



Cost and FTE

- The Department requests an increase of \$3,315,413 in FY 2014-15, including an increase of \$3,406,448 General Fund and a decrease of \$91,035 federal funds; a decrease of \$9,313,387 in FY 2015-16, including a decrease of \$1,409,731 General Fund; and, a decrease of \$2,871,366 in FY 2016-17, including a decrease of \$1,005,696 General Fund.

Current Program

- Effective March 2014, the Department manages three Medicaid – Home and Community Based Services (HCBS) waiver programs for people with developmental disabilities, Adult Comprehensive Services (DD), Supported Living Services (SLS) and Children’s Extensive Services (CES).
- These programs ensure delivery of services such as residential care, day habilitation services and behavioral services, as well as case management, and are delivered through a variety of approved providers.

Problem or Opportunity

- Appropriations do not accurately reflect the estimated number of enrollments, full program equivalents (FPE), or cost per FPE, based upon current enrollment and spending trends as well as input from program information.
- This issue poses the problem of over-expenditure in the current year without action because the Department estimates that additional funding is needed for quality assurance, utilization review and supports intensity scale assessments as well as Adult Comprehensive waiver paid claims.
- In the request and out years, based on current policies, this issue poses the problem of slight under-expenditure because the Department estimates that the current appropriated enrollment levels will not be reached, in particular, for the Supported Living Services waiver.

Consequences of Problem

- If the appropriations are not adjusted, the Department would likely slightly overexpend funding in the current year. Additionally, in the request and out years, under-expenditure is expected if funding is not adjusted through this request.

Proposed Solution

- The Department requests to adjust existing expenditure and enrollment appropriations and designated full program equivalents (FPE) within three Medicaid waiver programs for people with developmental disabilities to maintain the current policy of having no waiting lists for the HCBS-SLS and HCBS-CES waivers and to accommodate emergency enrollments, foster care transitions, and institutional care transitions.
- The outcomes of this proposed solution would be a more accurate budget that would be measured by comparing estimated expenditure to actual expenditure once the data is available.



COLORADO

Department of Health Care Policy & Financing

FY 2014-15 and FY 2015-16 Funding Request |
February 2015

John W. Hickenlooper
Governor

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Executive Director

Department Priority: S-5

Request Detail: Office of Community Living Cost and Caseload Adjustments

Summary of Incremental Funding Change for FY 2014-15	Total Funds	General Fund
Office of Community Living Cost and Caseload Adjustments	\$3,315,413	\$3,406,448

Problem or Opportunity:

Each year, the Department's appropriations for programs serving individuals with intellectual and developmental disabilities are set in advance of the fiscal year, based on prior year utilization and expenditure. As more recent data becomes available, the appropriation needs to be adjusted to account for the most recent projections of expenditure and caseload, in order to minimize any potential over or under-expenditures. The Department requests to adjust existing appropriations and designated full program equivalents (FPE) within three Medicaid waiver programs for people with developmental disabilities: Home and Community Based Services for Persons with Developmental Disabilities (HCBS-DD), Supported Living Services (HCBS-SLS), and Children's Extensive Services (HCBS-CES); further, the Department's request accounts for associated changes to targeted case management (TCM). Adjustments to targeted appropriations accurately reflect the current cost per FPE, based upon current spending trends, and maximize the number of individuals that can be served in the programs.

The Home and Community Based Services for Persons with Developmental Disabilities program (HCBS-DD) provides services to adults with developmental disabilities who require extensive supports to live safely in the community and who do not have the resources available to meet their needs. The Home and Community Based Services - Supported Living Services program (HCBS-SLS) is for adults who can either live independently with limited to moderate supports or who need more extensive support provided by other persons, such as their family. The Home and Community Based Services - Children's Extensive Services program (HCBS-CES) provides benefits to children who have a developmental disability or delay, and who need near constant line of sight supervision due to behavioral or medical needs.

In FY 2012-13, the Department of Human Services requested and received funding to eliminate the waiting list for the HCBS-CES program. In FY 2013-14, the Department of Health Care Policy and Financing requested and receiving funding to eliminate the waiting list for the HCBS-SLS program. In order to prevent new waiting lists, the General Assembly must provide new funding each year to allow for growth in both programs. In contract, the HCBS-DD program continues to have a waiting list for services; as of the June

30, 2014 Medicaid Funding Requested Waiting List Report, there are 1,287 people currently waiting to receive HCBS-DD waiver services. The waiting lists may include those requiring emergency enrollments as well as those transitioning out of institutional settings. Additionally, the list may include current Medicaid recipients being served in an alternative waiver that does not fully meet their needs, and may also include individuals being served in nursing facilities or hospitals that are not as cost-effective as the HCBS waivers.

Each year, additional enrollments in the HCBS-DD waiver are needed to provide resources for emergency placements and individuals transitioning out of foster care, a youth waiver, or an institutional setting. Without additional enrollments each year, people with intellectual and developmental disabilities would transition to other less appropriate, more costly settings or become vulnerable to abuse, neglect or homelessness as an increasing number of people continue to wait on the list to receive the services they need.

Proposed Solution:

In order to adjust the current appropriations for the programs administered by the Office of Community Living, the Department requests an increase of \$3,315,413 in FY 2014-15, including an increase of \$3,406,448 General Fund and a decrease of \$91,035 federal funds; a decrease of \$9,313,387 in FY 2015-16, including a decrease of \$1,409,731 General Fund; and, a decrease of \$2,871,366 in FY 2016-17, including a decrease of \$1,005,696 General Fund.

Based on the assumptions used in this request, the Department calculated maximum enrollment figures for each waiver program (and targeted case management services) and the number of full-program equivalents (FPE) for each fiscal year. If this request is approved, the Department calculates that by the end of FY 2014-15 it would serve: 5,093 people on the HCBS-DD waiver (including people in Regional Centers); 4,411 people on the HCBS-SLS waiver; and, 1,251 people on the HCBS-CES waiver. For the years covered in the request, the Department would limit HCBS-DD enrollments to the maximum enrollment figure. However, for the HCBS-SLS and HCBS-CES programs, the Department would adhere to the policy of maintaining no waiting lists; therefore, the maximum enrollment numbers are for information only, and the Department would exceed those figures if necessary and use the regular budget process to account for any change in the estimates. The number of associated FPE for each fiscal year is shown in exhibit B of the appendix.¹

As part of this request, the Department has included the estimated expenditure associated with HCBS-DD waiver clients that receive services at Regional Centers in the totals for the Office of Community Living. Although this does not change the amount of funding received by the Regional Centers, this change would streamline reporting and management of enrollment and expenditure, without any effect on the operation of the program.² Managing the budget and expenditure for all HCBS-DD clients under the same line and process would improve transparency by showing the overall impact of the HCBS-DD waiver in one budget line.

¹ Although not specifically identified as part of this request, these figures allow for any necessary transitions that occur from nursing facilities or regional centers as part of the Colorado Choice Transitions program.

² Specifically, the Department requests that funding for HCBS-DD clients in Regional Centers be moved in Department's Long Bill from the "Department of Human Services Medicaid-Funded Programs" Long Bill group to the "Office of Community Living" Long Bill group. Because this change does not reduce or change the total amount of funding going to the Regional Centers, no changes are needed to the Long Bill line item for Regional Centers in the Department of Human Services' Long Bill.

Anticipated Outcomes:

The Office of Community Living finances long term services and supports in the community to adults and children with developmental disabilities who would otherwise receive services in more restrictive and expensive institutional settings or who would be without services altogether. As part of the Triple Aim, the Department strives to provide the right services to the right people at the right time and place.

The Department's request includes funding to provide needed services for the highest number as well as most at-risk eligible people as possible. If the Department's request is approved, the Department would have resources to cover 9,693 people on average per month in FY 2014-15, and 10,938 people on average per month in FY 2015-16, thereby improving their physical, mental, and social well-being and quality of life.

Assumptions and Calculations:

The Department's calculations are contained in the appendix. The appendix is organized into a series of exhibits, providing both calculation information and historical cost and caseload detail. The section below describes each exhibit individually. In many cases, the specific assumptions and calculations are contained in the exhibits directly; the narrative information below provides additional information and clarification where necessary.

Exhibit A.1: Calculation of Request

This exhibit provides the final calculation of the incremental request, by line item. The total request amounts for each subsection are derived from Tables A.2 through A.4 and reflect the total spending estimate for all services included in the given appropriation including State-Only funded programs. The adjusted spending authority amounts reflect the estimate appropriation for each line, adjusted for the FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustments" request. These appropriations can be found in Tables G.1 through G.3.

Additionally, the Department was appropriated funds in FY 2014-15 and FY 2015-16 from the Child Welfare Transition cash fund to transition clients from the Child Welfare program to the Adult Comprehensive HCBS Waiver (HCBS-DD). However, given that the cash fund was simultaneously appropriated to the Department of Human Services (DHS) to transition these clients, the Department assumes it would not utilize cash funds for HCBS-DD services and would instead utilize General Fund to reimburse for the transitioned clients' waiver services. This exhibit adjusts fund splits to correct a technical error in the R-5 by assuming that all expenditure would flow through the General Fund and matching federal funds which means the originally estimated cash fund expenditure must be offset, rather than reduced, as was done in the R-5 request. This adjustment is reflected in this request's fund splits.

Exhibit A.2 through A.4: Current, Request and Out Year Fund Splits

These exhibits provide a breakdown for each line item's expenditure estimate including fund splits for each program. This exhibit also allows for adjustments in the federal financial participation rate (FFP) based on the type of services delivered within each program. For Medicaid services, the Centers for Medicare and Medicaid Services (CMS) have notified the Department that the State's FFP will decrease from 51.01% to

50.72% beginning October 1, 2015. The Department assumes that the decrease would remain in effect for the out year of the request and beyond, as well. Due to the FFP increase to 51.01% effective October 1, 2014, FFP for FY 2014-15 is 50.00% for the first quarter and 51.01% for the latter three quarters, resulting in an effective FFP of 50.76% for the fiscal year. With the new FFP of 50.72% beginning October 2015, FFP for FY 2015-16 would be 51.01% for the first quarter and 50.72% for the latter three quarters, resulting in an effective FFP of 50.79% for the fiscal year. FFP for FY 2016-17 would be held constant at 50.72%. This FFP change applies to Medicaid services only; Medicaid administrative costs continue to receive a 50.00%, or as in the case with administrative services such as Pre-Admission and Resident Review (PASRR), 75% FFP.

For the purpose of this request, the Department assumes the current FFP rate would remain constant into state FY 2016-17, there is the possibility that it would change again. If the FFP does change, the Department would submit a budget request through the regular process to account for the change in federal funds.**Exhibit B.1 through B.3: Summary of Program Costs**

These exhibits provides a summary of historical program expenditure, growth rates and six month year-to-date expenditure as paid for through the Department's Medicaid Management Information System (MMIS), and projected totals as calculated in exhibit C.

Exhibit C: Calculation of Projected Expenditure

This exhibit provides the calculation of projected expenditure using revised assumptions about caseload and per FPE cost (calculated in exhibits D and E, respectively). The exhibit then calculates the difference between the appropriated or base request amounts which results in the estimated over/under-expenditure for each waiver, by fiscal year. In fiscal years where systemic under-expenditure exists, this exhibit would also calculate an additional number of people that could be enrolled within existing resources, and converts the total enrollment figures into new paid enrollments, and calculate the new cost for additional enrollments for each fiscal year. This exhibit calculates costs for Medicaid matched services only and does not include State-Only programs. Therefore, the appropriation reflected in this exhibit does not match the adjusted appropriation in Exhibit A.1.

Exhibit D.1: Calculation of Maximum Enrollment

To forecast the number of enrollments, the Department took the appropriated enrollments from the Long Bill and estimates a base trend. Selection of trends for each waiver are discussed below. Once the base enrollments are determined, the Department adds in additional enrollments authorized through special bills or other initiatives, as Bottom Line Adjustments, to reach the final estimated maximum enrollment. This process is repeated for the request year and the out year. Information on trend selection and Bottom Line Adjustments for each program are provided below.

Adult Comprehensive Waiver (DD)

For FY 2014-15 the Department was appropriated 4,820 enrollments through HB 14-1336 "2014-15 Long Appropriations Bill". To forecast maximum enrollments, the Department selected a base trend of 0.00%

because current policy requires that maximum enrollment not exceed the appropriated number of enrollments, regardless of the existence or growth rate of a waiting list for waiver services.

For Bottom Line Adjustments, the Department added 150 enrollments to the Long Bill enrollment level for FY 2014-15 as HB 14-1368 "Transition Youth with Developmental Disabilities to Adult Services" authorized the Department of Human Services (DHS) to transition youth 18 to 21 years of age from the Child Welfare program managed by DHS to the Department's HCBS-DD waiver. In order to fully transition these clients, waiver enrollments must be created to ensure services are available upon transition. In estimating the fiscal note for HB 14-1368 "Transition Youth with Developmental Disabilities to Adult Services", both departments identified 114 clients that were likely to reach, or had already reached the transition age by the bill's effective date; it was later estimated that at least 36 more youth will have met the criteria for transition during the fiscal year that the bill is effective.

In addition to the enrollments mentioned above, the Department added 125 enrollments to the estimated FY 2014-15 maximum enrollment level to arrive at the estimated maximum enrollment level for the request year and out year. The additional enrollments account for emergency enrollments, foster care transitions, and institutional care transitions and reflect an extension of the policy approved in the Department's FY 2014-15 R-8 "Developmental Disabilities New Full Program Equivalents" budget request to enroll a number of emergency, foster care and institutional care transition clients each year.

Adult Comprehensive Waiver (DD) – Regional Centers

The Department has included HCBS-DD – Regional Center enrollments and expenditure to streamline reporting and management of enrollment and expenditure as well as improve transparency. For the purpose of this forecast, the Department assumes that the HCBS-DD – Regional Center enrollment level will remain constant in the future because there is regular enrollment churn and continuing efforts to serve clients in the community. To forecast maximum enrollment, the Department held constant the final enrollment level of FY 2013-14 (as of June 2014) for the current year, request year, and out year.

Supported Living Services Waiver (SLS)

For FY 2014-15 the Department was appropriated 5,318 enrollments through HB 14-1336 "2014-15 Long Appropriations Bill". To forecast maximum enrollments for FY 2015-16 the Department selected a base trend of 1.70%. The selected trend was set equal to the average monthly HCBS-SLS waiting list growth rate during FY 2013-14 to maintain consistency with current policy of having no waiting list for HCBS-SLS waiver services.

As a Bottom Line Adjustment, the Department added 61 enrollments to the estimated FY 2014-15 maximum enrollment level plus trend to arrive at the estimated maximum enrollment level for the request year and out year. The additional enrollments account for youth transitions from the CES waiver related to individuals on that waiver reaching the maximum eligible age, therefore requiring adult services as the policy was originally approved in the Department's FY 2014-15 R-8 "Developmental Disabilities New Full Program Equivalents" budget request.

Children's Extensive Services Waiver (CES)

For FY 2014-15 the Department was appropriated 1,204 enrollments through HB 14-1336 "2014-15 Long Appropriations Bill". To forecast maximum enrollments the Department selected a base trend of 3.90%. The selected trend was based on the average annual HCBS-CES waiting list growth rate between FY 2010-11 and FY 2013-14; the base trend was then set equal to half of the average annual growth rate for the request and out year as the waiting list has been, on average, growing at a decreasing rate.

Targeted Case Management (TCM)

For FY 2014-15 the Department was appropriated 11,342 enrollments through HB 14-1336 "2014-15 Long Appropriations Bill". To forecast actual enrollments the Department selected an average trend of 1.20% which is a direct result of the DIDD waiver enrollment increase trends; each waiver client should receive TCM services therefore enrollment in TCM services should increase in accordance with waiver enrollments.

Additionally, the Department included enrollments from HB 14-1368 "Transition Youth with Developmental Disabilities to Adult Services", FY 2014-15 R-7 "Adult Supported Living Services Waiting List Reduction and Service Plan Authorization Limits Increase" and the Department of Human Services FY 2013-14 R-1 "Developmental Disabilities Services for New Resources" budget request as Bottom Line Adjustments. Any time there are additional enrollments in any of the DIDD waivers, there is a subsequent increase in TCM services as each client authorized for the waivers receives this service. Including a base trend increase of 1.19% and a bottom line adjustment of 150 enrollments, a total of 287 enrollments were added to the FY 2014-15 TCM enrollment forecast.

Exhibit D.2: Conversion of Enrollment to Full Program Equivalent (FPE)

In order to properly calculate expenditure, the Department must use a consistent caseload metric that directly ties to expenditure. In this exhibit, and throughout the request, the Department uses average monthly paid enrollment to determine the number of clients for which it anticipates paying claims for in each fiscal year. This caseload metric is referred to as "full-program equivalents," or FPE. The Department notes, however, that the number of FPE is not always equal to the allowable maximum enrollment for each waiver. For example, if new enrollments were staggered throughout the year, the number of FPE would be a fraction of the allowable maximum enrollment. The relationship of FPE to maximum enrollment can vary based on a large number of factors including lag between enrollment and delivery of services and the lag between delivery of services and billing of claims; however, in order to accurately set the appropriation and manage the program, it is critical to explicitly identify both the number of FPE, the maximum enrollment level, and the interaction between the two.

The Department's methodology to account for the above mentioned variation includes the selection of an FPE adjustment factor which is based on the ratio of average monthly enrollments (as calculated in Exhibit D.3) to FPE in historical data. Enrollments are derived from the number of unique waiver clients in a given month with an active prior authorization request (PAR) which means that these clients have been authorized by the Community Center Boards (CCBs) to receive services. The Department then uses this metric to convert the average monthly enrollment forecast to projected FPE in Exhibit D.3.

For each waiver the Department selected an FPE conversion factor. For HCBS-DD the Department selected the FY 2013-14 rate of FPE as a percentage of average monthly enrollment. This is an adjustment from the November budget request based on the most recent six months of actual data. This same conversion factor was adjusted for the request and out year for HCBS-DD services.

Within the current system, the Department is unable to identify HCBS-DD Regional Center clients using the PAR methodology that is used to determine average monthly enrollment for the other waiver programs. Therefore, the FPE as a percentage of average monthly enrollment factor for these clients is 100% as the clients can only be identified using paid claims.

For HCBS-SLS the selected FPE Conversion Factor is the average FPE as a percentage of average monthly enrollment for FY 2012-13 and FY 2013-14. This factor was continued through to the request and out years.

For HCBS-CES an adjustment factor lower than that of the request and out years was selected for the current year for HCBS-CES to reflect the anticipated impact of rapid enrollment growth. Ideally, the distribution of enrollments should translate directly into timely paid claims for services and supports. However, there are two key issues that can affect full utilization of distributed enrollments. A major consideration for enrollment is the process of authorizing the enrollments, enrolling clients, planning for services, and building provider capacity meet each individual's specific needs.

For HCBS-TCM the Department adjusted the current year, request year and out year FPE conversion factor to more accurately reflect the last six months of data. From July through December 2014 HCBS-TCM claims paid as a percentage of monthly enrollment averaged around 94%. This average was then used to forecast the FPE conversion factor for the current year total and for the request and out year.

Exhibit D.3: Calculation of Average Monthly Enrollment and FPE

This exhibit provides a summary of historical average monthly enrollment and estimates average monthly enrollment and FPE for the years covered in this request. The Department's methodology involves three steps and begins with the enrollment level at the end of the prior fiscal year. First, the final estimated average monthly enrollment under current policy is calculated by adding the additional enrollments described in the maximum enrollment exhibit, or in the case of HCBS-SLS to the maximum assumed enrollment, to the enrollment level at the end of the prior fiscal year; these enrollments are adjusted based on a linear enrollment ramp-up over the fiscal year.

Next, if gross under-expenditure across the waivers and request and out years exists, requested enrollments from reallocation of existing resources would be added to arrive at the final estimated average monthly enrollment; these enrollments would be in addition to those based on current policy. At this time, the Department is not requesting additional enrollments from reallocation of existing resources, but may reassess based on actual current year expenditure during the supplemental process.

Finally, the FPE adjustment factor, described in the conversion of enrollment to FPE, Exhibit D.2, is applied to the final estimated average monthly enrollment to arrive at the estimated FPE for the fiscal year. The steps

described above are repeated for each waiver and fiscal year with the request and out years beginning with the estimated FY 2014-15 and FY 2015-16 maximum enrollment levels, respectively.

In the supplemental request the Department adjusted two forecasts to better estimate monthly enrollment for the entire year. These changes include:

Adult Comprehensive Services

The Department adjusted enrollment slightly to reflect actual enrollment for six months. This slightly impacted total average monthly enrollment for the remainder of the year. The Department anticipates reaching the full maximum enrollment by the end of FY 2014-15.

Adult Comprehensive Services – Regional Centers

The Department decreased the number of enrolled HCBS-DD Regional Center clients. The Department originally estimated 123 clients would utilize the HCBS-DD Regional Center waiver slots. Currently, the Department has 117 clients that have received paid claims thus far in FY 2014-15. As a result, the Department adjust down the HCBS-DD Regional Center enrollment estimate to reflect current enrollment for the remainder of FY 2014-15, the request year and the out year.

Adult Supported Living Services

For FY 2014-15 the Department decreased estimated monthly enrollment. Current policy for the HCBS-SLS waiver is to have no waiting lists. As the Department continues to enroll clients from the waiting list, the number of clients waiting for services has significantly decreased as the Department is either not able to contact the client, or the client no longer needs HCBS-SLS. To adjust for this, the Department reduced the estimated number of enrollments to better reflect actual enrollment and waitlist numbers. See the table below for further information on the Department's estimated enrollment.

HCBS-SLS Enrollment Adjustments			Source/Comment
FY 2014-15	SLS December Enrollment (S-5)	3,679	MMIS Data
	SLS Waitlist Listed to Enroll "As Soon as Possible"	634	CCMS Data
	Portion of SLS or DDD (30%)	86	30% of 572 from the Waitlist Report
	New Clients	12	Assuming Waitlist Growth Rate, 1.7%
	Total	4,411	
FY 2015-16	Growth	4,486	1.7% Growth over Final 14-15
	Later Waitlist Enrollments	77	FY 15 Enrollments Plus 15% of Joint Waitlist
	Youth Transitions	61	Assumed in Maximum Enrollment
	Total	4,624	
FY 2016-17	Growth	4,703	1.7% growth over final 15-16
	Youth Transitions	61	Assumed in Maximum Enrollment
	Total	4,764	

Exhibit D.4: Six Month Enrollment Actuals

This exhibit provides current monthly enrollment from July 2014 through December 2014 for each program. The exhibit also shows the monthly FPE and includes a calculation of FPE as a percentage of monthly enrollment for the six month time period.

Exhibit E: Calculation of Per FPE Expenditure

This exhibit provides a summary of historical per FPE expenditure, and calculates estimated per FPE expenditure for the years covered in this request.

The Department's methodology begins with per FPE expenditure calculated using final FY 2013-14 expenditure. The calculation of per FPE expenditure for the current year and request years includes three components. The first component is a base trend adjustment which accounts for factors including shifts in the service-level mix, changes in billing patterns or utilization, and other factors. For the purposes of the current request, the Department has not identified major changes in the factors mentioned above to initiate a trend.

The second component accounts for provider rate adjustments. For FY 2013-14 and FY 2014-15, the General Assembly appropriated funding to implement 4.00% and 2.50% provider rate increases, respectively, to DIDD waiver programs. These rate increases were effective July 1 of each respective fiscal year. Because the programs operate on a cash-accounting basis, the rate increase affects per FPE expenditure across multiple fiscal years, as some claims incurred in FY 2013-14 will not be paid until FY 2014-15, and similarly for claims incurred in FY 2014-15.

The third component accounts for the expected effect of approved policy in the Long Bill and any special bills through Bottom Line Adjustments. For 2014-15, the General Assembly appropriated funding to increase the service plan authorization limits (SPAL) for the HCBS-SLS waiver. The Department calculated the impact to per FPE expenditure by dividing the total appropriated amount of \$6,959,536 associated with the SPAL increase by the projected number of FPE included in the estimate. Similar to the provider rate increase above, the SPAL increase affects per FPE expenditure across multiple fiscal years and will not be fully realized until FY 2015-16. The Department assumed in the November budget request that the SPAL increase would be approximately 90% implemented in FY 2014-15. However, given per FPE expenditure to date, the Department slowed this implementation and assumed that half of the total impact would be implemented in FY 2014-15 and half in FY 2015-16. The Department assumes this is likely a result of the time it takes to adjust each client's SPAL amount. SPALs are reviewed and adjusted on the client's annual basis which results in a staggering of adjustments to reflect the SPAL increase.

Exhibit F: Quality Assurance, Utilization Review and Support Intensity Scale Services Forecast

This exhibit forecasts Quality Assurance (QA), Utilization Review (UR) and Support Intensity Scale (SIS) service costs. These services are provided on a monthly, yearly or periodic basis for clients. As a result, utilization and expenditure for these services are directly tied to the number of clients enrolled in the IDD programs.

The Department pays QA costs monthly for each client related to performance of activities related to the waiver Quality Improvement Strategy (QIS) as well as the mechanisms for overall quality assurance and system improvement. Such activities include application of policies and procedures for the resolution of complaints and grievances, critical incident reporting and response, and the assessment and reporting of process and outcome performance measures. To calculate QA costs the exhibit takes the estimated monthly enrollment from Table D.3 and multiplies that by the rate and 12 months for the year.

The Department pays UR costs on a monthly basis for each client. UR activities include the implementation of processes to ensure that waiver services have been authorized in conformance to waiver requirements and monitoring service utilization to ensure that the amount of services is within the levels authorized in the service plan. This also includes identifying instances when individuals are not receiving services authorized in the service plan or the amount of services utilized is substantially less than the amount authorized to identify potential problems in service access. For UR the exhibit multiples monthly enrollment and the current rate.

The Department performs SIS assessments for IDD clients. SIS includes an assessment of the individual's support needs in personal, work-related, and social activities in order to identify and describe the types and

intensity of the supports an individual requires. The SIS measures support needs in the areas of home living, community living, lifelong learning, employment, health and safety, social activities, and protection and advocacy. The Scale ranks each activity according to *frequency* (none, at least once a month), *amount* (none, less than 30 minutes), and *type* of support (monitoring, verbal gesturing). Finally, a Supports Intensity Level is determined based on the Total Support Needs Index, which is a standard score generated from scores on all the items tested by the Scale. For SIS, the exhibit calculates expenditure by assuming that all new enrollments as calculated by subtracting estimated enrollments in Table D.3 would receive an assessment and an additional ten percent of the current population would receive assessments. This would be a result of clients requesting a new assessment and churn within the programs. Children receiving services through the HCBS-CES waiver do not receive SIS assessments.

Exhibit G.1 through G.3: Appropriation Build

Exhibit G.1 through G.3 build the appropriation for the current, request and out years based on Long Bill and special bill appropriations and changes made to spending authority through budget requests. The appropriation build for each year then separates out the programs within each appropriation with assumed amounts attributed to each of them.

To build the request and out year the Department begins each exhibit with the prior year's final estimated appropriation for each program and adjusts the appropriation based on incremental amounts for each approved request or bill.

S-5 Office of Community Living Cost and Caseload Adjustments

Table A.1.1 - Calculation of Request					
FY 2014-15					
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Adult Comprehensive Services (HCBS-DD)					
Total Request	\$345,150,933	\$154,787,032	\$30,798,715	\$0	\$159,565,186
Adjusted Spending Authority	\$338,495,303	\$149,785,983	\$30,798,715	\$0	\$157,910,605
Incremental Request	\$6,655,630	\$5,001,049	\$0	\$0	\$1,654,581
Adult Comprehensive Services - Regional Centers					
Total Request	\$20,475,336	\$10,082,055	\$0	\$0	\$10,393,281
Adjusted Spending Authority	\$21,525,353	\$10,599,084	\$0	\$0	\$10,926,269
Incremental Request	(\$1,050,017)	(\$517,029)	\$0	\$0	(\$532,988)
Adult Supported Living Services (HCBS-SLS)					
Total Request	\$59,466,236	\$33,203,834	\$0	\$0	\$26,262,402
Adjusted Spending Authority	\$65,619,920	\$36,233,908	\$0	\$0	\$29,386,012
Incremental Request	(\$6,153,684)	(\$3,030,074)	\$0	\$0	(\$3,123,610)
Children's Extensive Support Services (HCBS-CES)					
Total Request	\$17,038,107	\$8,389,564	\$0	\$0	\$8,648,543
Adjusted Spending Authority	\$16,983,538	\$8,324,704	\$0	\$0	\$8,658,834
Incremental Request	\$54,569	\$64,860	\$0	\$0	(\$10,291)
Case Management					
Total Request	\$30,898,001	\$16,393,222	\$0	\$0	\$14,504,779
Adjusted Spending Authority	\$28,139,103	\$15,022,609	\$0	\$0	\$13,116,494
Incremental Request	\$2,758,898	\$1,370,613	\$0	\$0	\$1,388,285
Eligibility Determination and Waiting List Management					
Total Request	\$3,062,117	\$3,041,968	\$0	\$0	\$20,149
Adjusted Spending Authority	\$3,062,117	\$3,041,968	\$0	\$0	\$20,149
Incremental Request	\$0	\$0	\$0	\$0	\$0
Family Support Services					
Total Request	\$6,828,718	\$6,828,718	\$0	\$0	\$0
Adjusted Spending Authority	\$6,828,718	\$6,828,718	\$0	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0
Preventive Dental Hygiene					
Total Request	\$65,754	\$62,112	\$3,642	\$0	\$0
Adjusted Spending Authority	\$65,754	\$62,112	\$3,642	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0
Office of Community Living Total					
Total Request	\$482,985,202	\$232,788,505	\$30,802,357	\$0	\$219,394,340
Adjusted Spending Authority	\$480,719,806	\$229,899,086	\$30,802,357	\$0	\$220,018,363
Incremental Request	\$2,265,396	\$2,889,419	\$0	\$0	(\$624,023)

S-5 Office of Community Living Cost and Caseload Adjustments

Department of Human Services Funding - Regional Centers					
Total Request	(\$20,475,336)	(\$10,082,055)	\$0	\$0	(\$10,393,281)
Adjusted Spending Authority	(\$21,525,353)	(\$10,599,084)	\$0	\$0	(\$10,926,269)
Incremental Request	\$1,050,017	\$517,029	\$0	\$0	\$532,988
Grand Total					
Total Request	\$462,509,866	\$222,706,450	\$30,802,357	\$0	\$209,001,059
Adjusted Spending Authority	\$459,194,453	\$219,300,002	\$30,802,357	\$0	\$209,092,094
Incremental Request	\$3,315,413	\$3,406,448	\$0	\$0	(\$91,035)
Table A.1.2 - Calculation of Request					
FY 2015-16					
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Adult Comprehensive Services (HCBS-DD)					
Total Request	\$363,998,652	\$163,967,689	\$30,798,715	\$0	\$169,232,248
Adjusted Spending Authority	\$363,015,995	\$160,315,795	\$33,628,301	\$0	\$169,071,899
Incremental Request	\$982,657	\$3,651,894	(\$2,829,586)	\$0	\$160,349
Adult Comprehensive Services - Regional Centers					
Total Request	\$22,050,362	\$10,850,983	\$0	\$0	\$11,199,379
Adjusted Spending Authority	\$21,525,353	\$10,646,440	\$0	\$0	\$10,878,913
Incremental Request	\$525,009	\$204,543	\$0	\$0	\$320,466
Adult Supported Living Services (HCBS-SLS)					
Total Request	\$74,653,917	\$40,695,316	\$0	\$0	\$33,958,601
Adjusted Spending Authority	\$86,399,299	\$46,498,800	\$0	\$0	\$39,900,499
Incremental Request	(\$11,745,382)	(\$5,803,484)	\$0	\$0	(\$5,941,898)
Children's Extensive Support Services (HCBS-CES)					
Total Request	\$22,165,020	\$10,907,406	\$0	\$0	\$11,257,614
Adjusted Spending Authority	\$22,165,020	\$10,882,592	\$0	\$0	\$11,282,428
Incremental Request	\$0	\$24,814	\$0	\$0	(\$24,814)
Case Management					
Total Request	\$34,058,968	\$17,939,336	\$0	\$0	\$16,119,632
Adjusted Spending Authority	\$32,609,630	\$17,222,291	\$0	\$0	\$15,387,339
Incremental Request	\$1,449,338	\$717,045	\$0	\$0	\$732,293
Eligibility Determination and Waiting List Management					
Total Request	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292
Adjusted Spending Authority	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292
Incremental Request	\$0	\$0	\$0	\$0	\$0
Family Support Services					
Total Request	\$6,843,859	\$6,843,859	\$0	\$0	\$0
Adjusted Spending Authority	\$6,843,859	\$6,843,859	\$0	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0

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Preventive Dental Hygiene					
Total Request	\$65,892	\$62,250	\$3,642	\$0	\$0
Adjusted Spending Authority	\$65,892	\$62,250	\$3,642	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0
Office of Community Living Total					
Total Request	\$526,905,577	\$254,315,454	\$30,802,357	\$0	\$241,787,766
Adjusted Spending Authority	\$535,693,955	\$255,520,642	\$33,631,943	\$0	\$246,541,370
Incremental Request	(\$8,788,378)	(\$1,205,188)	(\$2,829,586)	\$0	(\$4,753,604)
Department of Human Services Funding - Regional Centers					
Total Request	(\$22,050,362)	(\$10,850,983)	\$0	\$0	(\$11,199,379)
Adjusted Spending Authority	(\$21,525,353)	(\$10,646,440)	\$0	\$0	(\$10,878,913)
Incremental Request	(\$525,009)	(\$204,543)	\$0	\$0	(\$320,466)
Grand Total					
Total Request	\$504,855,215	\$243,464,471	\$30,802,357	\$0	\$230,588,387
Adjusted Spending Authority	\$514,168,602	\$244,874,202	\$33,631,943	\$0	\$235,662,457
Incremental Request	(\$9,313,387)	(\$1,409,731)	(\$2,829,586)	\$0	(\$5,074,070)
Table A.1.3 - Calculation of Request					
FY 2016-17					
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Adult Comprehensive Services (HCBS-DD)					
Total Request	\$372,265,988	\$168,275,072	\$30,798,715	\$0	\$173,192,201
Adjusted Spending Authority	\$365,370,405	\$164,505,236	\$30,798,715	\$0	\$170,066,454
Incremental Request	\$6,895,583	\$3,769,836	\$0	\$0	\$3,125,747
Adult Comprehensive Services - Regional Centers					
Total Request	\$21,525,353	\$10,607,694	\$0	\$0	\$10,917,659
Adjusted Spending Authority	\$21,525,353	\$10,662,225	\$0	\$0	\$10,863,128
Incremental Request	\$0	(\$54,531)	\$0	\$0	\$54,531
Adult Supported Living Services (HCBS-SLS)					
Total Request	\$77,266,667	\$42,051,133	\$0	\$0	\$35,215,534
Adjusted Spending Authority	\$88,602,844	\$47,637,601	\$0	\$0	\$40,965,243
Incremental Request	(\$11,336,177)	(\$5,586,468)	\$0	\$0	(\$5,749,709)
Children's Extensive Support Services (HCBS-CES)					
Total Request	\$23,032,197	\$11,350,267	\$0	\$0	\$11,681,930
Adjusted Spending Authority	\$23,032,197	\$11,323,324	\$0	\$0	\$11,708,873
Incremental Request	\$0	\$26,943	\$0	\$0	(\$26,943)
Case Management					
Total Request	\$34,897,558	\$18,381,008	\$0	\$0	\$16,516,550
Adjusted Spending Authority	\$33,328,330	\$17,597,015	\$0	\$0	\$15,731,315
Incremental Request	\$1,569,228	\$783,993	\$0	\$0	\$785,235

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Eligibility Determination and Waiting List Management					
Total Request	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292
Adjusted Spending Authority	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292
Incremental Request	\$0	\$0	\$0	\$0	\$0
Family Support Services					
Total Request	\$6,843,859	\$6,843,859	\$0	\$0	\$0
Adjusted Spending Authority	\$6,843,859	\$6,843,859	\$0	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0
Preventive Dental Hygiene					
Total Request	\$65,892	\$62,250	\$3,642	\$0	\$0
Adjusted Spending Authority	\$65,892	\$62,250	\$3,642	\$0	\$0
Incremental Request	\$0	\$0	\$0	\$0	\$0
Office of Community Living Total					
Total Request	\$538,966,421	\$260,619,898	\$30,802,357	\$0	\$247,544,166
Adjusted Spending Authority	\$541,837,787	\$261,680,125	\$30,802,357	\$0	\$249,355,305
Incremental Request	(\$2,871,366)	(\$1,060,227)	\$0	\$0	(\$1,811,139)
Department of Human Services Funding - Regional Centers					
Total Request	(\$21,525,353)	(\$10,607,694)	\$0	\$0	(\$10,917,659)
Adjusted Spending Authority	(\$21,525,353)	(\$10,662,225)	\$0	\$0	(\$10,863,128)
Incremental Request	\$0	\$54,531	\$0	\$0	(\$54,531)
Grand Total					
Total Request	\$517,441,068	\$250,012,204	\$30,802,357	\$0	\$236,626,507
Adjusted Spending Authority	\$520,312,434	\$251,017,900	\$30,802,357	\$0	\$238,492,177
Incremental Request	(\$2,871,366)	(\$1,005,696)	\$0	\$0	(\$1,865,670)

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Table A.2 - Calculation of Fund Splits							
FY 2014-15							
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP	Source
Adult Comprehensive Services (HCBS-DD)							
Medicaid Services	\$314,352,218	\$154,787,032	\$0	\$0	\$159,565,186	50.76%	Table C.1 Row F
Client Cash Sources Payments	\$30,798,715	\$0	\$30,798,715	\$0	\$0	0.00%	Table G.1 Row F
Subtotal	\$345,150,933	\$154,787,032	\$30,798,715	\$0	\$159,565,186		
Adult Comprehensive Services - Regional Centers							
Subtotal	\$20,475,336	\$10,082,055	\$0	\$0	\$10,393,281	50.76%	Table C.1 Row F
Adult Supported Livings Services (HCBS-SLS)							
Medicaid Services	\$51,738,381	\$25,475,979	\$0	\$0	\$26,262,402	50.76%	Table C.1 Row F
State Only Services	\$7,727,855	\$7,727,855	\$0	\$0	\$0	0.00%	Table G.1 Row N
Subtotal	\$59,466,236	\$33,203,834	\$0	\$0	\$26,262,402		
Children's Extensive Support Services (HCBS-CES)							
Subtotal	\$17,038,107	\$8,389,564	\$0	\$0	\$8,648,543	50.76%	Table C.1 Row F
Case Management							
Medicaid Services	\$24,146,200	\$11,889,589	\$0	\$0	\$12,256,611	50.76%	Table C.1 Row F
State Only Services	\$2,255,466	\$2,255,466	\$0	\$0	\$0	0.00%	Table G.1 Row W
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,496,335	\$2,248,167	\$0	\$0	\$2,248,168	50.00%	Table F.1 Row L
Subtotal	\$30,898,001	\$16,393,222	\$0	\$0	\$14,504,779		
Eligibility Determination and Waiting List Management							
Medical Eligibility Determination	\$3,035,252	\$3,035,252	\$0	\$0	\$0	0.00%	Table G.1 Row AE
PASRR	\$26,865	\$6,716	\$0	\$0	\$20,149	75.00%	Table G.1 Row AD
Subtotal	\$3,062,117	\$3,041,968	\$0	\$0	\$20,149		
Other Programs							
Family Support Services	\$6,828,718	\$6,828,718	\$0	\$0	\$0	0.00%	Table G.1 Row Y
Preventive Dental Hygiene	\$65,754	\$62,112	\$3,642	\$0	\$0	0.00%	Table G.1 Row AA
Subtotal	\$6,894,472	\$6,890,830	\$3,642	\$0	\$0		
Grand Total	\$482,985,202	\$232,788,505	\$30,802,357	\$0	\$219,394,340		
<i>Definitions:</i> FFP: Federal financial participation rate							

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Table A.3 - Calculation of Fund Splits							
FY 2015-16							
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP	Source
Adult Comprehensive Services (HCBS-DD)							
Medicaid Services	\$333,199,937	\$163,967,689	\$0	\$0	\$169,232,248	50.79%	Table C.2 Row F
Client Cash Sources Payments	\$30,798,715	\$0	\$30,798,715	\$0	\$0	0.00%	Table G.2 Row J
Subtotal	\$363,998,652	\$163,967,689	\$30,798,715	\$0	\$169,232,248		
Adult Comprehensive Services - Regional Centers							
Subtotal	\$22,050,362	\$10,850,983	\$0	\$0	\$11,199,379	50.79%	Table C.2 Row F
Adult Supported Livings Services (HCBS-SLS)							
Medicaid Services	\$66,860,802	\$32,902,201	\$0	\$0	\$33,958,601	50.79%	Table C.2 Row F
State Only Services	\$7,793,115	\$7,793,115	\$0	\$0	\$0	0.00%	Table G.2 Row X
Subtotal	\$74,653,917	\$40,695,316	\$0	\$0	\$33,958,601		
Children's Extensive Support Services (HCBS-CES)							
Subtotal	\$22,165,020	\$10,907,406	\$0	\$0	\$11,257,614	50.79%	Table C.2 Row F
Case Management							
Medicaid Services	\$27,379,715	\$13,473,558	\$0	\$0	\$13,906,157	50.79%	Table C.2 Row F
State Only Services	\$2,252,303	\$2,252,303	\$0	\$0	\$0	0.00%	Table G.2 Row AO
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,426,950	\$2,213,475	\$0	\$0	\$2,213,475	50.00%	Table F.2 Row J
Subtotal	\$34,058,968	\$17,939,336	\$0	\$0	\$16,119,632		
Eligibility Determination and Waiting List Management							
Medical Eligibility Determination	\$3,041,851	\$3,041,851	\$0	\$0	\$0	0.00%	Table G.2 Row AAA
PASRR	\$27,056	\$6,764	\$0	\$0	\$20,292	75.00%	Table G.2 Row AZ
Subtotal	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292		
Other Programs							
Family Support Services	\$6,843,859	\$6,843,859	\$0	\$0	\$0	0.00%	Table G.2 Row AR
Preventive Dental Hygiene	\$65,892	\$62,250	\$3,642	\$0	\$0	0.00%	Table G.2 Row AU
Subtotal	\$6,909,751	\$6,906,109	\$3,642	\$0	\$0		
Grand Total	\$526,905,577	\$254,315,454	\$30,802,357	\$0	\$241,787,766		
<i>Definitions:</i> FFP: Federal financial participation rate							

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Table A.4 - Calculation of Fund Splits							
FY 2016-17							
Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP	Source
Adult Comprehensive Services (HCBS-DD)							
Medicaid Services	\$341,467,273	\$168,275,072	\$0	\$0	\$173,192,201	50.72%	Table C.3 Row F
Client Cash Sources Payments	\$30,798,715	\$0	\$30,798,715	\$0	\$0	0.00%	Table G.3 Row G
Subtotal	\$372,265,988	\$168,275,072	\$30,798,715	\$0	\$173,192,201		
Adult Comprehensive Services - Regional Centers							
Subtotal	\$21,525,353	\$10,607,694	\$0	\$0	\$10,917,659	50.72%	Table C.3 Row F
Adult Supported Livings Services (HCBS-SLS)							
Medicaid Services	\$69,431,258	\$34,215,724	\$0	\$0	\$35,215,534	50.72%	Table C.3 Row F
State Only Services	\$7,835,409	\$7,835,409	\$0	\$0	\$0	0.00%	Table G.3 Row Q
Subtotal	\$77,266,667	\$42,051,133	\$0	\$0	\$35,215,534		
Children's Extensive Support Services (HCBS-CES)							
Subtotal	\$23,032,197	\$11,350,267	\$0	\$0	\$11,681,930	50.72%	Table C.3 Row F
Case Management							
Medicaid Services	\$28,243,438	\$13,918,366	\$0	\$0	\$14,325,072	50.72%	Table C.3 Row F
State Only Services	\$2,271,163	\$2,271,163	\$0	\$0	\$0	0.00%	Table G.3 Row AB
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,382,957	\$2,191,479	\$0	\$0	\$2,191,478	50.00%	Table F.3 Row J
Subtotal	\$34,897,558	\$18,381,008	\$0	\$0	\$16,516,550		
Eligibility Determination and Waiting List Management							
Medical Eligibility Determination	\$3,041,851	\$3,041,851	\$0	\$0	\$0	0.00%	Table G.3 Row AK
PASRR	\$27,056	\$6,764	\$0	\$0	\$20,292	75.00%	Table G.3 Row AJ
Subtotal	\$3,068,907	\$3,048,615	\$0	\$0	\$20,292		
Other Programs							
Family Support Services	\$6,843,859	\$6,843,859	\$0	\$0	\$0	0.00%	Table G.3 Row AD
Preventive Dental Hygiene	\$65,892	\$62,250	\$3,642	\$0	\$0	0.00%	Table G.3 Row AG
Subtotal	\$6,909,751	\$6,906,109	\$3,642	\$0	\$0		
Grand Total	\$538,966,421	\$260,619,898	\$30,802,357	\$0	\$247,544,166		
<i>Definitions:</i> FFP: Federal financial participation rate							

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Table B.1 - Division for Intellectual and Developmental Disabilities (DIDD) Total Program Expenditure and Forecast							
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total
A	FY 2007-08	\$202,943,588	\$19,814,222	\$39,607,629	\$5,894,263	\$13,661,560	\$281,921,262
B	FY 2008-09	\$223,362,025	\$26,028,730	\$46,391,718	\$6,913,410	\$13,848,967	\$316,544,850
C	FY 2009-10	\$253,798,612	\$28,360,034	\$37,399,799	\$7,158,025	\$16,484,735	\$343,201,205
D	FY 2010-11	\$273,096,876	\$24,142,015	\$37,579,497	\$7,956,073	\$19,114,672	\$361,889,133
E	FY 2011-12	\$264,899,518	\$25,276,720	\$37,030,578	\$7,361,601	\$16,875,522	\$351,443,939
F	FY 2012-13	\$261,817,957	\$24,167,096	\$37,273,663	\$7,015,707	\$16,117,073	\$346,391,496
G	FY 2013-14	\$282,475,249	\$22,225,364	\$39,288,448	\$9,125,302	\$17,441,960	\$370,556,323
H	Estimated FY 2014-15	\$314,352,218	\$20,475,336	\$51,738,381	\$17,038,107	\$24,146,200	\$427,750,242
I	Estimated FY 2015-16	\$333,199,937	\$22,050,362	\$66,860,802	\$22,165,020	\$27,379,715	\$471,655,836
J	Estimated FY 2016-17	\$341,467,273	\$21,525,353	\$69,431,258	\$23,032,197	\$28,243,438	\$483,699,519

Table B.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Total Program Expenditure							
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total
A	FY 2007-08						
B	FY 2008-09	10.06%	31.36%	17.13%	17.29%	1.37%	12.28%
C	FY 2009-10	13.63%	8.96%	-19.38%	3.54%	19.03%	8.42%
D	FY 2010-11	7.60%	-14.87%	0.48%	11.15%	15.95%	5.45%
E	FY 2011-12	-3.00%	4.70%	-1.46%	-7.47%	-11.71%	-2.89%
F	FY 2012-13	-1.16%	-4.39%	0.66%	-4.70%	-4.49%	-1.44%
G	FY 2013-14	7.89%	-8.03%	5.41%	30.07%	8.22%	6.98%
H	Estimated FY 2014-15	11.28%	-7.87%	31.69%	86.71%	38.44%	15.43%
I	Estimated FY 2015-16	6.00%	7.69%	29.23%	30.09%	13.39%	10.26%
J	Estimated FY 2016-17	2.48%	-2.38%	3.84%	3.91%	3.15%	2.55%

Table B.3 - Division for Intellectual and Developmental Disabilities (DIDD) Monthly Expenditure								
FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL	Monthly Growth	Monthly Growth Rate
July 2014	\$21,969,331	\$771,539	\$3,019,543	\$1,220,280	\$1,711,215	\$28,691,908		
August 2014	\$25,825,425	\$1,473,828	\$3,618,490	\$1,447,695	\$1,091,158	\$33,456,596	\$4,764,688	16.61%
September 2014	\$26,914,666	\$991,909	\$3,094,107	\$1,008,317	\$2,267,691	\$34,276,690	\$820,094	2.45%
October 2014	\$25,145,670	\$1,762,823	\$3,553,203	\$1,070,486	\$1,370,992	\$32,903,174	(\$1,373,516)	-4.01%
November 2014	\$26,238,586	\$1,831,697	\$3,607,672	\$1,074,708	\$1,714,021	\$34,466,684	\$1,563,510	4.75%
December 2014	\$24,558,499	\$1,745,723	\$3,660,527	\$1,223,184	\$2,626,393	\$33,814,327	(\$652,357)	-1.89%
Year-to-Date Total	\$150,652,176	\$8,577,520	\$20,553,542	\$7,044,670	\$10,781,470	\$197,609,379	\$5,122,419	17.91%
Year-to-Date Monthly Average	\$25,108,696	\$1,429,587	\$3,425,590	\$1,174,112	\$1,796,912	\$32,934,897	\$1,024,484	3.58%

Table C.1 - FY 2014-15 Projected Expenditure									
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Source/Calculation
A	Adjusted Appropriation	\$307,696,588	\$21,525,353	\$57,892,065	\$16,983,538	\$22,983,637	\$0	\$427,081,181	See Footnote (1)
B	Appropriated Full Program Equivalents (FPE)	4,874.08	0.00	4,267.50	1,200.13	10,341.71	0.00		See Footnote (1)
C	Appropriated Per FPE Expenditure	\$63,129.16	\$0.00	\$13,565.80	\$14,151.42	\$2,222.42	\$0.00		Row A / Row B
D	Projected FPE	4,705.37	117.00	3,579.25	906.09	9,166.67	0.00		Table G.2.3, Row G
E	Projected Per FPE Expenditure	\$66,807.12	\$175,002.87	\$14,455.09	\$18,803.99	\$2,634.13	\$0.00		Table F.1, Row H
F	Total Projected Expenditure	\$314,352,218	\$20,475,336	\$51,738,381	\$17,038,107	\$24,146,200	\$0	\$427,750,242	Row D * Row E
G	Estimated Over/(Under-expenditure)	\$6,655,630	(\$1,050,017)	(\$6,153,684)	\$54,569	\$1,162,563	\$0	\$669,061	Row F - Row A

Table C.2 - FY 2015-16 Projected Expenditure									
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Source/Calculation
A	FY 2015-16 Base Request	\$332,217,280	\$21,525,353	\$78,606,184	\$22,165,020	\$27,457,327	\$0	\$481,971,164	See Footnote (1)
B	Appropriated Full Program Equivalents (FPE)	4,874.08	0.00	4,267.50	1,200.13	10,341.71	0.00		See Footnote (1)
C	Appropriated Per FPE Expenditure	\$68,160.00	\$0.00	\$18,419.73	\$18,468.85	\$2,655.01	\$0.00		Row A / Row B
D	Projected FPE	4,977.04	126.00	4,294.72	1,176.27	10,372.44	0.00		Table G.2.4, Row G
E	Projected Per FPE Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66	\$0.00		Table F.1 Row I
F	Total Projected Expenditure	\$333,199,937	\$22,050,362	\$66,860,802	\$22,165,020	\$27,379,715	\$0	\$471,655,836	Row D * Row E
G	Estimated Over/(Under-expenditure)	\$982,657	\$525,009	(\$11,745,382)	\$0	(\$77,612)	\$0	(\$10,315,328)	Row F - Row A

Table C.3 - FY 2016-17 Projected Expenditure									
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Source/Calculation
A	FY 2016-17 Base Request	\$334,571,690	\$21,525,353	\$80,767,435	\$23,032,197	\$28,157,167	\$0	\$488,053,842	See Footnote (1)
B	Appropriated Full Program Equivalents (FPE)	4,874.08	0.00	4,267.50	1,200.13	10,341.71	0.00		See Footnote (1)
C	Appropriated Per FPE Expenditure	\$68,643.04	\$0.00	\$18,926.17	\$19,191.42	\$2,722.68	\$0.00		Row A / Row B
D	Projected FPE	5,100.53	123.00	4,459.83	1,222.29	10,699.65	0.00		Table G.2.5, Row G
E	Projected Per FPE Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66	\$0.00		Table F.1 Row J
F	Total Projected Expenditure	\$341,467,273	\$21,525,353	\$69,431,258	\$23,032,197	\$28,243,438	\$0	\$483,699,519	Row D * Row E
G	Estimated Over/(Under-expenditure)	\$6,895,583	\$0	(\$11,336,177)	\$0	\$86,271	\$0	(\$4,354,323)	Row F - Row A

(1) All appropriation amounts above are for Medicaid funded individuals only and do not include State-only funded individuals, services provided to individuals in the Early Intervention program, or administrative costs.

Table D.1.1 - FY 2014-15 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers ⁽¹⁾	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	HB 14-1336 "FY 2014-15 Long Bill Appropriation"	4,820	123	5,318	1,204	11,465
B	Base Trend Increase	0.00%	0.00%	1.70%	3.90%	1.19%
C	Initial Estimated FY 2014-15 Enrollment	4,820	123	5,408	1,251	11,602
	<i>Bottom Line Adjustments</i>					
D	HB 14-1368 "Transition Youth with Developmental Disabilities to Adult Services"	150	0	0	0	150
E	Total Bottom Line Adjustments	150	0	0	0	150
F	Estimated FY 2014-15 Maximum Enrollment	4,970	123	5,408	1,251	11,752

Table D.1.2 - FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers ⁽¹⁾	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	Estimated FY 2014-15 Maximum Enrollment	4,970	123	5,408	1,251	11,752
B	Base Trend Increase	0.00%	0.00%	1.70%	3.90%	1.20%
C	Initial Estimated FY 2015-16 Enrollment	4,970	123	5,500	1,300	11,893
	<i>Bottom Line Adjustments</i>					
D	Emergency Enrollments	40	0	0	0	40
E	Foster Care Transitions	55	0	0	0	55
F	Youth Transitions	0	0	61	0	61
G	Institutional Care Transitions	30	0	0	0	30
H	Total Bottom Line Adjustments	125	0	61	0	186
I	Estimated FY 2015-16 Maximum Enrollment	5,095	123	5,561	1,300	12,079

Table D.1.3 - FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers ⁽¹⁾	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	Estimated FY 2015-16 Maximum Enrollment	5,095	123	5,561	1,300	12,079
B	Base Trend Increase	0.00%	0.00%	1.70%	3.90%	1.21%
C	Initial Estimated FY 2016-17 Enrollment	5,095	123	5,656	1,351	12,225
	<i>Bottom Line Adjustments</i>					
D	Emergency Enrollments	40	0	0	0	40
E	Foster Care Transitions	55	0	0	0	55
F	Youth Transitions	0	0	61	0	61
G	Institutional Care Transitions	30	0	0	0	30
H	Total Bottom Line Adjustments	125	0	61	0	186
I	Estimated FY 2016-17 Maximum Enrollment	5,220	123	5,717	1,351	12,411

(1) The Department assumes that HCBS-DD and HCBS-DD Regional Center waiver slots can be used interchangeably. For example, if an HCBS-DD Regional Center client transitions to the community, their waiver slot would follow them to the HCBS-DD community program. Additionally, if a client leaves the Regional Center program and does not move into the community program, the Department assumes either a new HCBS-DD Regional Center client or a new HCBS-DD community client could occupy the open waiver slot. The Department would account for any needed adjustment via the usual budget process.

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Table D.2 - DIDD Average Monthly Enrollment vs. Full Program Equivalent (FPE)						
Row	Fiscal Year	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers ⁽¹⁾	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08	Average Monthly Enrollment	4,399	120	2,871	7,773
B		FPE	3,654.00	120.00	2,287.00	6,165.00
C		FPE as a Percentage of Average Monthly Enrollment	83.06%	100.00%	79.66%	79.31%
D	FY 2008-09	Average Monthly Enrollment	4,390	129	2,992	7,911
E		FPE	3,854.00	129.00	2,369.00	6,420.00
F		FPE as a Percentage of Average Monthly Enrollment	87.79%	100.00%	79.18%	81.15%
G	FY 2009-10	Average Monthly Enrollment	4,401	118	3,104	8,027
H		FPE	4,063.00	118.00	2,625.00	6,049.00
I		FPE as a Percentage of Average Monthly Enrollment	92.32%	100.00%	84.57%	75.36%
J	FY 2010-11	Average Monthly Enrollment	4,397	122	3,116	8,020
K		FPE	4,123.00	122.00	2,848.00	7,045.00
L		FPE as a Percentage of Average Monthly Enrollment	93.77%	100.00%	91.40%	87.84%
M	FY 2011-12	Average Monthly Enrollment	4,397	122	3,140	8,032
N		FPE	4,113.00	122.00	2,860.00	6,578.00
O		FPE as a Percentage of Average Monthly Enrollment	93.54%	100.00%	91.08%	81.90%
P	FY 2012-13	Average Monthly Enrollment	4,384	135	3,178	8,074
Q		FPE	4,156.00	135.00	3,021.00	6,760.00
R		FPE as a Percentage of Average Monthly Enrollment	94.80%	100.00%	95.06%	83.73%
S	FY 2013-14	Average Monthly Enrollment	4,392	127	3,183	8,309
T		FPE	4,339.00	127.00	3,015.00	6,795.00
U		FPE as a Percentage of Average Monthly Enrollment	98.79%	100.00%	94.72%	81.78%
V	FY 2014-15 Selected FPE Conversion Factor ⁽²⁾		98.79%	100.00%	94.89%	87.04%
W	FY 2015-16 and FY 2016-17 Selected FPE Conversion Factor ⁽³⁾		98.79%	100.00%	94.89%	92.04%

(1) Within the current system, the Department is unable to identify HCBS-DD Regional Center clients using the Prior Authorization (PAR) methodology that is used to determine average monthly enrollment for the other waiver programs. Therefore, the FPE as a percentage of average monthly enrollment factor for these clients is 100% as the clients can only be identified using paid claims.

(2) The selected FY 2014-15 FPE Conversion Factor for HCBS-DD is the FY 2013-14 factor; for HCBS-SLS and HCBS-CES the selected factor is the average FPE as a percentage of average monthly enrollment for FY 2012-13 and FY 2013-14; and for TCM the conversion factor is the FPE as a percentage of average monthly enrollment from November 2014. For further detail please see the narrative.

(3) The selected FY 2015-16 and FY 2016-17 FPE Conversion Factor for HCBS-DD is the FY 2013-14 factor; for HCBS-SLS the selected factor is the average FPE as a percentage of average monthly enrollment for FY 2012-13 and FY 2013-14; for HCBS-CES the factor is the FY 2012-13 factor; and for TCM the conversion factor is the FPE as a percentage of average monthly enrollment from November 2014. For further detail please see the narrative.

Table D.3.1 - Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment Forecast						
Row	Fiscal Year	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08	4,399	120	2,871	383	7,773
B	FY 2008-09	4,390	129	2,992	400	7,911
C	FY 2009-10	4,401	118	3,104	404	8,027
D	FY 2010-11	4,397	122	3,116	385	8,020
E	FY 2011-12	4,397	122	3,140	373	8,032
F	FY 2012-13	4,384	135	3,178	377	8,074
G	FY 2013-14	4,392	127	3,183	607	8,309
H	Estimated FY 2014-15	4,763	117	3,772	1,041	9,693
I	Estimated FY 2015-16	5,038	126	4,526	1,278	10,968
J	Estimated FY 2016-17	5,163	123	4,700	1,328	11,314

Table D.3.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment						
Row	Fiscal Year	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08					
B	FY 2008-09	-0.20%	7.50%	4.21%	4.44%	1.78%
C	FY 2009-10	0.25%	-8.53%	3.74%	1.00%	1.47%
D	FY 2010-11	-0.09%	3.39%	0.39%	-4.70%	-0.09%
E	FY 2011-12	0.00%	0.00%	0.77%	-3.12%	0.15%
F	FY 2012-13	-0.30%	10.66%	1.21%	1.07%	0.52%
G	FY 2013-14	0.18%	-5.93%	0.16%	61.01%	2.91%
H	Estimated FY 2014-15	8.45%	-7.87%	18.50%	71.50%	16.66%
I	Estimated FY 2015-16	5.77%	7.69%	19.99%	22.77%	13.15%
J	Estimated FY 2016-17	2.48%	-2.38%	3.84%	3.91%	3.15%

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Table D.3.3 - Calculation of FY 2014-15 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	FY 2013-14 Year-End Enrollment; June 2014	4,604	123	3,160	792	8,679	MMS Prior Authorization Request Data; June 2014
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	159	(6)	612	249	1,014	See narrative
C	Final Estimated FY 2014-15 Average Monthly Enrollment Under Current Policy	4,763	117	3,772	1,041	9,693	Row A + Row B
D	Requested Enrollment from Reallocation of Existing Resources	0	0	0	0	0	
E	Final Estimated FY 2014-15 Average Monthly Enrollment	4,763	117	3,772	1,041	9,693	Row C + Row D
F	FPE Adjustment Factor	98.79%	100.00%	94.89%	87.04%	94.57%	Table D.2, Row V
G	Estimated FY 2014-15 FPE	4,705.37	117.00	3,579.25	906.09	9,166.67	Row E * Row F

Table D.3.4 - Calculation of FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	Estimated FY 2014-15 Year-End Enrollment; June 2015	4,970	123	4,411	1,251	11,752	Table D.1.1, Row F
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	68	3	115	27	213	See narrative
C	Final Estimated FY 2015-16 Average Monthly Enrollment Under Current Policy	5,038	126	4,526	1,278	10,968	Row A + Row B
D	Requested Enrollment from Reallocation of Existing Resources	0	0	0	0	0	
E	Final Estimated FY 2015-16 Average Monthly Enrollment	5,038	126	4,526	1,278	10,968	Row C + Row D
F	FPE Adjustment Factor	98.79%	100.00%	94.89%	92.04%	94.57%	Table D.2, Row W
G	Estimated FY 2015-16 FPE	4,977.04	126.00	4,294.72	1,176.27	10,372.44	Row E * Row F

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Table D.3.5 - Calculation of FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2016-17	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	Estimated FY 2015-16 Year-End Enrollment; June 2016	5,095	123	4,624	1,300	12,079	Table D.1.3, Row A
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	68	0	76	28	172	See narrative
C	Final Estimated FY 2016-17 Average Monthly Enrollment Under Current Policy	5,163	123	4,700	1,328	11,314	Row A + Row B
D	Requested Enrollment from Reallocation of Existing Resources	0	0	0	0	0	
E	Final Estimated FY 2016-17 Average Monthly Enrollment	5,163	123	4,700	1,328	11,314	Row C + Row D
F	FPE Adjustment Factor	98.79%	100.00%	94.89%	92.04%	94.57%	Table D.2, Row W
G	Estimated FY 2016-17 FPE	5,100.53	123.00	4,459.83	1,222.29	10,699.65	Row E * Row F

Table D.4.1 - Office of Community Living Monthly Enrollment								
FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL	Monthly Growth	Monthly Growth Rate
July 2014	4,668	64	3,201	820	8,753	8,753		
August 2014	4,642	116	3,300	857	8,915	8,915	162	1.85%
September 2014	4,697	62	3,363	888	9,010	9,010	95	1.07%
October 2014	4,679	114	3,483	920	9,196	9,196	186	2.06%
November 2014	4,668	117	3,558	941	9,284	9,284	88	0.96%
December 2014	4,691	117	3,679	967	9,454	9,454	170	1.83%
Year-to-Date Average	4,674	98	3,431	899	9,102	18,204	140	1.55%

Table D.4.2 - Office of Community Living Monthly FPE								
FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL	Monthly Growth	Monthly Growth Rate
July 2014	4,432	64	2,881	670	10,466	8,047		
August 2014	4,589	116	3,055	741	7,048	8,501	454	5.64%
September 2014	4,613	62	3,014	741	11,248	8,430	(71)	-0.84%
October 2014	4,613	114	3,234	785	9,119	8,746	316	3.75%
November 2014	4,613	117	3,274	812	8,780	8,816	70	0.80%
December 2014	4,636	117	3,363	805	11,571	8,921	105	1.19%
Year-to-Date Average	4,583	98	3,137	759	9,705	18,282	175	2.11%

Table D.4.3 - Office of Community Living Monthly FPE as a Percentage of Enrollment					
FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
July 2014	94.94%	100.00%	90.00%	81.71%	119.57%
August 2014	98.86%	100.00%	92.58%	86.46%	79.06%
September 2014	98.21%	100.00%	89.62%	83.45%	124.84%
October 2014	98.59%	100.00%	92.85%	85.33%	99.16%
November 2014	98.82%	100.00%	92.02%	86.29%	94.57%
December 2014	98.83%	100.00%	91.41%	83.25%	122.39%
Year-to-Date Average	98.04%	100.00%	91.41%	84.42%	106.60%

Table E.1 - Division for Intellectual and Developmental Disabilities (DIDD) Per Full Program Equivalent (FPE) Expenditure and Forecast							
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	
A	FY 2007-08	\$55,540.12	\$165,118.52	\$17,318.60	\$20,255.20	\$2,215.99	
B	FY 2008-09	\$57,955.90	\$201,773.10	\$19,582.83	\$21,077.47	\$2,157.16	
C	FY 2009-10	\$62,465.82	\$240,339.27	\$14,247.54	\$22,024.69	\$2,725.20	
D	FY 2010-11	\$66,237.42	\$197,885.37	\$13,195.05	\$22,223.67	\$2,713.23	
E	FY 2011-12	\$64,405.43	\$207,186.23	\$12,947.75	\$21,779.88	\$2,565.45	
F	FY 2012-13	\$62,997.58	\$179,015.53	\$12,338.19	\$20,218.18	\$2,384.18	
G	FY 2013-14	\$65,101.46	\$175,002.87	\$13,030.99	\$18,323.90	\$2,566.88	
H	Estimated FY 2014-15	\$66,807.12	\$175,002.87	\$14,455.09	\$18,803.99	\$2,634.13	
I	Estimated FY 2015-16	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66	
J	Estimated FY 2016-17	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66	

Table E.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure							
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	
A	FY 2007-08						
B	FY 2008-09	4.35%	22.20%	13.07%	4.06%	-2.65%	
C	FY 2009-10	7.78%	19.11%	-27.24%	4.49%	26.33%	
D	FY 2010-11	6.04%	-17.66%	-7.39%	0.90%	-0.44%	
E	FY 2011-12	-2.77%	4.70%	-1.87%	-2.00%	-5.45%	
F	FY 2012-13	-2.19%	-13.60%	-4.71%	-7.17%	-7.07%	
G	FY 2013-14	3.34%	-2.24%	5.62%	-9.37%	7.66%	
H	Estimated FY 2014-15	2.62%	0.00%	10.93%	2.62%	2.62%	
I	Estimated FY 2015-16	0.21%	0.00%	7.70%	0.21%	0.21%	
J	Estimated FY 2016-17	0.00%	0.00%	0.00%	0.00%	0.00%	

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Table E.3 - Calculation of FY 2014-15 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2013-14 Per Full Program Equivalent (FPE) Expenditure	\$65,101.46	\$175,002.87	\$13,030.99	\$18,323.90	\$2,566.88
B	Base Trend	0.00%	0.00%	0.00%	0.00%	0.00%
C	Estimated Base FY 2014-15 Per FPE Expenditure	\$65,101.46	\$175,002.87	\$13,030.99	\$18,323.90	\$2,566.88
	<i>Rate Adjustments⁽¹⁾</i>					
D	FY 2014-15 2.50% Rate Increase	2.29%	0.00%	2.29%	2.29%	2.29%
E	Annualization of FY 2013-14 4.00% Rate Increase	0.33%	0.00%	0.33%	0.33%	0.33%
F	Estimated Base FY 2014-15 Per FPE after Rate Adjustments	\$66,807.12	\$175,002.87	\$13,372.40	\$18,803.99	\$2,634.13
	<i>Bottom Line Adjustments</i>					
G	FY 2014-15 R-7 "Adult Supported Living Services Waiting List Reduction and Service Plan Authorization Limits Increase" ⁽²⁾	\$0.00	\$0.00	\$1,082.69	\$0.00	\$0.00
H	Total Estimated FY 2014-15 Per FPE Expenditure	\$66,807.12	\$175,002.87	\$14,455.09	\$18,803.99	\$2,634.13

Table E.4 - Calculation of FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2014-15 Per Full Program Equivalent (FPE) Expenditure	\$66,807.12	\$175,002.87	\$14,455.09	\$18,803.99	\$2,634.13
B	Base Trend	0.00%	0.00%	0.00%	0.00%	0.00%
C	Estimated Base FY 2015-16 Per FPE Expenditure	\$66,807.12	\$175,002.87	\$14,455.09	\$18,803.99	\$2,634.13
	<i>Rate Adjustments⁽¹⁾</i>					
D	Annualization of FY 2014-15 2.50% Rate Increase	0.21%	0.00%	0.21%	0.21%	0.21%
E	Annualization of FY 2013-14 4.00% Rate Increase	0.00%	0.00%	0.00%	0.00%	0.00%
F	Estimated Base FY 2015-16 Per FPE after Rate Adjustments	\$66,947.41	\$175,002.87	\$14,485.45	\$18,843.48	\$2,639.66
	<i>Bottom Line Adjustments</i>					
G	Annualization of FY 2014-15 R-7 "Adult Supported Living Services Waiting List Reduction and Service Plan Authorization Limits Increase" ⁽²⁾	\$0.00	\$0.00	\$1,082.69	\$0.00	\$0.00
H	Total Estimated FY 2015-16 Per FPE Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66

Table E.5 - Calculation of FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2015-16 Per Full Program Equivalent (FPE) Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66
B	Base Trend	0.00%	0.00%	0.00%	0.00%	0.00%
C	Estimated Base FY 2016-17 Per FPE Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66
	<i>Rate Adjustments⁽¹⁾</i>					
D	None	0.00%	0.00%	0.00%	0.00%	0.00%
E	Estimated Base FY 2015-16 Per FPE after Rate Adjustments	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66
	<i>Bottom Line Adjustments</i>					
F	None	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
G	Total Estimated FY 2016-17 Per FPE Expenditure	\$66,947.41	\$175,002.87	\$15,568.14	\$18,843.48	\$2,639.66
<p>(1) A 4.00% Provider Rate increase was added during FY 2013-14 and 2.50% for FY 2014-15. Because of lag between the dates services are provided and the dates claims are paid, the increases are realized gradually (i.e. some claims paid early in each fiscal year were for services provided in the prior year). This, likewise, will have a slight carryover effect into the request year and out year.</p> <p>(2) A 25.00% service plan authorization limit (SPAL) increase was added for FY 2014-15. The amount appropriated for the SPAL increase was \$2,165.38 per FPE on average. Because client prior authorization requests (PARs) are updated on an annual basis or at the clients' request, the Department assumes that the full impact of the SPAL increase will not be realized until FY 2015-16. As a result, the costs associated are divided between FY 2014-15 and FY 2015-16.</p>						

Table F.1 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2014-15 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	4,763	117	3,772	1,041	9,693	Table D.3 Row H
B		Rate	\$25.08	\$25.08	\$25.08	\$25.08		Given
C		Estimated Total Expenditure	\$1,433,472	\$35,212	\$1,135,221	\$313,299	\$2,917,205	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	4,763	117	3,772	1,041	9,693	Table D.3 Row H
E		Rate	\$79.95	\$79.95	\$79.95	\$79.95		Given
F		Estimated Total Expenditure	\$380,802	\$9,354	\$301,571	\$83,228	\$774,955	Row D * Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	408	12	648	477	1,545	Table D.3 Row H - Row G + 10%
H		Rate	\$229.19	\$229.19	\$229.19	\$0.00		Given
I		Estimated Total Expenditure	\$93,510	\$2,750	\$148,515	\$0	\$244,775	Row G * Row H
J		Estimated Total Expenditure	\$1,907,784	\$47,317	\$1,585,308	\$396,527	\$3,936,936	Row C + Row F + Row I
K		Adjustment for Prior Year Payment	\$307,035		\$201,253	\$51,112	\$559,400	See narrative
L		Estimated Adjusted Total Expenditure	\$2,214,819	\$47,317	\$1,786,560	\$447,639	\$4,496,335	Row J + Row K

Table F.2 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2015-16 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	5,038	126	4,526	1,278	10,968	Table D.3 Row I
B		Rate	\$25.08	\$25.08	\$25.08	\$25.08		Given
C		Estimated Total Expenditure	\$1,516,236	\$37,921	\$1,362,145	\$384,627	\$3,300,929	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	5,038	126	4,526	1,278	10,968	Table D.3 Row I
E		Rate	\$79.95	\$79.95	\$79.95	\$79.95		Given
F		Estimated Total Expenditure	\$402,788	\$10,074	\$361,854	\$102,176	\$876,892	Row D * Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	289	6	792	249	1,336	Table D.3 Row I - Row H + 5%
H		Rate	\$229.19	\$229.19	\$229.19	\$0.00		Given
I		Estimated Total Expenditure	\$66,236	\$1,375	\$181,518	\$0	\$249,130	Row G * Row H
J		Estimated Total Expenditure	\$1,985,260	\$49,370	\$1,905,517	\$486,803	\$4,426,950	Row C + Row F + Row I

Table F.3 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2016-17 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	5,163	123	4,700	1,328	11,314	Table D.3 Row J
B		Rate	\$25.08	\$25.08	\$25.08	\$25.08		Given
C		Estimated Total Expenditure	\$1,553,856	\$37,018	\$1,414,512	\$399,675	\$3,405,061	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	5,163	123	4,700	1,328	11,314	Table D.3 Row J
E		Rate	\$79.95	\$79.95	\$79.95	\$79.95		Given
F		Estimated Total Expenditure	\$412,782	\$9,834	\$375,765	\$106,174	\$904,554	Row D * Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	131	6	183	53	373	Table D.3 Row J - Row I + 5%
H		Rate	\$229.19	\$229.19	\$229.19	\$0.00		Given
I		Estimated Total Expenditure	\$30,024	\$1,375	\$41,942	\$0	\$73,341	Row G * Row H
J		Estimated Total Expenditure	\$1,996,662	\$48,227	\$1,832,219	\$505,848	\$4,382,957	Row C + Row F + Row I

S-5 Office of Community Living Cost and Caseload Adjustments

Table G.1 FY 2014-15 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
	Adult Comprehensive Services							
A	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$341,360,287	0.0	\$152,632,855	\$0	\$30,798,715	\$0	\$157,928,717
B	HB 14-1368 Transition Youth Developmental Disabilities to Adult Services	\$5,746,227	0.0	\$0	\$0	\$2,829,586	\$0	\$2,916,641
C	FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	(\$8,611,211)	0.0	(\$2,846,872)	\$0	(\$2,829,586)	\$0	(\$2,934,753)
D	Total FY 2014-15 Spending Authority	\$338,495,303	0.0	\$149,785,983	\$0	\$30,798,715	\$0	\$157,910,605
E	HCBS-DD Services	\$307,696,588	0.0	\$149,785,983	\$0	\$0	\$0	\$157,910,605
F	HCBS-DD Information Only Client Payments	\$30,798,715	0.0	\$0	\$0	\$30,798,715	\$0	\$0
	Adult Comprehensive Services - Regional Centers							
G	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$0	0.0	\$0	\$0	\$0	\$0	\$0
H	FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$21,525,353	0.0	\$10,599,084	\$0	\$0	\$0	\$10,926,269
I	Total FY 2014-15 Spending Authority	\$21,525,353	0.0	\$10,599,084	\$0	\$0	\$0	\$10,926,269
	Adult Supported Living Services							
J	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$70,648,433	0.0	\$38,709,948	\$0	\$0	\$0	\$31,938,485
K	FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	(\$5,028,513)	0.0	(\$2,476,040)	\$0	\$0	\$0	(\$2,552,473)
L	Total FY 2014-15 Spending Authority	\$65,619,920	0.0	\$36,233,908	\$0	\$0	\$0	\$29,386,012
M	SLS Services	\$57,892,065	0.0	\$28,506,053	\$0	\$0	\$0	\$29,386,012
N	SLS State-Only	\$7,727,855	0.0	\$7,727,855	\$0	\$0	\$0	\$0
	Children's Extensive Support Services							
O	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$24,610,892	0.0	\$12,080,413	\$0	\$0	\$0	\$12,530,479
P	FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	(\$7,627,354)	0.0	(\$3,755,709)	\$0	\$0	\$0	(\$3,871,645)
Q	Total FY 2014-15 Spending Authority	\$16,983,538	0.0	\$8,324,704	\$0	\$0	\$0	\$8,658,834
	Case Management							
R	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$29,300,733	0.0	\$15,594,596	\$0	\$0	\$0	\$13,706,137
S	FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	(\$1,161,630)	0.0	(\$571,987)	\$0	\$0	\$0	(\$589,643)
T	Total FY 2014-15 Spending Authority	\$28,139,103	0.0	\$15,022,609	\$0	\$0	\$0	\$13,116,494
U	Targeted Case Management	\$22,983,637	0.0	\$11,317,143	\$0	\$0	\$0	\$11,666,494
V	QU, AR and SIS	\$2,900,000	0.0	\$1,450,000	\$0	\$0	\$0	\$1,450,000
W	Case Management - State Only	\$2,255,466	0.0	\$2,255,466	\$0	\$0	\$0	\$0
	Family Support Services							
X	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$6,828,718	0.0	\$6,828,718	\$0	\$0	\$0	\$0
Y	Total FY 2014-15 Spending Authority	\$6,828,718	0.0	\$6,828,718	\$0	\$0	\$0	\$0
	Preventive Dental Hygiene							
Z	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$65,754	0.0	\$62,112	\$0	\$3,642	\$0	\$0
AA	Total FY 2014-15 Spending Authority	\$65,754	0.0	\$62,112	\$0	\$3,642	\$0	\$0

S-5 Office of Community Living Cost and Caseload Adjustments

	Eligibility Determination and Waitlist Management							
AB	FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$3,062,117	0.0	\$3,041,968	\$0	\$0	\$0	\$20,149
AC	Total FY 2014-15 Spending Authority	\$3,062,117	0.0	\$3,041,968	\$0	\$0	\$0	\$20,149
AD	PASRR	\$26,865		\$6,716	\$0	\$0	\$0	\$20,149
AE	Med Eligibility Determination	\$3,035,252	0.0	\$3,035,252	\$0	\$0	\$0	\$0

S-5 Office of Community Living Cost and Caseload Adjustments

Table G.2 FY 2015-16 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
Adult Comprehensive Services								
A	FY 2014-15 Final Appropriation	\$338,495,303	0.0	\$149,785,983	\$0	\$30,798,715	\$0	\$157,910,605
B	Annualization of HB 14-1368 Transition Youth Developmental Disabilities to Adult Services	\$0	0.0	\$0	\$0	\$0	\$0	\$0
C	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$708,971	0.0	\$349,115	\$0	\$0	\$0	\$359,856
D	Annualization of FY 2014-15 R#8 "Developmental Disabilities New Full Program Equivalents"	\$4,214,636	0.0	\$2,064,751	\$0	\$0	\$0	\$2,149,885
E	Annualization of FY 2014-15 BA#10 "Enhanced FMAP"	\$0	0.0	(\$775,723)	\$0	\$0	\$0	\$775,723
F	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$19,597,085	0.0	\$8,228,852	\$0	\$2,829,586	\$0	\$8,538,647
G	FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$662,817	\$0	\$0	\$0	(\$662,817)
H	Total FY 2015-16 Spending Authority	\$363,015,995	0.0	\$160,315,795	\$0	\$33,628,301	\$0	\$169,071,899
I	HCBS-DD Services	\$332,217,280	0.0	\$160,315,795	\$0	\$2,829,586	\$0	\$169,071,899
J	HCBS-DD Information Only Client Payments	\$30,798,715	0.0	\$0	\$0	\$30,798,715	\$0	\$0
Adult Comprehensive Services - Regional Centers								
K	FY 2014-15 Final Appropriation	\$21,525,353	0.0	\$10,599,084	\$0	\$0	\$0	\$10,926,269
L	Annualization of FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$0	0.0	\$0	\$0	\$0	\$0	\$0
M	FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$47,356	\$0	\$0	\$0	(\$47,356)
N	Total FY 2015-16 Spending Authority	\$21,525,353	0.0	\$10,646,440	\$0	\$0	\$0	\$10,878,913
Adult Supported Living Services								
O	FY 2014-15 Final Appropriation	\$65,619,920	0.0	\$36,233,908	\$0	\$0	\$0	\$29,386,012
P	Annualization of FY 2014-15 BA#10 "Enhanced FMAP"	\$0	0.0	(\$151,034)	\$0	\$0	\$0	\$151,034
Q	Annualization of FY 2014-15 R#7: "IDD SLS Increases"	\$3,579,399	0.0	\$1,789,700	\$0	\$0	\$0	\$1,789,699
R	Annualization of FY 2014-15 R#8 "Developmental Disabilities New Full Program Equivalents"	\$393,389	0.0	\$192,721	\$0	\$0	\$0	\$200,668
S	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$156,649	0.0	\$86,275	\$0	\$0	\$0	\$70,374
T	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$16,649,942	0.0	\$8,169,378	\$0	\$0	\$0	\$8,480,564
U	FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$177,852	\$0	\$0	\$0	(\$177,852)
V	Total FY 2015-16 Spending Authority	\$86,399,299	0.0	\$46,498,800	\$0	\$0	\$0	\$39,900,499
W	SLS Services	\$78,606,184	0.0	\$38,705,685	\$0	\$0	\$0	\$39,900,499
X	SLS State-Only	\$7,793,115	0.0	\$7,793,115	\$0	\$0	\$0	\$0

S-5 Office of Community Living Cost and Caseload Adjustments

	Children's Extensive Support Services								
Y	FY 2014-15 Final Appropriation	\$16,983,538	0.0	\$8,324,704	\$0	\$0	\$0	\$8,658,834	
Z	Annualization of FY 2014-15 BA#10 "Enhanced FMAP"	\$0	0.0	(\$47,432)	\$0	\$0	\$0	\$47,432	
AA	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$54,569	0.0	\$26,871	\$0	\$0	\$0	\$27,698	
AB	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$5,126,913	0.0	\$2,530,743	\$0	\$0	\$0	\$2,596,170	
AC	FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$47,706	\$0	\$0	\$0	(\$47,706)	
AD	Total FY 2015-16 Spending Authority	\$22,165,020	0.0	\$10,882,592	\$0	\$0	\$0	\$11,282,428	
	Case Management								
AE	FY 2014-15 Final Appropriation	\$29,300,733	0.0	\$15,594,596	\$0	\$0	\$0	\$13,706,137	
AF	Annualization of FY 2014-15 BA#10 "Enhanced FMAP"	\$0	0.0	(\$61,346)	\$0	\$0	\$0	\$61,346	
AG	Annualization of FY 2014-15 R#7: "IDD SLS Increases"	(\$456,960)	0.0	(\$228,480)	\$0	\$0	\$0	(\$228,480)	
AH	Annualization of FY 2014-15 R#8 "Developmental Disabilities New Full Program Equivalents"	\$186,837	0.0	\$90,540	\$0	\$0	\$0	\$96,297	
AI	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$64,969	0.0	\$34,644	\$0	\$0	\$0	\$30,325	
AJ	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$3,514,051	0.0	\$1,724,438	\$0	\$0	\$0	\$1,789,613	
AK	FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$67,899	\$0	\$0	\$0	(\$67,899)	
AL	Total FY 2015-16 Spending Authority	\$32,609,630	0.0	\$17,222,291	\$0	\$0	\$0	\$15,387,339	
AM	Targeted Case Management	\$27,457,327	0.0	\$13,519,988	\$0	\$0	\$0	\$13,937,339	
AN	QU, AR and SIS	\$2,900,000	0.0	\$1,450,000	\$0	\$0	\$0	\$1,450,000	
AO	Case Management - State Only	\$2,252,303	0.0	\$2,252,303	\$0	\$0	\$0	\$0	
	Family Support Services								
AP	FY 2014-15 Final Appropriation	\$6,828,718	0.0	\$6,828,718	\$0	\$0	\$0	\$0	
AQ	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$15,141	0.0	\$15,141	\$0	\$0	\$0	\$0	
AR	Total FY 2015-16 Spending Authority	\$6,843,859	0.0	\$6,843,859	\$0	\$0	\$0	\$0	
	Preventive Dental Hygiene								
AS	FY 2014-15 Final Appropriation	\$65,754	0.0	\$62,112	\$0	\$3,642	\$0	\$0	
AT	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$138	0.0	\$138	\$0	\$0	\$0	\$0	
AU	Total FY 2015-16 Spending Authority	\$65,892	0.0	\$62,250	\$0	\$3,642	\$0	\$0	
	Eligibility Determination and Waitlist Management								
AV	FY 2014-15 Final Appropriation	\$3,062,117	0.0	\$3,041,968	\$0	\$0	\$0	\$20,149	
AW	Annualization of FY 2014-15 BA#10 "Enhanced FMAP"	\$0	0.0	(\$98)	\$0	\$0	\$0	\$98	
AX	Annualization of FY 2014-15 R#11 "Community Provider Rate Increase"	\$6,790	0.0	\$6,745	\$0	\$0	\$0	\$45	
AY	Total FY 2015-16 Spending Authority	\$3,068,907	0.0	\$3,048,615	\$0	\$0	\$0	\$20,292	
AZ	PASRR	\$27,056		\$6,764	\$0	\$0	\$0	\$20,292	
AAA	Med Eligibility Determination	\$3,041,851	0.0	\$3,041,851	\$0	\$0	\$0	\$0	

S-5 Office of Community Living Cost and Caseload Adjustments

Table G.3 FY 2016-17 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
	Adult Comprehensive Services							
A	FY 2015-16 Final Spending Authority	\$363,015,995	0.0	\$160,315,795	\$0	\$33,628,301	\$0	\$169,071,899
B	Annualization of HB 14-1368 Transition Youth Developmental Disabilities to Adult Services	(\$5,746,227)	0.0	\$0	\$0	(\$2,829,586)	\$0	(\$2,916,641)
C	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$8,100,637	0.0	\$3,968,502	\$0	\$0	\$0	\$4,132,135
D	Annualization of FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$220,939	\$0	\$0	\$0	(\$220,939)
E	Total FY 2016-17 Spending Authority	\$365,370,405	0.0	\$164,505,236	\$0	\$30,798,715	\$0	\$170,066,454
F	HCBS-DD Services	\$334,571,690	0.0	\$164,505,236	\$0	\$0	\$0	\$170,066,454
G	HCBS-DD Information Only Client Payments	\$30,798,715	0.0	\$0	\$0	\$30,798,715	\$0	\$0
	Adult Comprehensive Services - Regional Centers							
H	FY 2015-16 Final Spending Authority	\$21,525,353	0.0	\$10,646,440	\$0	\$0	\$0	\$10,878,913
I	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$0	0.0	\$0	\$0	\$0	\$0	\$0
J	Annualization of FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$15,785	\$0	\$0	\$0	(\$15,785)
K	Total FY 2016-17 Spending Authority	\$21,525,353	0.0	\$10,662,225	\$0	\$0	\$0	\$10,863,128
	Adult Supported Living Services							
L	FY 2015-16 Final Spending Authority	\$86,399,299	0.0	\$46,498,800	\$0	\$0	\$0	\$39,900,499
M	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$2,203,545	0.0	\$1,079,517	\$0	\$0	\$0	\$1,124,028
N	Annualization of FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$59,284	\$0	\$0	\$0	(\$59,284)
O	Total FY 2016-17 Spending Authority	\$88,602,844	0.0	\$47,637,601	\$0	\$0	\$0	\$40,965,243
P	SLS Services	\$80,767,435	0.0	\$39,802,192	\$0	\$0	\$0	\$40,965,243
Q	SLS State-Only	\$7,835,409	0.0	\$7,835,409	\$0	\$0	\$0	\$0
	Children's Extensive Support Services							
R	FY 2015-16 Final Spending Authority	\$22,165,020	0.0	\$10,882,592	\$0	\$0	\$0	\$11,282,428
S	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$867,177	0.0	\$424,830	\$0	\$0	\$0	\$442,347
T	Annualization of FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$15,902	\$0	\$0	\$0	(\$15,902)
U	Total FY 2016-17 Spending Authority	\$23,032,197	0.0	\$11,323,324	\$0	\$0	\$0	\$11,708,873
	Case Management							
V	FY 2015-16 Final Spending Authority	\$32,609,630	0.0	\$17,222,291	\$0	\$0	\$0	\$15,387,339
W	Incremental FY 2015-16 R-5 "Office of Community Living Cost and Caseload Adjustment"	\$718,700	0.0	\$352,091	\$0	\$0	\$0	\$366,609
X	Annualization of FY 2015-16 BA-17: "Decreased FMAP"	\$0	0.0	\$22,633	\$0	\$0	\$0	(\$22,633)
Y	Total FY 2016-17 Spending Authority	\$33,328,330	0.0	\$17,597,015	\$0	\$0	\$0	\$15,731,315
Z	Targeted Case Management	\$28,157,167	0.0	\$13,875,852	\$0	\$0	\$0	\$14,281,315
AA	QU, AR and SIS	\$2,900,000	0.0	\$1,450,000	\$0	\$0	\$0	\$1,450,000
AB	Case Management - State Only	\$2,271,163	0.0	\$2,271,163	\$0	\$0	\$0	\$0

S-5 Office of Community Living Cost and Caseload Adjustments

	Family Support Services							
AC	FY 2015-16 Final Spending Authority	\$6,843,859	0.0	\$6,843,859	\$0	\$0	\$0	\$0
AD	Total FY 2016-17 Spending Authority	\$6,843,859	0.0	\$6,843,859	\$0	\$0	\$0	\$0
	Preventive Dental Hygiene							
AE	FY 2015-16 Final Spending Authority	\$65,892	0.0	\$62,250	\$0	\$3,642	\$0	\$0
AG	Total FY 2016-17 Spending Authority	\$65,892	0.0	\$62,250	\$0	\$3,642	\$0	\$0
	Eligibility Determination and Waitlist Management							
AH	FY 2015-16 Final Spending Authority	\$3,068,907	0.0	\$3,048,615	\$0	\$0	\$0	\$20,292
AI	Total FY 2016-17 Spending Authority	\$3,068,907	0.0	\$3,048,615	\$0	\$0	\$0	\$20,292
AJ	PASRR	\$27,056		\$6,764	\$0	\$0	\$0	\$20,292
AK	Med Eligibility Determination	\$3,041,851	0.0	\$3,041,851	\$0	\$0	\$0	\$0