management , Divert 75% from Disposal	1
Credit 3.1	Materials Reuse , 5%	1
Credit 3.2	Materials Reuse , 10%	1
Credit 4.1	Recycled Content , 10% (post-consumer + 1/2 pre-consumer)	1
Credit 4.2	Recycled Content , 20% (post-consumer + 1/2 pre-consumer)	1
Credit 5.1	Regional Materials , 10% Extracted, Processed & Manufactured Regionally	1
Credit 5.2	Regional Materials , 20% Extracted, Processed & Manufactured Regionally	1
Credit 6	Rapidly Renewable Materials	1
Credit 7	Certified Wood	1

Indoor Environmental Quality

15 Possible Points

Prereq 1	Minimum IAQ Performance	Required
Prereq 2	Environmental Tobacco Smoke (ETS) Control	Required
Credit 1	Outdoor Air Delivery Monitoring	1
Credit 2	Increased Ventilation	1
Credit 3.1	Construction IAQ Management Plan , During Construction	1
Credit 3.2	Construction IAQ Management Plan , Before Occupancy	1
Credit 4.1	Low-Emitting Materials , Adhesives & Sealants	1
Credit 4.2	Low-Emitting Materials , Paints & Coatings	1
Credit 4.3	Low-Emitting Materials , Carpet Systems	1
Credit 4.4	Low-Emitting Materials , Composite Wood & Agrifiber Products	1
Credit 5	Indoor Chemical & Pollutant Source Control	1
Credit 6.1	Controllability of Systems , Lighting	1
Credit 6.2	Controllability of Systems , Thermal Comfort	1
Credit 7.1	Thermal Comfort , Design	1
Credit 7.2	Thermal Comfort , Verification	1
Credit 8.1	Daylight & Views , Daylight 75% of Spaces	1
Credit 8.2	Daylight & Views , Views for 90% of Spaces	1

Innovation & Design Process

5 Possible Points

Credit 1.1	Innovation in Design	1
Credit 1.2	Innovation in Design	1
Credit 1.3	Innovation in Design	1
Credit 1.4	Innovation in Design	1
Credit 2	LEED Accredited Professional	1

Project Totals

69 Possible Points

Certified 26–32 points ■ **Silver** 33–38 points ■ **Gold** 39–51 points ■ **Platinum** 52–69 points

Sustainable Sites

SS Prerequisite 1: Construction Activity Pollution Prevention Required

Intent

Reduce pollution from construction activities by controlling soil erosion, waterway sedimentation and airborne dust generation.

Requirements

Create and implement an Erosion and Sedimentation Control (ESC) Plan for all construction activities associated with the project. The ESC Plan shall conform to the erosion and sedimentation requirements of the 2003 EPA Construction General Permit OR local erosion and sedimentation control standards and codes, whichever is more stringent. The Plan shall describe the measures implemented to accomplish the following objectives:

- Prevent loss of soil during construction by stormwater runoff and/or wind erosion, including protecting topsoil by stockpiling for reuse.
- Prevent sedimentation of storm sewer or receiving streams.
- Prevent polluting the air with dust and particulate matter.

The Construction General Permit (CGP) outlines the provisions necessary to comply with Phase I and Phase II of the National Pollutant Discharge Elimination System (NPDES) program. While the CGP only applies to construction sites greater than 1 acre, the requirements are applied to all projects for the purposes of this prerequisite. Information on the EPA CGP is available at: <http://cfpub.epa.gov/npdes/stormwater/cgp.cfm>.

Potential Technologies & Strategies

Create an Erosion and Sedimentation Control Plan during the design phase of the project. Consider employing strategies such as temporary and permanent seeding, mulching, earth dikes, silt fencing, sediment traps and sediment basins.

SS Credit 1: Site Selection

1 Point

Intent

Avoid development of inappropriate sites and reduce the environmental impact from the location of a building on a site.

Requirements

Do not develop buildings, hardscape, roads or parking areas on portions of sites that meet any one of the following criteria:

- Prime farmland as defined by the United States Department of Agriculture in the United States Code of Federal Regulations, Title 7, Volume 6, Parts 400 to 699, Section 657.5 (citation 7CFR657.5)
- Previously undeveloped land whose elevation is lower than 5 feet above the elevation of the 100-year flood as defined by FEMA (Federal Emergency Management Agency)
- Land that is specifically identified as habitat for any species on Federal or State threatened or endangered lists
- Within 100 feet of any wetlands as defined by United States Code of Federal Regulations 40 CFR, Parts 230-233 and Part 22, and isolated wetlands or areas of special concern identified by state or local rule, OR within setback distances from wetlands prescribed in state or local regulations, as defined by local or state rule or law, whichever is more stringent
- Previously undeveloped land that is within 50 feet of a water body, defined as seas, lakes, rivers, streams and tributaries which support or could support fish, recreation or industrial use, consistent with the terminology of the Clean Water Act
- Land which prior to acquisition for the project was public parkland, unless land of equal or greater value as parkland is accepted in trade by the public landowner (Park Authority projects are exempt)

Potential Technologies & Strategies

During the site selection process, give preference to those sites that do not include sensitive site elements and restrictive land types. Select a suitable building location and design the building with the minimal footprint to minimize site disruption of those environmentally sensitive areas identified above.

SS Credit 2: Development Density & Community Connectivity

1 Point

Intent

Channel development to urban areas with existing infrastructure, protect greenfields and preserve habitat and natural resources.

Requirements

OPTION 1 — DEVELOPMENT DENSITY

Construct or renovate building on a previously developed site AND in a community with a minimum density of 60,000 square feet per acre net (Note: density calculation must include the area of the project being built and is based on a typical two-story downtown development).

OR

OPTION 2 — COMMUNITY CONNECTIVITY

Construct or renovate building on a previously developed site AND within 1/2 mile of a residential zone or neighborhood with an average density of 10 units per acre net AND within 1/2 mile of at least 10 Basic Services AND with pedestrian access between the building and the services.

Basic Services include, but are not limited to:

1) Bank; 2) Place of Worship; 3) Convenience Grocery; 4) Day Care; 5) Cleaners; 6) Fire Station; 7) Beauty; 8) Hardware; 9) Laundry; 10) Library; 11) Medical/Dental; 12) Senior Care Facility; 13) Park; 14) Pharmacy; 15) Post Office; 16) Restaurant; 17) School; 18) Supermarket; 19) Theater; 20) Community Center; 21) Fitness Center; 22) Museum.

Proximity is determined by drawing a 1/2 mile radius around the main building entrance on a site map and counting the services within that radius.

Potential Technologies & Strategies

During the site selection process, give preference to urban sites with pedestrian access to a variety of services.

SS Credit 3: Brownfield Redevelopment

1 Point

Intent

Rehabilitate damaged sites where development is complicated by environmental contamination, reducing pressure on undeveloped land.

Requirements

Develop on a site documented as contaminated (by means of an ASTM E1903-97 Phase II Environmental Site Assessment or a local Voluntary Cleanup Program) OR on a site defined as a brownfield by a local, state or federal government agency.

Potential Technologies & Strategies

During the site selection process, give preference to brownfield sites. Identify tax incentives and property cost savings. Coordinate site development plans with remediation activity, as appropriate.

SS Credit 4.1: Alternative Transportation: Public Transportation Access

1 Point

Intent

Reduce pollution and land development impacts from automobile use.

Requirements

Locate project within 1/2 mile of an existing, or planned and funded, commuter rail, light rail or subway station.

OR

Locate project within 1/4 mile of one or more stops for two or more public or campus bus lines usable by building occupants.

Potential Technologies & Strategies

Perform a transportation survey of future building occupants to identify transportation needs. Site the building near mass transit.

SS Credit 4.2: Alternative Transportation: Bicycle Storage & Changing Rooms

1 Point

Intent

Reduce pollution and land development impacts from automobile use.

Requirements

For commercial or institutional buildings, provide secure bicycle racks and/or storage (within 200 yards of a building entrance) for 5% or more of all building users (measured at peak periods), AND, provide shower and changing facilities in the building, or within 200 yards of a building entrance, for 0.5% of Full-Time Equivalent (FTE) occupants.

OR

For residential buildings, provide covered storage facilities for securing bicycles for 15% or more of building occupants in lieu of changing/shower facilities.

Potential Technologies & Strategies

Design the building with transportation amenities such as bicycle racks and showering/changing facilities.

SS Credit 4.3: Alternative Transportation: Low Emitting & Fuel Efficient Vehicles

1 Point

Intent

Reduce pollution and land development impacts from automobile use.

Requirements

OPTION 1

Provide low-emitting and fuel-efficient vehicles for 3% of Full-Time Equivalent (FTE) occupants AND provide preferred parking for these vehicles.

OR

OPTION 2

Provide preferred parking for low-emitting and fuel-efficient vehicles for 5% of the total vehicle parking capacity of the site.

OR

OPTION 3

Install alternative-fuel refueling stations for 3% of the total vehicle parking capacity of the site (liquid or gaseous fueling facilities must be separately ventilated or located outdoors).

For the purposes of this credit, low-emitting and fuel-efficient vehicles are defined as vehicles that are either classified as Zero Emission Vehicles (ZEV) by the California Air Resources Board or have achieved a minimum green score of 40 on the American Council for an Energy Efficient Economy (ACEEE) annual vehicle rating guide.

"Preferred parking" refers to the parking spots that are closest to the main entrance of the project (exclusive of spaces designated for handicapped) or parking passes provided at a discounted price.

Potential Technologies & Strategies

Provide transportation amenities such as alternative fuel refueling stations. Consider sharing the costs and benefits of refueling stations with neighbors.

SS Credit 4.4: Alternative Transportation: Parking Capacity

1 Point

Intent

Reduce pollution and land development impacts from single occupancy vehicle use.

Requirements

OPTION 1 — NON-RESIDENTIAL

- Size parking capacity to meet, but not exceed, minimum local zoning requirements, AND, provide preferred parking for carpools or vanpools for 5% of the total provided parking spaces.

OR

OPTION 2 — NON-RESIDENTIAL

For projects that provide parking for less than 5% of FTE building occupants:

- Provide preferred parking for carpools or vanpools, marked as such, for 5% of total provided parking spaces.

OR

OPTION 3 — RESIDENTIAL

- Size parking capacity to not exceed minimum local zoning requirements, AND, provide infrastructure and support programs to facilitate shared vehicle usage such as carpool drop-off areas, designated parking for vanpools, or car-share services, ride boards, and shuttle services to mass transit.

OR

OPTION 4 — ALL

Provide no new parking.

"Preferred parking" refers to the parking spots that are closest to the main entrance of the project (exclusive of spaces designated for handicapped) or parking passes provided at a discounted price.

Potential Technologies & Strategies

Minimize parking lot/garage size. Consider sharing parking facilities with adjacent buildings. Consider alternatives that will limit the use of single occupancy vehicles.

SS Credit 5.1: Site Development: Protect or Restore Habitat

1 Point

Intent

Conserve existing natural areas and restore damaged areas to provide habitat and promote biodiversity.

Requirements

OPTION 1

On greenfield sites, limit all site disturbance to 40 feet beyond the building perimeter; 10 feet beyond surface walkways, patios, surface parking and utilities less than 12 inches in diameter; 15 feet beyond primary roadway curbs and main utility branch trenches; and 25 feet beyond constructed areas with permeable surfaces (such as pervious paving areas, stormwater detention facilities and playing fields) that require additional staging areas in order to limit compaction in the constructed area.

OR

OPTION 2

On previously developed or graded sites, restore or protect a minimum of 50% of the site area (excluding the building footprint) with native or adapted vegetation. Native/adapted plants are plants indigenous to a locality or cultivars of native plants that are adapted to the local climate and are not considered invasive species or noxious weeds. Projects earning SS Credit 2 and using vegetated roof surfaces may apply the vegetated roof surface to this calculation if the plants meet the definition of native/adapted.

Greenfield sites are those that are not previously developed or graded and remain in a natural state. Previously developed sites are those that previously contained buildings, roadways, parking lots, or were graded or altered by direct human activities.

Potential Technologies & Strategies

On greenfield sites, perform a site survey to identify site elements and adopt a master plan for development of the project site. Carefully site the building to minimize disruption to existing ecosystems and design the building to minimize its footprint. Strategies include stacking the building program, tuck-under parking and sharing facilities with neighbors. Establish clearly marked construction boundaries to minimize disturbance of the existing site and restore previously degraded areas to their natural state. For previously developed sites, utilize local and regional governmental agencies, consultants, educational facilities, and native plant societies as resources for the selection of appropriate native or adapted plant materials. Prohibit plant materials listed as invasive or noxious weed species. Native/adapted plants require minimal or no irrigation following establishment, do not require active maintenance such as mowing or chemical inputs such as fertilizers, pesticides or herbicides, and provide habitat value and promote biodiversity through avoidance of monoculture plantings.

SS Credit 5.2: Site Development: Maximize Open Space

1 Point

Intent

Provide a high ratio of open space to development footprint to promote biodiversity.

Requirements

OPTION 1

Reduce the development footprint (defined as the total area of the building footprint, hardscape, access roads and parking) and/or provide vegetated open space within the project boundary to exceed the local zoning's open space requirement for the site by 25%.

OR

OPTION 2

For areas with no local zoning requirements (e.g., some university campuses, military bases), provide vegetated open space area adjacent to the building that is equal to the building footprint.

OR

OPTION 3

Where a zoning ordinance exists, but there is no requirement for open space (zero), provide vegetated open space equal to 20% of the project's site area.

ALL OPTIONS:

- For projects located in urban areas that earn SS Credit 2, vegetated roof areas can contribute to credit compliance.
- For projects located in urban areas that earn SS Credit 2, pedestrian oriented hardscape areas can contribute to credit compliance. For such projects, a minimum of 25% of the open space counted must be vegetated.
- Wetlands or naturally designed ponds may count as open space if the side slope gradients average 1:4 (vertical: horizontal) or less and are vegetated.

Potential Technologies & Strategies

Perform a site survey to identify site elements and adopt a master plan for development of the project site. Select a suitable building location and design the building with a minimal footprint to minimize site disruption. Strategies include stacking the building program, tuck-under parking and sharing facilities with neighbors to maximize open space on the site.

SS Credit 6.1: Stormwater Design: Quantity Control

1 Point

Intent

Limit disruption of natural water hydrology by reducing impervious cover, increasing on-site infiltration, reducing or eliminating pollution from stormwater runoff, and eliminating contaminants.

Requirements

CASE 1 — EXISTING IMPERVIOUSNESS IS LESS THAN OR EQUAL TO 50%

Implement a stormwater management plan that prevents the post-development peak discharge rate and quantity from exceeding the pre-development peak discharge rate and quantity for the one- and two-year 24-hour design storms.

OR

Implement a stormwater management plan that protects receiving stream channels from excessive erosion by implementing a stream channel protection strategy and quantity control strategies.

OR

CASE 2 — EXISTING IMPERVIOUSNESS IS GREATER THAN 50%

Implement a stormwater management plan that results in a 25% decrease in the volume of stormwater runoff from the two-year 24-hour design storm.

Potential Technologies & Strategies

Design the project site to maintain natural stormwater flows by promoting infiltration. Specify vegetated roofs, pervious paving, and other measures to minimize impervious surfaces. Reuse stormwater volumes generated for non-potable uses such as landscape irrigation, toilet and urinal flushing and custodial uses.

SS Credit 6.2: Stormwater Design: Quality Control

1 Point

Intent

Limit disruption and pollution of natural water flows by managing stormwater runoff.

Requirements

Implement a stormwater management plan that reduces impervious cover, promotes infiltration, and captures and treats the stormwater runoff from 90% of the average annual rainfall¹ using acceptable best management practices (BMPs).

BMPs used to treat runoff must be capable of removing 80% of the average annual post development total suspended solids (TSS) load based on existing monitoring reports. BMPs are considered to meet these criteria if (1) they are designed in accordance with standards and specifications from a state or local program that has adopted these performance standards, or (2) there exists in-field performance monitoring data demonstrating compliance with the criteria. Data must conform to accepted protocol (e.g., Technology Acceptance Reciprocity Partnership [TARP], Washington State Department of Ecology) for BMP monitoring.

Potential Technologies & Strategies

Use alternative surfaces (e.g., vegetated roofs, pervious pavement or grid pavers) and nonstructural techniques (e.g., rain gardens, vegetated swales, disconnection of imperviousness, rainwater recycling) to reduce imperviousness and promote infiltration thereby reducing pollutant loadings.

Use sustainable design strategies (e.g., Low Impact Development, Environmentally Sensitive Design) to design integrated natural and mechanical treatment systems such as constructed wetlands, vegetated filters, and open channels to treat stormwater runoff.

¹ In the United States, there are three distinct climates that influence the nature and amount of rainfall occurring on an annual basis. Humid watersheds are defined as those that receive at least 40 inches of rainfall each year, Semi-arid watersheds receive between 20 and 40 inches of rainfall per year, and Arid watersheds receive less than 20 inches of rainfall per year. For this credit, 90% of the average annual rainfall is equivalent to treating the runoff from:

- (a) Humid Watersheds – 1 inch of rainfall;
- (b) Semi-arid Watersheds – 0.75 inches of rainfall; and
- (c) Arid Watersheds – 0.5 inches of rainfall.

SS Credit 7.1: Heat Island Effect: Non-Roof

1 Point

Intent

Reduce heat islands (thermal gradient differences between developed and undeveloped areas) to minimize impact on microclimate and human and wildlife habitat.

Requirements

OPTION 1

Provide any combination of the following strategies for 50% of the site hardscape (including roads, sidewalks, courtyards and parking lots):

- Shade (within 5 years of occupancy)
- Paving materials with a Solar Reflectance Index (SRI)² of at least 29
- Open grid pavement system

OR

OPTION 2

Place a minimum of 50% of parking spaces under cover (defined as under ground, under deck, under roof, or under a building). Any roof used to shade or cover parking must have an SRI of at least 29.

Potential Technologies & Strategies

Shade constructed surfaces on the site with landscape features and utilize high-reflectance materials for hardscape. Consider replacing constructed surfaces (i.e. roof, roads, sidewalks, etc.) with vegetated surfaces such as vegetated roofs and open grid paving or specify high-albedo materials to reduce the heat absorption.

² The Solar Reflectance Index (SRI) is a measure of the constructed surface's ability to reflect solar heat, as shown by a small temperature rise. It is defined so that a standard black (reflectance 0.05, emittance 0.90) is 0 and a standard white (reflectance 0.80, emittance 0.90) is 100. To calculate the SRI for a given material, obtain the reflectance value and emittance value for the material. SRI is calculated according to ASTM E 1980-01. Reflectance is measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance is measured according to ASTM E 408 or ASTM C 1371. Default values for some materials will be available in the LEED for New Construction v2.2 Reference Guide.

SS Credit 7.2: Heat Island Effect: Roof

1 Point

Intent

Reduce heat islands (thermal gradient differences between developed and undeveloped areas) to minimize impact on microclimate and human and wildlife habitat.

Requirements

OPTION 1

Use roofing materials having a Solar Reflectance Index (SRI)³ equal to or greater than the values in the table below for a minimum of 75% of the roof surface.

OR

OPTION 2

Install a vegetated roof for at least 50% of the roof area.

OR

OPTION 3

Install high albedo and vegetated roof surfaces that, in combination, meet the following criteria:

$(\text{Area of SRI Roof} / 0.75) + (\text{Area of vegetated roof} / 0.5) \geq \text{Total Roof Area}$

Roof Type	Slope	SRI
Low-Sloped Roof	≤2:12	78
Steep-Sloped Roof	>2:12	29

Potential Technologies & Strategies

Consider installing high-albedo and vegetated roofs to reduce heat absorption. SRI is calculated according to ASTM E 1980. Reflectance is measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance is measured according to ASTM E 408 or ASTM C 1371. Default values will be available in the LEED for New Construction v2.2 Reference Guide. Product information is available from the Cool Roof Rating Council website, at www.coolroofs.org.

³ The Solar Reflectance Index (SRI) is a measure of the constructed surface's ability to reflect solar heat, as shown by a small temperature rise. It is defined so that a standard black (reflectance 0.05, emittance 0.90) is 0 and a standard white (reflectance 0.80, emittance 0.90) is 100. To calculate the SRI for a given material, obtain the reflectance value and emittance value for the material. SRI is calculated according to ASTM E 1980. Reflectance is measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance is measured according to ASTM E 408 or ASTM C 1371.

SS Credit 8: Light Pollution Reduction

1 Point

Intent

Minimize light trespass from the building and site, reduce sky-glow to increase night sky access, improve night-time visibility through glare reduction, and reduce development impact on nocturnal environments.

Requirements

FOR INTERIOR LIGHTING

The angle of maximum candela from each interior luminaire as located in the building shall intersect opaque building interior surfaces and not exit out through the windows.

OR

All non-emergency interior lighting shall be automatically controlled to turn off during non-business hours. Provide manual override capability for after hours use.

AND

FOR EXTERIOR LIGHTING

Only light areas as required for safety and comfort. Do not exceed 80% of the lighting power densities for exterior areas and 50% for building facades and landscape features as defined in ASHRAE/IESNA Standard 90.1-2004, Exterior Lighting Section, without amendments.

All projects shall be classified under one of the following zones, as defined in IESNA RP-33, and shall follow all of the requirements for that specific zone:

LZ1 — Dark (Park and Rural Settings)

Design exterior lighting so that all site and building mounted luminaires produce a maximum initial illuminance value no greater than 0.01 horizontal and vertical footcandles at the site boundary and beyond. Document that 0% of the total initial designed fixture lumens are emitted at an angle of 90 degrees or higher from nadir (straight down).

LZ2 — Low (Residential areas)

Design exterior lighting so that all site and building mounted luminaires produce a maximum initial illuminance value no greater than 0.10 horizontal and vertical footcandles at the site boundary and no greater than 0.01 horizontal footcandles 10 feet beyond the site boundary. Document that no more than 2% of the total initial designed fixture lumens are emitted at an angle of 90 degrees or higher from nadir (straight down). For site boundaries that abut public rights-of-way, light trespass requirements may be met relative to the curb line instead of the site boundary.

LZ3 — Medium (Commercial/Industrial, High-Density Residential)

Design exterior lighting so that all site and building mounted luminaires produce a maximum initial illuminance value no greater than 0.20 horizontal and vertical footcandles at the site boundary and no greater than 0.01 horizontal footcandles 15 feet beyond the site. Document that no more than 5% of the total initial designed fixture lumens are emitted at an angle of 90 degrees or higher from nadir (straight down). For site boundaries

that abut public rights-of-way, light trespass requirements may be met relative to the curb line instead of the site boundary.

LZ4 — High (Major City Centers, Entertainment Districts)

Design exterior lighting so that all site and building mounted luminaires produce a maximum initial illuminance value no greater than 0.60 horizontal and vertical footcandles at the site boundary and no greater than 0.01 horizontal footcandles 15 feet beyond the site. Document that no more than 10% of the total initial designed site lumens are emitted at an angle of 90 degrees or higher from nadir (straight down). For site boundaries that abut public rights-of-way, light trespass requirements may be met relative to the curb line instead of the site boundary.

Potential Technologies & Strategies

Adopt site lighting criteria to maintain safe light levels while avoiding off-site lighting and night sky pollution. Minimize site lighting where possible and model the site lighting using a computer model. Technologies to reduce light pollution include full cutoff luminaires, low-reflectance surfaces and low-angle spotlights.

Water Efficiency

WE Credit 1.1: Water Efficient Landscaping: Reduce by 50%

1 Point

Intent

Limit or eliminate the use of potable water, or other natural surface or subsurface water resources available on or near the project site, for landscape irrigation.

Requirements

Reduce potable water consumption for irrigation by 50% from a calculated mid-summer baseline case.

Reductions shall be attributed to any combination of the following items:

- Plant species factor
- Irrigation efficiency
- Use of captured rainwater
- Use of recycled wastewater
- Use of water treated and conveyed by a public agency specifically for non-potable uses

Potential Technologies & Strategies

Perform a soil/climate analysis to determine appropriate plant material and design the landscape with native or adapted plants to reduce or eliminate irrigation requirements. Where irrigation is required, use high-efficiency equipment and/or climate-based controllers.

WE Credit 1.2: Water Efficient Landscaping: No Potable Water Use or No Irrigation

1 Point in addition to WE Credit 1.1

Intent

Eliminate the use of potable water, or other natural surface or subsurface water resources available on or near the project site, for landscape irrigation.

Requirements

Achieve WE Credit 1.1 and:

Use only captured rainwater, recycled wastewater, recycled greywater, or water treated and conveyed by a public agency specifically for non-potable uses for irrigation.

OR

Install landscaping that does not require permanent irrigation systems. Temporary irrigation systems used for plant establishment are allowed only if removed within one year of installation.

Potential Technologies & Strategies

Perform a soil/climate analysis to determine appropriate landscape types and design the landscape with indigenous plants to reduce or eliminate irrigation requirements. Consider using stormwater, greywater, and/or condensate water for irrigation.

WE Credit 2: Innovative Wastewater Technologies

1 Point

Intent

Reduce generation of wastewater and potable water demand, while increasing the local aquifer recharge.

Requirements

OPTION 1

Reduce potable water use for building sewage conveyance by 50% through the use of water-conserving fixtures (water closets, urinals) or non-potable water (captured rainwater, recycled greywater, and on-site or municipally treated wastewater).

OR

OPTION 2

Treat 50% of wastewater on-site to tertiary standards. Treated water must be infiltrated or used on-site.

Potential Technologies & Strategies

Specify high-efficiency fixtures and dry fixtures such as composting toilet systems and non-water using urinals to reduce wastewater volumes. Consider reusing stormwater or greywater for sewage conveyance or on-site wastewater treatment systems (mechanical and/or natural). Options for on-site wastewater treatment include packaged biological nutrient removal systems, constructed wetlands, and high-efficiency filtration systems.

WE Credit 3.1: Water Use Reduction: 20% Reduction

1 Point

Intent

Maximize water efficiency within buildings to reduce the burden on municipal water supply and wastewater systems.

Requirements

Employ strategies that in aggregate use 20% less water than the water use baseline calculated for the building (not including irrigation) after meeting the Energy Policy Act of 1992 fixture performance requirements. Calculations are based on estimated occupant usage and shall include only the following fixtures (as applicable to the building): water closets, urinals, lavatory faucets, showers and kitchen sinks.

Potential Technologies & Strategies

Use high-efficiency fixtures, dry fixtures such as composting toilet systems and non-water using urinals, and occupant sensors to reduce the potable water demand. Consider reuse of stormwater and greywater for non-potable applications such as toilet and urinal flushing and custodial uses.

WE Credit 3.2: Water Use Reduction: 30% Reduction

1 Point in addition to WE Credit 3.1

Intent

Maximize water efficiency within buildings to reduce the burden on municipal water supply and wastewater systems.

Requirements

Employ strategies that in aggregate use 30% less water than the water use baseline calculated for the building (not including irrigation) after meeting the Energy Policy Act of 1992 fixture performance requirements. Calculations are based on estimated occupant usage and shall include only the following fixtures (as applicable to the building): water closets, urinals, lavatory faucets, showers and kitchen sinks.

Potential Technologies & Strategies

Use high-efficiency fixtures, dry fixtures such as composting toilets and waterless urinals, and occupant sensors to reduce the potable water demand. Consider reuse of stormwater and greywater for non-potable applications such as toilet and urinal flushing, mechanical systems and custodial uses.

Energy & Atmosphere

EA Prerequisite 1: Fundamental Commissioning of the Building Energy Systems

Required

Intent

Verify that the building's energy related systems are installed, calibrated and perform according to the owner's project requirements, basis of design, and construction documents.

Benefits of Commissioning

Benefits of commissioning include reduced energy use, lower operating costs, reduced contractor callbacks, better building documentation, improved occupant productivity, and verification that the systems perform in accordance with the owner's project requirements.

Requirements

The following commissioning process activities shall be completed by the commissioning team, in accordance with the LEED for New Construction 2.2 Reference Guide.

- 1) Designate an individual as the Commissioning Authority (CxA) to lead, review and oversee the completion of the commissioning process activities.
 - a) The CxA shall have documented commissioning authority experience in at least two building projects.
 - b) The individual serving as the CxA shall be independent of the project's design and construction management, though they may be employees of the firms providing those services. The CxA may be a qualified employee or consultant of the Owner.
 - c) The CxA shall report results, findings and recommendations directly to the Owner.
 - d) For projects smaller than 50,000 gross square feet, the CxA may include qualified persons on the design or construction teams who have the required experience.
- 2) The Owner shall document the Owner's Project Requirements (OPR). The design team shall develop the Basis of Design (BOD). The CxA shall review these documents for clarity and completeness. The Owner and design team shall be responsible for updates to their respective documents.
- 3) Develop and incorporate commissioning requirements into the construction documents.
- 4) Develop and implement a commissioning plan.
- 5) Verify the installation and performance of the systems to be commissioned.
- 6) Complete a summary commissioning report.

Commissioned Systems

Commissioning process activities shall be completed for the following energy-related systems, at a minimum:

- Heating, ventilating, air conditioning, and refrigeration (HVAC&R) systems (mechanical and passive) and associated controls
- Lighting and daylighting controls

-
- Domestic hot water systems
 - Renewable energy systems (wind, solar etc.)

Potential Technologies & Strategies

Owners are encouraged to seek out qualified individuals to lead the commissioning process. Qualified individuals are identified as those who possess a high level of experience in the following areas:

- Energy systems design, installation and operation
- Commissioning planning and process management
- Hands-on field experience with energy systems performance, interaction, start-up, balancing, testing, troubleshooting, operation, and maintenance procedures
- Energy systems automation control knowledge

Owners are encouraged to consider including water-using systems, building envelope systems, and other systems in the scope of the commissioning plan as appropriate. The building envelope is an important component of a facility which impacts energy consumption, occupant comfort and indoor air quality. While it is not required to be commissioned by LEED, an owner can receive significant financial savings and reduced risk of poor indoor air quality by including building envelope commissioning.

The LEED for New Construction 2.2 Reference Guide provides guidance on the rigor expected for this prerequisite for the following:

- Owner's project requirements
- Basis of design
- Commissioning plan
- Commissioning specification
- Performance verification documentation
- Commissioning report

EA Prerequisite 2: Minimum Energy Performance Required

Intent

Establish the minimum level of energy efficiency for the proposed building and systems.

Requirements

Design the building project to comply with both—

- the mandatory provisions (Sections 5.4, 6.4, 7.4, 8.4, 9.4 and 10.4) of ASHRAE/IESNA Standard 90.1-2004 (without amendments); and
- the prescriptive requirements (Sections 5.5, 6.5, 7.5 and 9.5) or performance requirements (Section 11) of ASHRAE/IESNA Standard 90.1-2004 (without amendments).

Potential Technologies & Strategies

Design the building envelope, HVAC, lighting, and other systems to maximize energy performance. The ASHRAE 90.1-2004 User's Manual contains worksheets that can be used to document compliance with this prerequisite. For projects pursuing points under EA Credit 1, the computer simulation model may be used to confirm satisfaction of this prerequisite.

If a local code has demonstrated quantitative and textual equivalence following, at a minimum, the U.S. Department of Energy standard process for commercial energy code determination, then it may be used to satisfy this prerequisite in lieu of ASHRAE 90.1-2004. Details on the DOE process for commercial energy code determination can be found at www.energycodes.gov/Implement/determinations_com.stm.

EA Prerequisite 3: Fundamental Refrigerant Management Required

Intent

Reduce ozone depletion.

Requirements

Zero use of CFC-based refrigerants in new base building HVAC&R systems. When reusing existing base building HVAC equipment, complete a comprehensive CFC phase-out conversion prior to project completion. Phase-out plans extending beyond the project completion date will be considered on their merits.

Potential Technologies & Strategies

When reusing existing HVAC systems, conduct an inventory to identify equipment that uses CFC refrigerants and provide a replacement schedule for these refrigerants. For new buildings, specify new HVAC equipment in the base building that uses no CFC refrigerants.

EA Credit 1: Optimize Energy Performance

1–10 Points

Two (2) points mandatory for all LEED for New Construction projects registered after June 26, 2007

Intent

Achieve increasing levels of energy performance above the baseline in the prerequisite standard to reduce environmental and economic impacts associated with excessive energy use.

Requirements

Select one of the four compliance path options described below. Project teams documenting achievement using any of these options are assumed to be in compliance with EA Prerequisite 2.

NOTE: LEED for New Construction projects registered after June 26th, 2007 are required to achieve at least two (2) points under EA1.

OPTION 1 — WHOLE BUILDING ENERGY SIMULATION (1–10 Points)

Demonstrate a percentage improvement in the proposed building performance rating compared to the baseline building performance rating per ASHRAE/IESNA Standard 90.1-2004 by a whole building project simulation using the Building Performance Rating Method in Appendix G of the Standard. The minimum energy cost savings percentage for each point threshold is as follows:

New Buildings	Existing Building Renovations	Points
10.5%	3.5%	1
14%	7%	2
17.5%	10.5%	3
21%	14%	4
24.5%	17.5%	5
28%	21%	6
31.5%	24.5%	7
35%	28%	8
38.5%	31.5%	9
42%	35%	10

* *Note: Only projects registered prior to June 26, 2007 may pursue 1 point under EA1.*

Appendix G of Standard 90.1-2004 requires that the energy analysis done for the Building Performance Rating Method include ALL of the energy costs within and associated with the building project. To achieve points using this credit, the proposed design—

- must comply with the mandatory provisions (Sections 5.4, 6.4, 7.4, 8.4, 9.4 and 10.4) in Standard 90.1-2004;
- must include all the energy costs within and associated with the building project; and

-
- must be compared against a baseline building that complies with Appendix G to Standard 90.1-2004. The default process energy cost is 25% of the total energy cost for the baseline building. For buildings where the process energy cost is less than 25% of the baseline building energy cost, the LEED submittal must include supporting documentation substantiating that process energy inputs are appropriate.

For the purpose of this analysis, process energy is considered to include, but is not limited to, office and general miscellaneous equipment, computers, elevators and escalators, kitchen cooking and refrigeration, laundry washing and drying, lighting exempt from the lighting power allowance (e.g., lighting integral to medical equipment) and other (e.g., waterfall pumps). Regulated (non-process) energy includes lighting (such as for the interior, parking garage, surface parking, façade, or building grounds, except as noted above), HVAC (such as for space heating, space cooling, fans, pumps, toilet exhaust, parking garage ventilation, kitchen hood exhaust, etc.), and service water heating for domestic or space heating purposes.

For EA Credit 1, process loads shall be identical for both the baseline building performance rating and for the proposed building performance rating. However, project teams may follow the Exceptional Calculation Method (ASHRAE 90.1-2004 G2.5) to document measures that reduce process loads. Documentation of process load energy savings shall include a list of the assumptions made for both the base and proposed design, and theoretical or empirical information supporting these assumptions.

OR

OPTION 2 — PRESCRIPTIVE COMPLIANCE PATH: ASHRAE Advanced Energy Design Guide for Small Office Buildings 2004 (4 Points)

Comply with the prescriptive measures of the ASHRAE Advanced Energy Design Guide for Small Office Buildings 2004. The following restrictions apply:

- Buildings must be under 20,000 square feet.
- Buildings must be office occupancy.
- Project teams must fully comply with all applicable criteria as established in the Advanced Energy Design Guide for the climate zone in which the building is located.

OR

OPTION 3 — PRESCRIPTIVE COMPLIANCE PATH: Advanced Buildings™ Core Performance™ Guide (2-5 Points)

Comply with the prescriptive measures identified in the Advanced Buildings™ Core Performance™ Guide developed by the New Buildings Institute. The following restrictions apply:

- Buildings must be under 100,000 square feet.
- Buildings may NOT be health care, warehouse or laboratory projects.
- Project teams must fully comply with Sections One, Design Process Strategies, and Two, Core Performance Requirements.

Minimum points achieved under Option 3 (2-3 points):

- Three (3) points are available for all office, school, public assembly, and retail projects under 100,000 square feet that comply with Sections One and Two of the Core Performance Guide.
- Two (2) points are available for all other project types under 100,000 square feet (except health care, warehouse, or laboratory projects) that implement the basic requirements of the Core Performance Guide

Additional points available under Option 3 (up to 2 additional points):

Up to two (2) additional points are available to projects that implement performance strategies listed in Section Three, Enhanced Performance. For every three strategies implemented from this section, one point is available.

Any strategies applicable to the project may be implemented except:

- 3.1-Cool Roofs
- 3.8-Night Venting
- 3.13-Additional Commissioning

These strategies are addressed by different aspects of the LEED program and are not eligible for additional points under EA Credit 1.

OR

OPTION 4 — PRESCRIPTIVE COMPLIANCE PATH: Advanced Buildings Benchmark™ Basic Criteria and Prescriptive Measures (1 Point)

Note: projects registered after June 26, 2007 may not use this option

Comply with the Basic Criteria and Prescriptive Measures of the Advanced Buildings Benchmark™ Version 1.1 with the exception of the following sections: 1.7 Monitoring and Trend-logging, 1.11 Indoor Air Quality, and 1.14 Networked Computer Monitor Control. The following restrictions apply:

Project teams must fully comply with all applicable criteria as established in Advanced Buildings Benchmark for the climate zone in which the building is located.

Potential Technologies & Strategies

Design the building envelope and systems to maximize energy performance. Use a computer simulation model to assess the energy performance and identify the most cost-effective energy efficiency measures. Quantify energy performance as compared to a baseline building.

If a local code has demonstrated quantitative and textual equivalence following, at a minimum, the U.S. Department of Energy standard process for commercial energy code determination, then the results of that analysis may be used to correlate local code performance with ASHRAE 90.1-2004. Details on the DOE process for commercial energy code determination can be found at www.energycodes.gov/implement/determinations_com.stm.

EA Credit 2: On-Site Renewable Energy

1–3 Points

Intent

Encourage and recognize increasing levels of on-site renewable energy self-supply in order to reduce environmental and economic impacts associated with fossil fuel energy use.

Requirements

Use on-site renewable energy systems to offset building energy cost. Calculate project performance by expressing the energy produced by the renewable systems as a percentage of the building annual energy cost and using the table below to determine the number of points achieved.

Use the building annual energy cost calculated in EA Credit 1 or use the Department of Energy (DOE) Commercial Buildings Energy Consumption Survey (CBECS) database to determine the estimated electricity use. (Table of use for different building types is provided in the Reference Guide.)

% Renewable Energy	Points
2.5%	1
7.5%	2
12.5%	3

Potential Technologies & Strategies

Assess the project for non-polluting and renewable energy potential including solar, wind, geothermal, low-impact hydro, biomass and bio-gas strategies. When applying these strategies, take advantage of net metering with the local utility.

EA Credit 3: Enhanced Commissioning

1 Point

Intent

Begin the commissioning process early during the design process and execute additional activities after systems performance verification is completed.

Requirements

Implement, or have a contract in place to implement, the following additional commissioning process activities in addition to the requirements of EA Prerequisite 1 and in accordance with the LEED for New Construction 2.2 Reference Guide:

1. Prior to the start of the construction documents phase, designate an independent Commissioning Authority (CxA) to lead, review, and oversee the completion of all commissioning process activities. The CxA shall, at a minimum, perform Tasks 2, 3 and 6. Other team members may perform Tasks 4 and 5.
 - a. The CxA shall have documented commissioning authority experience in at least two building projects.
 - b. The individual serving as the CxA shall be—
 - i. independent of the work of design and construction;
 - ii. not an employee of the design firm, though they may be contracted through them;
 - iii. not an employee of, or contracted through, a contractor or construction manager holding construction contracts; and
 - iv. (can be) a qualified employee or consultant of the Owner.
 - c. The CxA shall report results, findings and recommendations directly to the Owner.
 - d. This requirement has no deviation for project size.
2. The CxA shall conduct, at a minimum, one commissioning design review of the Owner's Project Requirements (OPR), Basis of Design (BOD), and design documents prior to mid-construction documents phase and back-check the review comments in the subsequent design submission.
3. The CxA shall review contractor submittals applicable to systems being commissioned for compliance with the OPR and BOD. This review shall be concurrent with A/E reviews and submitted to the design team and the Owner.
4. Develop a systems manual that provides future operating staff the information needed to understand and optimally operate the commissioned systems.
5. Verify that the requirements for training operating personnel and building occupants are completed.
6. Assure the involvement by the CxA in reviewing building operation within 10 months after substantial completion with O&M staff and occupants. Include a plan for resolution of outstanding commissioning-related issues.

Potential Technologies & Strategies

Although it is preferable that the CxA be contracted by the Owner, for the enhanced commissioning credit, the CxA may also be contracted through the design firms or construction management firms not holding construction contracts.

The LEED for New Construction 2.2 Reference Guide provides detailed guidance on the rigor expected for following process activities:

- Commissioning design review
- Commissioning submittal review
- Systems manual

EA Credit 4: Enhanced Refrigerant Management

1 Point

Intent

Reduce ozone depletion and support early compliance with the Montreal Protocol while minimizing direct contributions to global warming.

Requirements

OPTION 1

Do not use refrigerants.

OR

OPTION 2

Select refrigerants and HVAC&R that minimize or eliminate the emission of compounds that contribute to ozone depletion and global warming. The base building HVAC&R equipment shall comply with the following formula, which sets a maximum threshold for the combined contributions to ozone depletion and global warming potential:

$$LCGWP + LCODP \times 105 \leq 100$$

Where:

$$LCODP = [ODPr \times (Lr \times Life + Mr) \times Rc] / Life$$

$$LCGWP = [GWPr \times (Lr \times Life + Mr) \times Rc] / Life$$

LCODP: Lifecycle Ozone Depletion Potential (lbCFC11/Ton-Year)

LCGWP: Lifecycle Direct Global Warming Potential (lbCO₂/Ton-Year)

GWPr: Global Warming Potential of Refrigerant (0 to 12,000 lbCO₂/lbr)

ODPr: Ozone Depletion Potential of Refrigerant (0 to 0.2 lbCFC11/lbr)

Lr: Refrigerant Leakage Rate (0.5% to 2.0%; default of 2% unless otherwise demonstrated)

Mr: End-of-life Refrigerant Loss (2% to 10%; default of 10% unless otherwise demonstrated)

Rc: Refrigerant Charge (0.5 to 5.0 lbs of refrigerant per ton of cooling capacity)

Life: Equipment Life (10 years; default based on equipment type, unless otherwise demonstrated)

For multiple types of equipment, a weighted average of all base building level HVAC&R equipment shall be applied using the following formula:

$$[\sum (LCGWP + LCODP \times 105) \times Q_{unit}] / Q_{total} \leq 100$$

Where:

Q_{unit} = Cooling capacity of an individual HVAC or refrigeration unit (Tons)

Q_{total} = Total cooling capacity of all HVAC or refrigeration

Small HVAC units (defined as containing less than 0.5 lbs of refrigerant), and other equipment such as standard refrigerators, small water coolers, and any other cooling equipment that contains less than 0.5 lbs of refrigerant, are not considered part of the "base building" system and are not subject to the requirements of this credit.

AND

Do not install fire suppression systems that contain ozone-depleting substances (CFCs, HCFCs or Halons).

Potential Technologies & Strategies

Design and operate the facility without mechanical cooling and refrigeration equipment. Where mechanical cooling is used, utilize base building HVAC and refrigeration systems for the refrigeration cycle that minimize direct impact on ozone depletion and global warming. Select HVAC&R equipment with reduced refrigerant charge and increased equipment life. Maintain equipment to prevent leakage of refrigerant to the atmosphere. Utilize fire suppression systems that do not contain HCFCs or Halons.

EA Credit 5: Measurement & Verification

1 Point

Intent

Provide for the ongoing accountability of building energy consumption over time.

Requirements

- ❑ Develop and implement a Measurement & Verification (M&V) Plan consistent with Option D: Calibrated Simulation (Savings Estimation Method 2), or Option B: Energy Conservation Measure Isolation, as specified in the *International Performance Measurement & Verification Protocol (IPMVP) Volume III: Concepts and Options for Determining Energy Savings in New Construction, April, 2003*.
- ❑ The M&V period shall cover a period of no less than one year of post-construction occupancy.

Potential Technologies & Strategies

Develop an M&V Plan to evaluate building and/or energy system performance. Characterize the building and/or energy systems through energy simulation or engineering analysis. Install the necessary metering equipment to measure energy use. Track performance by comparing predicted performance to actual performance, broken down by component or system as appropriate. Evaluate energy efficiency by comparing actual performance to baseline performance.

While the IPMVP describes specific actions for verifying savings associated with energy conservation measures (ECMs) and strategies, this LEED credit expands upon typical IPMVP M&V objectives. M&V activities should not necessarily be confined to energy systems where ECMs or energy conservation strategies have been implemented. The IPMVP provides guidance on M&V strategies and their appropriate applications for various situations. These strategies should be used in conjunction with monitoring and trend logging of significant energy systems to provide for the ongoing accountability of building energy performance.

EA Credit 6: Green Power

1 Point

Intent

Encourage the development and use of grid-source, renewable energy technologies on a net zero pollution basis.

Requirements

Provide at least 35% of the building's electricity from renewable sources by engaging in at least a two-year renewable energy contract. Renewable sources are as defined by the Center for Resource Solutions (CRS) Green-e products certification requirements.

DETERMINE THE BASELINE ELECTRICITY USE

Use the annual electricity consumption from the results of EA Credit 1.

OR

Use the Department of Energy (DOE) Commercial Buildings Energy Consumption Survey (CBECS) database to determine the estimated electricity use.

Potential Technologies & Strategies

Determine the energy needs of the building and investigate opportunities to engage in a green power contract. Green power is derived from solar, wind, geothermal, biomass or low-impact hydro sources. Visit www.green-e.org for details about the Green-e program. The power product purchased to comply with credit requirements need not be Green-e certified. Other sources of green power are eligible if they satisfy the Green-e program's technical requirements. Renewable energy certificates (RECs), tradable renewable certificates (TRCs), green tags and other forms of green power that comply with Green-e's technical requirements can be used to document compliance with EA Credit 6 requirements.

Materials & Resources

MR Prerequisite 1: Storage & Collection of Recyclables Required

Intent

Facilitate the reduction of waste generated by building occupants that is hauled to and disposed of in landfills.

Requirements

Provide an easily accessible area that serves the entire building and is dedicated to the collection and storage of non-hazardous materials for recycling, including (at a minimum) paper, corrugated cardboard, glass, plastics and metals.

Potential Technologies & Strategies

Coordinate the size and functionality of the recycling areas with the anticipated collection services for glass, plastic, office paper, newspaper, cardboard and organic wastes to maximize the effectiveness of the dedicated areas. Consider employing cardboard balers, aluminum can crushers, recycling chutes and collection bins at individual workstations to further enhance the recycling program.

MR Credit 1.1: Building Reuse: Maintain 75% of Existing Walls, Floors & Roof

1 Point

Intent

Extend the life cycle of existing building stock, conserve resources, retain cultural resources, reduce waste and reduce environmental impacts of new buildings as they relate to materials manufacturing and transport.

Requirements

Maintain at least 75% (based on surface area) of existing building structure (including structural floor and roof decking) and envelope (exterior skin and framing, excluding window assemblies and non-structural roofing material). Hazardous materials that are remediated as a part of the project scope shall be excluded from the calculation of the percentage maintained. If the project includes an addition to an existing building, this credit is not applicable if the square footage of the addition is more than 2 times the square footage of the existing building.

Potential Technologies & Strategies

Consider reuse of existing, previously occupied buildings, including structure, envelope and elements. Remove elements that pose contamination risk to building occupants and upgrade components that would improve energy and water efficiency such as windows, mechanical systems and plumbing fixtures.

MR Credit 1.2: Building Reuse: Maintain 95% of Existing Walls, Floors & Roof

1 Point in addition to MR Credit 1.1

Intent

Extend the life cycle of existing building stock, conserve resources, retain cultural resources, reduce waste and reduce environmental impacts of new buildings as they relate to materials manufacturing and transport.

Requirements

Maintain an additional 20% (95% total, based on surface area) of existing building structure (including structural floor and roof decking) and envelope (exterior skin and framing, excluding window assemblies and non-structural roofing material). Hazardous materials that are re-mediated as a part of the project scope shall be excluded from the calculation of the percentage maintained. If the project includes an addition to an existing building, this credit is not applicable if the square footage of the addition is more than 2 times the square footage of the existing building.

Potential Technologies & Strategies

Consider reuse of existing buildings, including structure, envelope and elements. Remove elements that pose contamination risk to building occupants and upgrade components that would improve energy and water efficiency such as windows, mechanical systems and plumbing fixtures.

MR Credit 1.3: Building Reuse: Maintain 50% of Interior Non-Structural Elements

1 Point

Intent

Extend the life cycle of existing building stock, conserve resources, retain cultural resources, reduce waste and reduce environmental impacts of new buildings as they relate to materials manufacturing and transport.

Requirements

Use existing interior non-structural elements (interior walls, doors, floor coverings and ceiling systems) in at least 50% (by area) of the completed building (including additions). If the project includes an addition to an existing building, this credit is not applicable if the square footage of the addition is more than 2 times the square footage of the existing building.

Potential Technologies & Strategies

Consider reuse of existing buildings, including structure, envelope and interior non-structural elements. Remove elements that pose contamination risk to building occupants and upgrade components that would improve energy and water efficiency, such as mechanical systems and plumbing fixtures. Quantify the extent of building reuse.

MR Credit 2.1: Construction Waste Management: Divert 50% From Disposal

1 Point

Intent

Divert construction, demolition and land-clearing debris from disposal in landfills and incinerators. Redirect recyclable recovered resources back to the manufacturing process. Redirect reusable materials to appropriate sites.

Requirements

Recycle and/or salvage at least 50% of non-hazardous construction and demolition debris. Develop and implement a construction waste management plan that, at a minimum, identifies the materials to be diverted from disposal and whether the materials will be sorted on-site or co-mingled. Excavated soil and land-clearing debris do not contribute to this credit. Calculations can be done by weight or volume, but must be consistent throughout.

Potential Technologies & Strategies

Establish goals for diversion from disposal in landfills and incinerators and adopt a construction waste management plan to achieve these goals. Consider recycling cardboard, metal, brick, acoustical tile, concrete, plastic, clean wood, glass, gypsum wallboard, carpet and insulation. Designate a specific area(s) on the construction site for segregated or co-mingled collection of recyclable materials, and track recycling efforts throughout the construction process. Identify construction haulers and recyclers to handle the designated materials. Note that diversion may include donation of materials to charitable organizations and salvage of materials on-site.

MR Credit 2.2: Construction Waste Management: Divert 75% From Disposal

1 Point in addition to MR Credit 2.1

Intent

Divert construction and demolition debris from disposal in landfills and incinerators. Redirect recyclable recovered resources back to the manufacturing process. Redirect reusable materials to appropriate sites.

Requirements

Recycle and/or salvage an additional 25% beyond MR Credit 2.1 (75% total) of non-hazardous construction and demolition debris. Excavated soil and land-clearing debris do not contribute to this credit. Calculations can be done by weight or volume, but must be consistent throughout.

Potential Technologies & Strategies

Establish goals for diversion from disposal in landfills and incinerators and adopt a construction waste management plan to achieve these goals. Consider recycling cardboard, metal, brick, acoustical tile, concrete, plastic, clean wood, glass, gypsum wallboard, carpet and insulation. Designate a specific area(s) on the construction site for segregated or commingled collection of recyclable materials, and track recycling efforts throughout the construction process. Identify construction haulers and recyclers to handle the designated materials. Note that diversion may include donation of materials to charitable organizations and salvage of materials on-site.

MR Credit 3.1: Materials Reuse: 5%

1 Point

Intent

Reuse building materials and products in order to reduce demand for virgin materials and to reduce waste, thereby reducing impacts associated with the extraction and processing of virgin resources.

Requirements

Use salvaged, refurbished or reused materials such that the sum of these materials constitutes at least 5%, based on cost, of the total value of materials on the project.

Mechanical, electrical and plumbing components and specialty items such as elevators and equipment shall not be included in this calculation. Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Potential Technologies & Strategies

Identify opportunities to incorporate salvaged materials into building design and research potential material suppliers. Consider salvaged materials such as beams and posts, flooring, paneling, doors and frames, cabinetry and furniture, brick and decorative items.

MR Credit 3.2: Materials Reuse: 10%

1 Point in addition to MR Credit 3.1

Intent

Reuse building materials and products in order to reduce demand for virgin materials and to reduce waste, thereby reducing impacts associated with the extraction and processing of virgin resources.

Requirements

Use salvaged, refurbished or reused materials for an additional 5% beyond MR Credit 3.1 (10% total, based on cost).

Mechanical, electrical and plumbing components and specialty items such as elevators and equipment shall not be included in this calculation. Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Potential Technologies & Strategies

Identify opportunities to incorporate salvaged materials into building design and research potential material suppliers. Consider salvaged materials such as beams and posts, flooring, paneling, doors and frames, cabinetry and furniture, brick and decorative items.

MR Credit 4.1: Recycled Content: 10% (post-consumer + 1/2 pre-consumer)

1 Point

Intent

Increase demand for building products that incorporate recycled content materials, thereby reducing impacts resulting from extraction and processing of virgin materials.

Requirements

Use materials with recycled content such that the sum of post-consumer recycled content plus one-half of the pre-consumer content constitutes at least 10% (based on cost) of the total value of the materials in the project.

The recycled content value of a material assembly shall be determined by weight. The recycled fraction of the assembly is then multiplied by the cost of assembly to determine the recycled content value.

Mechanical, electrical and plumbing components and specialty items such as elevators shall not be included in this calculation. Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Recycled content shall be defined in accordance with the International Organization of Standards document, *ISO 14021—Environmental labels and declarations—Self-declared environmental claims (Type II environmental labeling)*.

Post-consumer material is defined as waste material generated by households or by commercial, industrial and institutional facilities in their role as end-users of the product, which can no longer be used for its intended purpose.

Pre-consumer material is defined as material diverted from the waste stream during the manufacturing process. Excluded is reutilization of materials such as rework, regrind or scrap generated in a process and capable of being reclaimed within the same process that generated it.

Potential Technologies & Strategies

Establish a project goal for recycled content materials and identify material suppliers that can achieve this goal. During construction, ensure that the specified recycled content materials are installed. Consider a range of environmental, economic and performance attributes when selecting products and materials.

MR Credit 4.2: Recycled Content: 20% (post-consumer + 1/2 pre-consumer)

1 Point in addition to MR Credit 4.1

Intent

Increase demand for building products that incorporate recycled content materials, thereby reducing the impacts resulting from extraction and processing of virgin materials.

Requirements

Use materials with recycled content such that the sum of post-consumer recycled content plus one-half of the pre-consumer content constitutes an additional 10% beyond MR Credit 4.1 (total of 20%, based on cost) of the total value of the materials in the project.

The recycled content value of a material assembly shall be determined by weight. The recycled fraction of the assembly is then multiplied by the cost of assembly to determine the recycled content value.

Mechanical, electrical and plumbing components and specialty items such as elevators shall not be included in this calculation. Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Recycled content shall be defined in accordance with the International Organization of Standards document, *ISO 14021—Environmental labels and declarations—Self-declared environmental claims (Type II environmental labeling)*.

Post-consumer material is defined as waste material generated by households or by commercial, industrial and institutional facilities in their role as end-users of the product, which can no longer be used for its intended purpose.

Pre-consumer material is defined as material diverted from the waste stream during the manufacturing process. Excluded is reutilization of materials such as rework, regrind or scrap generated in a process and capable of being reclaimed within the same process that generated it.

Potential Technologies & Strategies

Establish a project goal for recycled content materials and identify material suppliers that can achieve this goal. During construction, ensure that the specified recycled content materials are installed. Consider a range of environmental, economic and performance attributes when selecting products and materials.

MR Credit 5.1: Regional Materials: 10% Extracted, Processed & Manufactured Regionally

1 Point

Intent

Increase demand for building materials and products that are extracted and manufactured within the region, thereby supporting the use of indigenous resources and reducing the environmental impacts resulting from transportation.

Requirements

Use building materials or products that have been extracted, harvested or recovered, as well as manufactured, within 500 miles of the project site for a minimum of 10% (based on cost) of the total materials value. If only a fraction of a product or material is extracted/harvested/recovered and manufactured locally, then only that percentage (by weight) shall contribute to the regional value.

Mechanical, electrical and plumbing components and specialty items such as elevators and equipment shall not be included in this calculation. Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Potential Technologies & Strategies

Establish a project goal for locally sourced materials, and identify materials and material suppliers that can achieve this goal. During construction, ensure that the specified local materials are installed and quantify the total percentage of local materials installed. Consider a range of environmental, economic and performance attributes when selecting products and materials.

MR Credit 5.2: Regional Materials: 20% Extracted, Processed & Manufactured Regionally

1 Point in addition to MR Credit 5.1

Intent

Increase demand for building materials and products that are extracted and manufactured within the region, thereby supporting the use of indigenous resources and reducing the environmental impacts resulting from transportation.

Requirements

Use building materials or products that have been extracted, harvested or recovered, as well as manufactured, within 500 miles of the project site for an additional 10% beyond MR Credit 5.1 (total of 20%, based on cost) of the total materials value. If only a fraction of the material is extracted/harvested/recovered and manufactured locally, then only that percentage (by weight) shall contribute to the regional value.

Potential Technologies & Strategies

Establish a project goal for locally sourced materials and identify materials and material suppliers that can achieve this goal. During construction, ensure that the specified local materials are installed. Consider a range of environmental, economic and performance attributes when selecting products and materials.

MR Credit 6: Rapidly Renewable Materials

1 Point

Intent

Reduce the use and depletion of finite raw materials and long-cycle renewable materials by replacing them with rapidly renewable materials.

Requirements

Use rapidly renewable building materials and products (made from plants that are typically harvested within a ten-year cycle or shorter) for 2.5% of the total value of all building materials and products used in the project, based on cost.

Potential Technologies & Strategies

Establish a project goal for rapidly renewable materials and identify products and suppliers that can support achievement of this goal. Consider materials such as bamboo, wool, cotton insulation, agrifiber, linoleum, wheat-board, strawboard and cork. During construction, ensure that the specified renewable materials are installed.

MR Credit 7: Certified Wood

1 Point

Intent

Encourage environmentally responsible forest management.

Requirements

Use a minimum of 50% of wood-based materials and products, which are certified in accordance with the Forest Stewardship Council's (FSC) Principles and Criteria, for wood building components. These components include, but are not limited to, structural framing and general dimensional framing, flooring, sub-flooring, wood doors and finishes.

Only include materials permanently installed in the project. Furniture may be included, providing it is included consistently in MR Credits 3–7.

Potential Technologies & Strategies

Establish a project goal for FSC-certified wood products and identify suppliers that can achieve this goal. During construction, ensure that the FSC-certified wood products are installed and quantify the total percentage of FSC-certified wood products installed.

Indoor Environmental Quality

EQ Prerequisite 1: Minimum IAQ Performance Required

Intent

Establish minimum indoor air quality (IAQ) performance to enhance indoor air quality in buildings, thus contributing to the comfort and well-being of the occupants.

Requirements

Meet the minimum requirements of Sections 4 through 7 of ASHRAE 62.1-2004, Ventilation for Acceptable Indoor Air Quality. Mechanical ventilation systems shall be designed using the Ventilation Rate Procedure or the applicable local code, whichever is more stringent.

Naturally ventilated buildings shall comply with ASHRAE 62.1-2004, paragraph 5.1.

Potential Technologies & Strategies

Design ventilation systems to meet or exceed the minimum outdoor air ventilation rates as described in the ASHRAE standard. Balance the impacts of ventilation rates on energy use and indoor air quality to optimize for energy efficiency and occupant health. Use the ASHRAE 62 Users Manual for detailed guidance on meeting the referenced requirements.

EQ Prerequisite 2: Environmental Tobacco Smoke (ETS) Control Required

Intent

Minimize exposure of building occupants, indoor surfaces, and ventilation air distribution systems to Environmental Tobacco Smoke (ETS).

Requirements

OPTION 1

- Prohibit smoking in the building.
- Locate any exterior designated smoking areas at least 25 feet away from entries, outdoor air intakes and operable windows.

OR

OPTION 2

- Prohibit smoking in the building except in designated smoking areas.
- Locate any exterior designated smoking areas at least 25 feet away from entries, outdoor air intakes and operable windows.
- Locate designated smoking rooms to effectively contain, capture and remove ETS from the building. At a minimum, the smoking room must be directly exhausted to the outdoors with no re-circulation of ETS-containing air to the non-smoking area of the building, and enclosed with impermeable deck-to-deck partitions. With the doors to the smoking room closed, operate exhaust sufficient to create a negative pressure with respect to the adjacent spaces of at least an average of 5 Pa (0.02 inches of water gauge) and with a minimum of 1 Pa (0.004 inches of water gauge).
- Performance of the smoking room differential air pressures shall be verified by conducting 15 minutes of measurement, with a minimum of one measurement every 10 seconds, of the differential pressure in the smoking room with respect to each adjacent area and in each adjacent vertical chase with the doors to the smoking room closed. The testing will be conducted with each space configured for worst case conditions of transport of air from the smoking rooms to adjacent spaces with the smoking rooms' doors closed to the adjacent spaces.

OR

OPTION 3 (For residential buildings only)

- Prohibit smoking in all common areas of the building.
- Locate any exterior designated smoking areas at least 25 feet away from entries, outdoor air intakes and operable windows opening to common areas.
- Minimize uncontrolled pathways for ETS transfer between individual residential units by sealing penetrations in walls, ceilings and floors in the residential units, and by sealing vertical chases adjacent to the units.
- All doors in the residential units leading to common hallways shall be weather-stripped to minimize air leakage into the hallway.

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- If the common hallways are pressurized with respect to the residential units then doors in the residential units leading to the common hallways need not be weather-stripped provided that the positive differential pressure is demonstrated as in Option 2 above, considering the residential unit as the smoking room. Acceptable sealing of residential units shall be demonstrated by a blower door test conducted in accordance with ANSI/ASTM-E779-03, Standard Test Method for Determining Air Leakage Rate By Fan Pressurization, AND use the progressive sampling methodology defined in Chapter 4 (Compliance Through Quality Construction) of the Residential Manual for Compliance with California's 2001 Energy Efficiency Standards (www.energy.ca.gov/title24/residential_manual). Residential units must demonstrate less than 1.25 square inches leakage area per 100 square feet of enclosure area (i.e. sum of all wall, ceiling and floor areas).

Potential Technologies & Strategies

Prohibit smoking in commercial buildings or effectively control the ventilation air in smoking rooms. For residential buildings, prohibit smoking in common areas, design building envelope and systems to minimize ETS transfer among dwelling units.

EQ Credit 1: Outdoor Air Delivery Monitoring

1 Point

Intent

Provide capacity for ventilation system monitoring to help sustain occupant comfort and well-being.

Requirements

Install permanent monitoring systems that provide feedback on ventilation system performance to ensure that ventilation systems maintain design minimum ventilation requirements. Configure all monitoring equipment to generate an alarm when the conditions vary by 10% or more from setpoint, via either a building automation system alarm to the building operator or via a visual or audible alert to the building occupants.

FOR MECHANICALLY VENTILATED SPACES

- Monitor carbon dioxide concentrations within all densely occupied spaces (those with a design occupant density greater than or equal to .25 people per 1000 sq.ft.). CO₂ monitoring locations shall be between 3 feet and 6 feet above the floor.
- For each mechanical ventilation system serving non-densely occupied spaces, provide a direct outdoor airflow measurement device capable of measuring the minimum outdoor airflow rate with an accuracy of plus or minus 15% of the design minimum outdoor air rate, as defined by ASHRAE 62.1-2004.

FOR NATURALLY VENTILATED SPACES

Monitor CO₂ concentrations within all naturally ventilated spaces. CO₂ monitoring shall be located within the room between 3 feet and 6 feet above the floor. One CO₂ sensor may be used to represent multiple spaces if the natural ventilation design uses passive stack(s) or other means to induce airflow through those spaces equally and simultaneously without intervention by building occupants.

Potential Technologies & Strategies

Install carbon dioxide and airflow measurement equipment and feed the information to the HVAC system and/or Building Automation System (BAS) to trigger corrective action, if applicable. If such automatic controls are not feasible with the building systems, use the measurement equipment to trigger alarms that inform building operators or occupants of a possible deficiency in outdoor air delivery.

EQ Credit 2: Increased Ventilation

1 Point

Intent

Provide additional outdoor air ventilation to improve indoor air quality for improved occupant comfort, well-being and productivity.

Requirements

FOR MECHANICALLY VENTILATED SPACES

- Increase breathing zone outdoor air ventilation rates to all occupied spaces by at least 30% above the minimum rates required by ASHRAE Standard 62.1-2004 as determined by EQ Prerequisite 1.

FOR NATURALLY VENTILATED SPACES

Design natural ventilation systems for occupied spaces to meet the recommendations set forth in the Carbon Trust "Good Practice Guide 237" [1998]. Determine that natural ventilation is an effective strategy for the project by following the flow diagram process shown in Figure 1.18 of the Chartered Institution of Building Services Engineers (CIBSE) Applications Manual 10: 2005, Natural ventilation in non-domestic buildings.

AND

- Use diagrams and calculations to show that the design of the natural ventilation systems meets the recommendations set forth in the CIBSE Applications Manual 10: 2005, Natural ventilation in non-domestic buildings.

OR

- Use a macroscopic, multi-zone, analytic model to predict that room-by-room airflows will effectively naturally ventilate, defined as providing the minimum ventilation rates required by ASHRAE 62.1-2004 Chapter 6, for at least 90% of occupied spaces.

Potential Technologies & Strategies

For Mechanically ventilated Spaces: Use heat recovery, where appropriate, to minimize the additional energy consumption associated with higher ventilation rates.

For Naturally ventilated Spaces: Follow the eight design steps described in the Carbon Trust Good Practice Guide 237 – 1) Develop design requirements, 2) Plan airflow paths, 3) Identify building uses and features that might require special attention, 4) Determine ventilation requirements, 5) Estimate external driving pressures, 6) Select types of ventilation devices, 7) Size ventilation devices, 8) Analyze the design. Use public domain software such as NIST's CONTAM, Multizone Modeling Software, along with LoopDA, Natural Ventilation Sizing Tool, to analytically predict room-by-room airflows.

EQ Credit 3.1: Construction IAQ Management Plan: During Construction

1 Point

Intent

Reduce indoor air quality problems resulting from the construction/renovation process in order to help sustain the comfort and well-being of construction workers and building occupants.

Requirements

Develop and implement an Indoor Air Quality (IAQ) Management Plan for the construction and pre-occupancy phases of the building as follows:

- During construction meet or exceed the recommended Control Measures of the Sheet Metal and Air Conditioning National Contractors Association (SMACNA) IAQ Guidelines for Occupied Buildings under Construction, 1995, Chapter 3.
- Protect stored on-site or installed absorptive materials from moisture damage.
- If permanently installed air handlers are used during construction, filtration media with a Minimum Efficiency Reporting Value (MERV) of 8 shall be used at each return air grille, as determined by ASHRAE 52.2-1999. Replace all filtration media immediately prior to occupancy.

Potential Technologies & Strategies

Adopt an IAQ management plan to protect the HVAC system during construction, control pollutant sources and interrupt contamination pathways. Sequence the installation of materials to avoid contamination of absorptive materials such as insulation, carpeting, ceiling tile and gypsum wallboard. Coordinate with Indoor Environmental Quality Credits 3.2 and 5 to determine the appropriate specifications and schedules for filtration media.

If possible, avoid using permanently installed air handlers for temporary heating/cooling during construction. Consult the LEED for New Construction v2.2 Reference Guide for more detailed information on how to ensure the well-being of construction workers and building occupants if permanently installed air handlers must be used during construction.

EQ Credit 3.2: Construction IAQ Management Plan: Before Occupancy

1 Point

Intent

Reduce indoor air quality problems resulting from the construction/renovation process in order to help sustain the comfort and well-being of construction workers and building occupants.

Requirements

Develop and implement an Indoor Air Quality (IAQ) Management Plan for the pre-occupancy phase as follows:

OPTION 1 — Flush-Out

- After construction ends, prior to occupancy and with all interior finishes installed, perform a building flush-out by supplying a total air volume of 14,000 cu.ft. of outdoor air per sq.ft. of floor area while maintaining an internal temperature of at least 60 degrees F and relative humidity no higher than 60%.

OR

- If occupancy is desired prior to completion of the flush-out, the space may be occupied following delivery of a minimum of 3,500 cu.ft. of outdoor air per sq.ft. of floor area to the space. Once a space is occupied, it shall be ventilated at a minimum rate of 0.30 cfm/sq.ft. of outside air or the design minimum outside air rate determined in EQ Prerequisite 1, whichever is greater. During each day of the flush-out period, ventilation shall begin a minimum of three hours prior to occupancy and continue during occupancy. These conditions shall be maintained until a total of 14,000 cu.ft./sq.ft. of outside air has been delivered to the space.

OR

OPTION 2 — Air Testing

- Conduct baseline IAQ testing, after construction ends and prior to occupancy, using testing protocols consistent with the United States Environmental Protection Agency Compendium of Methods for the Determination of Air Pollutants in Indoor Air and as additionally detailed in the Reference Guide.
- Demonstrate that the contaminant maximum concentrations listed below are not exceeded.

Contaminant	Maximum Concentration
Formaldehyde	50 parts per billion
Particulates (PM10)	50 micrograms per cubic meter
Total Volatile Organic Compounds (TVOC)	500 micrograms per cubic meter
* 4-Phenylcyclohexene (4-PCH)	6.5 micrograms per cubic meter
Carbon Monoxide (CO)	9 part per million and no greater than 2 parts per million above outdoor levels

* This test is only required if carpets and fabrics with styrene butadiene rubber (SBR) latex backing material are installed as part of the base building systems.

- For each sampling point where the maximum concentration limits are exceeded conduct additional flush-out with outside air and retest the specific parameter(s) exceeded to indicate the requirements are achieved.

Repeat procedure until all requirements have been met. When retesting non-complying building areas, take samples from the same locations as in the first test.

□ The air sample testing shall be conducted as follows:

- 1) All measurements shall be conducted prior to occupancy, but during normal occupied hours, and with the building ventilation system starting at the normal daily start time and operated at the minimum outside air flow rate for the occupied mode throughout the duration of the air testing.
- 2) The building shall have all interior finishes installed, including but not limited to millwork, doors, paint, carpet and acoustic tiles. Non-fixed furnishings such as workstations and partitions are encouraged, but not required, to be in place for the testing.
- 3) The number of sampling locations will vary depending upon the size of the building and number of ventilation systems. For each portion of the building served by a separate ventilation system, the number of sampling points shall not be less than one per 25,000 sq.ft., or for each contiguous floor area, whichever is larger, and include areas with the least ventilation and greatest presumed source strength.
- 4) Air samples shall be collected between 3 feet and 6 feet from the floor to represent the breathing zone of occupants, and over a minimum 4-hour period.

Potential Technologies & Strategies

Prior to occupancy, perform a building flush-out or test the air contaminant levels in the building. The flush-out is often used where occupancy is not required immediately upon substantial completion of construction. IAQ testing can minimize schedule impacts but may be more costly. Coordinate with Indoor Environmental Quality Credits 3.1 and 5 to determine the appropriate specifications and schedules for filtration media.

EQ Credit 4.1: Low-Emitting Materials: Adhesives & Sealants

1 Point

Intent

Reduce the quantity of indoor air contaminants that are odorous, irritating and/or harmful to the comfort and well-being of installers and occupants.

Requirements

All adhesives and sealants used on the interior of the building (defined as inside of the weatherproofing system and applied on-site) shall comply with the requirements of the following reference standards:

- Adhesives, Sealants and Sealant Primers: South Coast Air Quality Management District (SCAQMD) Rule #1168. VOC limits are listed in the table below and correspond to an effective date of July 1, 2005 and rule amendment date of January 7, 2005.

Architectural Applications	VOC Limit [g/L less water]	Specialty Applications	VOC Limit [g/L less water]
Indoor Carpet Adhesives	50	PVC Welding	510
Carpet Pad Adhesives	50	CPVC Welding	490
Wood Flooring Adhesives	100	ABS Welding	325
Rubber Floor Adhesives	60	Plastic Cement Welding	250
Subfloor Adhesives	50	Adhesive Primer for Plastic	550
Ceramic Tile Adhesives	65	Contact Adhesive	80
VCT & Asphalt Adhesives	50	Special Purpose Contact Adhesive	250
Drywall & Panel Adhesives	50	Structural Wood Member Adhesive	140
Cove Base Adhesives	50	Sheet Applied Rubber Lining Operations	850
Multipurpose Construction Adhesives	70	Top & Trim Adhesive	250
Structural Glazing Adhesives	100		
Substrate Specific Applications	VOC Limit [g/L less water]	Sealants	VOC Limit [g/L less water]
Metal to Metal	30	Architectural	250
Plastic Foams	50	Nonmembrane Roof	300
Porous Material (except wood)	50	Roadway	250
Wood	30	Single-Ply Roof Membrane	450
Fiberglass	80	Other	420
Sealant Primers	VOC Limit [g/L less water]		
Architectural Non Porous	250		
Architectural Porous	775		
Other	750		

- Aerosol Adhesives: Green Seal Standard for Commercial Adhesives GS-36 requirements in effect on October 19, 2000.

Aerosol Adhesives:	VOC Weight [g/L minus water]
General purpose mist spray	65% VOCs by weight
General purpose web spray	55% VOCs by weight
Special purpose aerosol adhesives (all types)	70% VOCs by weight

Potential Technologies & Strategies

Specify low-VOC materials in construction documents. Ensure that VOC limits are clearly stated in each section of the specifications where adhesives and sealants are addressed. Common products to evaluate include: general construction adhesives, flooring adhesives, fire-stopping sealants, caulking, duct sealants, plumbing adhesives, and cove base adhesives.

EQ Credit 4.2: Low-Emitting Materials: Paints & Coatings

1 Point

Intent

Reduce the quantity of indoor air contaminants that are odorous, irritating and/or harmful to the comfort and well-being of installers and occupants.

Requirements

Paints and coatings used on the interior of the building (defined as inside of the weatherproofing system and applied on-site) shall comply with the following criteria:

- Architectural paints, coatings and primers applied to interior walls and ceilings: Do not exceed the VOC content limits established in Green Seal Standard GS-11, Paints, First Edition, May 20, 1993.
 - Flats: 50 g/L
 - Non-Flats: 150 g/L
- Anti-corrosive and anti-rust paints applied to interior ferrous metal substrates: Do not exceed the VOC content limit of 250 g/L established in Green Seal Standard GC-03, Anti-Corrosive Paints, Second Edition, January 7, 1997.
- Clear wood finishes, floor coatings, stains, and shellacs applied to interior elements: Do not exceed the VOC content limits established in South Coast Air Quality Management District (SCAQMD) Rule 1113, Architectural Coatings, rules in effect on January 1, 2004.
 - Clear wood finishes: varnish 350 g/L; lacquer 550 g/L
 - Floor coatings: 100 g/L
 - Sealers: waterproofing sealers 250 g/L; sanding sealers 275 g/L; all other sealers 200 g/L
 - Shellacs: Clear 730 g/L; pigmented 550 g/L
 - Stains: 250 g/L

Potential Technologies & Strategies

Specify low-VOC paints and coatings in construction documents. Ensure that VOC limits are clearly stated in each section of the specifications where paints and coatings are addressed. Track the VOC content of all interior paints and coatings during construction.

EQ Credit 4.3: Low-Emitting Materials: Carpet Systems

1 Point

Intent

Reduce the quantity of indoor air contaminants that are odorous, irritating and/or harmful to the comfort and well-being of installers and occupants.

Requirements

All carpet installed in the building interior shall meet the testing and product requirements of the Carpet and Rug Institute's Green Label Plus program.

All carpet cushion installed in the building interior shall meet the requirements of the Carpet and Rug Institute Green Label program.

All carpet adhesive shall meet the requirements of EQ Credit 4.1: VOC limit of 50 g/L.

Potential Technologies & Strategies

Clearly specify requirements for product testing and/or certification in the construction documents. Select products that are either certified under the Green Label Plus program or for which testing has been done by qualified independent laboratories in accordance with the appropriate requirements.

The Green Label Plus program for carpets and its associated VOC emission criteria in micrograms per square meter per hour, along with information on testing method and sample collection developed by the Carpet & Rug Institute (CRI) in coordination with California's Sustainable Building Task Force and the California Department of Health Services (DHS), are described in Section 9, Acceptable Emissions Testing for Carpet, DHS Standard Practice CA/DHS/EHLB/R-174, dated 07/15/04. This document is available at: www.dhs.ca.gov/ps/deodc/ehlb/iaq/VOCS/Section01350_7_15_2004_FINAL_PLUS_ADDENDUM-2004-01.pdf (also published as Section 01350 Section 9 [dated 2004] by the Collaborative for High Performance Schools [www.chps.net]).

EQ Credit 4.4: Low-Emitting Materials: Composite Wood & Agrifiber Products

1 Point

Intent

Reduce the quantity of indoor air contaminants that are odorous, irritating and/or harmful to the comfort and well-being of installers and occupants.

Requirements

Composite wood and agrifiber products used on the interior of the building (defined as inside of the weather-proofing system) shall contain no added urea-formaldehyde resins. Laminating adhesives used to fabricate on-site and shop-applied composite wood and agrifiber assemblies shall contain no added urea-formaldehyde resins.

Composite wood and agrifiber products are defined as: particleboard, medium density fiberboard (MDF), plywood, wheatboard, strawboard, panel substrates and door cores. Materials considered fit-out, furniture, and equipment (FF&E) are not considered base building elements and are not included.

Potential Technologies & Strategies

Specify wood and agrifiber products that contain no added urea-formaldehyde resins. Specify laminating adhesives for field and shop applied assemblies that contain no added urea-formaldehyde resins.

EQ Credit 5: Indoor Chemical & Pollutant Source Control

1 Point

Intent

Minimize exposure of building occupants to potentially hazardous particulates and chemical pollutants.

Requirements

Design to minimize and control pollutant entry into buildings and later cross-contamination of regularly occupied areas:

- Employ permanent entryway systems at least six feet long in the primary direction of travel to capture dirt and particulates from entering the building at all entryways that are directly connected to the outdoors. Acceptable entryway systems include permanently installed grates, grilles, or slotted systems that allow for cleaning underneath. Roll-out mats are only acceptable when maintained on a weekly basis by a contracted service organization. Qualifying entryways are those that serve as regular entry points for building users.
- Where hazardous gases or chemicals may be present or used (including garages, housekeeping/laundry areas and copying/printing rooms), exhaust each space sufficiently to create negative pressure with respect to adjacent spaces with the doors to the room closed. For each of these spaces, provide self-closing doors and deck to deck partitions or a hard lid ceiling. The exhaust rate shall be at least 0.50 cfm/sq.ft., with no air recirculation. The pressure differential with the surrounding spaces shall be at least 5 Pa (0.02 inches of water gauge) on average and 1 Pa (0.004 inches of water) at a minimum when the doors to the rooms are closed.
- In mechanically ventilated buildings, provide regularly occupied areas of the building with air filtration media prior to occupancy that provides a Minimum Efficiency Reporting Value (MERV) of 13 or better. Filtration should be applied to process both return and outside air that is to be delivered as supply air.

Potential Technologies & Strategies

Design facility cleaning and maintenance areas with isolated exhaust systems for contaminants. Maintain physical isolation from the rest of the regularly occupied areas of the building. Install permanent architectural entryway systems such as grills or grates to prevent occupant-borne contaminants from entering the building. Install high-level filtration systems in air handling units processing both return air and outside supply air. Ensure that air handling units can accommodate required filter sizes and pressure drops.

EQ Credit 6.1: Controllability of Systems: Lighting

1 Point

Intent

Provide a high level of lighting system control by individual occupants or by specific groups in multi-occupant spaces (i.e. classrooms or conference areas) to promote the productivity, comfort and well-being of building occupants.

Requirements

Provide individual lighting controls for 90% (minimum) of the building occupants to enable adjustments to suit individual task needs and preferences.

AND

Provide lighting system controllability for all shared multi-occupant spaces to enable lighting adjustment that meets group needs and preferences.

Potential Technologies & Strategies

Design the building with occupant controls for lighting. Strategies to consider include lighting controls and task lighting. Integrate lighting systems controllability into the overall lighting design, providing ambient and task lighting while managing the overall energy use of the building.

EQ Credit 6.2: Controllability of Systems: Thermal Comfort

1 Point

Intent

Provide a high level of thermal comfort system control by individual occupants or by specific groups in multi-occupant spaces (i.e. classrooms or conference areas) to promote the productivity, comfort and well-being of building occupants.

Requirements

Provide individual comfort controls for 50% (minimum) of the building occupants to enable adjustments to suit individual task needs and preferences. Operable windows can be used in lieu of comfort controls for occupants of areas that are 20 feet inside of and 10 feet to either side of the operable part of the window. The areas of operable window must meet the requirements of ASHRAE 62.1-2004 paragraph 5.1 Natural Ventilation.

AND

Provide comfort system controls for all shared multi-occupant spaces to enable adjustments to suit group needs and preferences.

Conditions for thermal comfort are described in ASHRAE Standard 55-2004 to include the primary factors of air temperature, radiant temperature, air speed and humidity. Comfort system control for the purposes of this credit is defined as the provision of control over at least one of these primary factors in the occupant's local environment.

Potential Technologies & Strategies

Design the building and systems with comfort controls to allow adjustments to suit individual needs or those of groups in shared spaces. ASHRAE Standard 55-2004 identifies the factors of thermal comfort and a process for developing comfort criteria for building spaces that suit the needs of the occupants involved in their daily activities. Control strategies can be developed to expand on the comfort criteria to allow adjustments to suit individual needs and preferences. These may involve system designs incorporating operable windows, hybrid systems integrating operable windows and mechanical systems, or mechanical systems alone. Individual adjustments may involve individual thermostat controls, local diffusers at floor, desk or overhead levels, or control of individual radiant panels, or other means integrated into the overall building, thermal comfort systems, and energy systems design. In addition, designers should evaluate the closely tied interactions between thermal comfort (as required by ASHRAE Standard 55-2004) and acceptable indoor air quality (as required by ASHRAE Standard 62.1-2004, whether natural or mechanical ventilation).

EQ Credit 7.1: Thermal Comfort: Design

1 Point

Intent

Provide a comfortable thermal environment that supports the productivity and well-being of building occupants.

Requirements

Design HVAC systems and the building envelope to meet the requirements of ASHRAE Standard 55-2004, Thermal Comfort Conditions for Human Occupancy. Demonstrate design compliance in accordance with the Section 6.1.1 Documentation.

Potential Technologies & Strategies

Establish comfort criteria per ASHRAE Standard 55-2004 that support the desired quality and occupant satisfaction with building performance. Design building envelope and systems with the capability to deliver performance to the comfort criteria under expected environmental and use conditions. Evaluate air temperature, radiant temperature, air speed, and relative humidity in an integrated fashion and coordinate these criteria with EQ Prerequisite 1, EQ Credit 1, and EQ Credit 2.

EQ Credit 7.2: Thermal Comfort: Verification

1 Point

Intent

Provide for the assessment of building thermal comfort over time.

Requirements

Agree to implement a thermal comfort survey of building occupants within a period of six to 18 months after occupancy. This survey should collect anonymous responses about thermal comfort in the building including an assessment of overall satisfaction with thermal performance and identification of thermal comfort-related problems. Agree to develop a plan for corrective action if the survey results indicate that more than 20% of occupants are dissatisfied with thermal comfort in the building. This plan should include measurement of relevant environmental variables in problem areas in accordance with ASHRAE Standard 55-2004.

Potential Technologies & Strategies

ASHRAE Standard 55-2004 provides guidance for establishing thermal comfort criteria and the documentation and validation of building performance to the criteria. While the standard is not intended for purposes of continuous monitoring and maintenance of the thermal environment, the principles expressed in the standard provide a basis for design of monitoring and corrective action systems.

EQ Credit 8.1: Daylight & Views: Daylight 75% of Spaces

1 Point

Intent

Provide for the building occupants a connection between indoor spaces and the outdoors through the introduction of daylight and views into the regularly occupied areas of the building.

Requirements

OPTION 1 — CALCULATION

Achieve a minimum glazing factor of 2% in a minimum of 75% of all regularly occupied areas. The glazing factor is calculated as follows:

Glazing Factor	=	$\frac{\text{Window Area [SF]}}{\text{Floor Area [SF]}}$	x	Window Geometry Factor	x	$\frac{\text{Actual Tvis}}{\text{Minimum Tvis}}$	x	Window Height Factor
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OR

OPTION 2 — SIMULATION

Demonstrate, through computer simulation, that a minimum daylight illumination level of 25 footcandles has been achieved in a minimum of 75% of all regularly occupied areas. Modeling must demonstrate 25 horizontal footcandles under clear sky conditions, at noon, on the equinox, at 30 inches above the floor.

OR

OPTION 3 — MEASUREMENT

Demonstrate, through records of indoor light measurements, that a minimum daylight illumination level of 25 footcandles has been achieved in at least 75% of all regularly occupied areas. Measurements must be taken on a 10-foot grid for all occupied spaces and must be recorded on building floor plans.

In all cases, only the square footage associated with the portions of rooms or spaces meeting the minimum illumination requirements can be applied towards the 75% of total area calculation required to qualify for this credit.

In all cases, provide daylight redirection and/or glare control devices to avoid high-contrast situations that could impede visual tasks. Exceptions for areas where tasks would be hindered by the use of daylight will be considered on their merits.

Potential Technologies & Strategies

Design the building to maximize interior daylighting. Strategies to consider include building orientation, shallow floor plates, increased building perimeter, exterior and interior permanent shading devices, high performance glazing and automatic photocell-based controls. Predict daylight factors via manual calculations or model daylighting strategies with a physical or computer model to assess footcandle levels and daylight factors achieved.

EQ Credit 8.2: Daylight & Views: Views for 90% of Spaces

1 Point

Intent

Provide for the building occupants a connection between indoor spaces and the outdoors through the introduction of daylight and views into the regularly occupied areas of the building.

Requirements

Achieve direct line of sight to the outdoor environment via vision glazing between 2'6" and 7'6" above finish floor for building occupants in 90% of all regularly occupied areas. Determine the area with direct line of sight by totaling the regularly occupied square footage that meets the following criteria:

- In plan view, the area is within sight lines drawn from perimeter vision glazing.
- In section view, a direct sight line can be drawn from the area to perimeter vision glazing.

Line of sight may be drawn through interior glazing. For private offices, the entire square footage of the office can be counted if 75% or more of the area has direct line of sight to perimeter vision glazing. For multi-occupant spaces, the actual square footage with direct line of sight to perimeter vision glazing is counted.

Potential Technologies & Strategies

Design the space to maximize daylighting and view opportunities. Strategies to consider include lower partition heights, interior shading devices, interior glazing, and automatic photocell-based controls.

Innovation & Design Process

ID Credit 1–1.4: Innovation in Design

1–4 Points

Intent

To provide design teams and projects the opportunity to be awarded points for exceptional performance above the requirements set by the LEED for New Construction Green Building Rating System and/or innovative performance in Green Building categories not specifically addressed by the LEED for New Construction Green Building Rating System.

Requirements

Credit 1.1 (1 point) In writing, identify the intent of the proposed innovation credit, the proposed requirement for compliance, the proposed submittals to demonstrate compliance, and the design approach (strategies) that might be used to meet the requirements.

Credit 1.2 (1 point) Same as Credit 1.1

Credit 1.3 (1 point) Same as Credit 1.1

Credit 1.4 (1 point) Same as Credit 1.1

Potential Technologies & Strategies

Substantially exceed a LEED for New Construction performance credit such as energy performance or water efficiency. Apply strategies or measures that demonstrate a comprehensive approach and quantifiable environment and/or health benefits.

ID Credit 2: LEED Accredited Professional

1 Point

Intent

To support and encourage the design integration required by a LEED for New Construction green building project and to streamline the application and certification process.

Requirements

At least one principal participant of the project team shall be a LEED Accredited Professional (AP).

Potential Technologies & Strategies

Educate the project team members about green building design & construction and application of the LEED Rating System early in the life of the project. Consider assigning the LEED AP as a facilitator of an integrated design & construction process.