



### ***Cost and FTE***

- In FY 2015-16, the Department requests an increase of \$1,352,218 total funds, including an increase of \$47,847 General Fund. For FY 2016-17, the Department requests an increase \$3,041,628 total funds, including an increase \$1,172,618 General Fund. For FY 2017-18, the Department requests an increase of \$8,280,343 total funds, including an increase \$4,062,071 General Fund. These funds will be used to fund Home and Community Based Services (HCBS) waiver program costs.

### ***Current Program***

- Effective March 2014, the Department manages three Medicaid –HCBS waiver programs for people with developmental disabilities, Adult Comprehensive Services (DD), Supported Living Services (SLS) and Children’s Extensive Services (CES).
- These programs ensure delivery of services such as residential care, day habilitation services and behavioral services, as well as case management, and are delivered through a variety of approved providers.

### ***Problem or Opportunity***

- Appropriations do not accurately reflect the estimated number of enrollments, full program equivalents (FPE), or cost per FPE, based upon current enrollment and spending trends as well as program information.
- This issue poses the problem of over-expenditure in the current year without action because the Department estimates cost per FPE will be higher than expected.
- In the request and out years, based on current policies, higher than expected estimated per-capita waiver costs pose the problem of over-expenditure without action.

### ***Consequences of Problem***

- If the appropriations are not adjusted, the Department could lack the necessary funds to provide client services in the current year, necessitating a request for over-expenditure authority. Additionally, in the request and out years, over-expenditure is expected if additional funding is not appropriated through this request.
- Over-expending funds in the current, request, and out years would compromise the Department’s ability to provide services the maximum number of people with intellectual and developmental disabilities.

### ***Proposed Solution***

- The Department requests to adjust existing expenditure and enrollment appropriations and designated full program equivalents (FPE) within three Medicaid waiver programs for people with intellectual and developmental disabilities to maintain the current policy of having no waiting lists for the HCBS-SLS and HCBS-CES waivers and to accommodate emergency enrollments, foster care transitions, Colorado Choice Transitions (CCT), and youth transitions.
- The outcomes of this proposed solution would be a more accurate budget that would be measured by comparing estimated expenditure to actual expenditure once the data is available.



# COLORADO

## Department of Health Care Policy & Financing

FY 2015-16 and FY 2016-17 Funding Request | February 15, 2016

John W. Hickenlooper  
Governor

Susan E. Birch  
Executive Director

**Department Priority:** S-5A, BA-5

**Request Detail:** Office of Community Living Cost and Caseload Adjustments

Summary of Incremental Funding Change for FY 2015-16	Total Funds	General Fund
Office of Community Living Cost and Caseload Adjustments	\$1,352,218	\$47,847

### **Problem or Opportunity:**

Each year, the Department's appropriations for programs serving individuals with intellectual and developmental disabilities are set in advance of the fiscal year, based on prior year utilization and expenditure. As more recent data becomes available, the appropriation needs to be adjusted to account for the most recent projections of expenditure and caseload, in order to minimize any potential over or under-expenditures. The Department requests to adjust existing appropriations and designated full program equivalents (FPE) within three Medicaid waiver programs for people with developmental disabilities: Home and Community Based Services for Persons with Developmental Disabilities (HCBS-DD), Supported Living Services (HCBS-SLS), and Children's Extensive Services (HCBS-CES); further, the Department's request accounts for associated changes to Targeted Case Management (TCM). Adjustments to targeted appropriations accurately reflect the current cost per FPE, based upon current spending trends, and maximize the number of individuals that can be served in the programs.

The Home and Community Based Services for Persons with Developmental Disabilities program (HCBS-DD) provides services to adults with developmental disabilities who require extensive supports to live safely in the community and who do not have the resources available to meet their needs. The Home and Community Based Services - Supported Living Services program (HCBS-SLS) is for adults who can either live independently with limited to moderate supports or who need more extensive support provided by other persons, such as their family. The Home and Community Based Services - Children's Extensive Services program (HCBS-CES) provides benefits to children who have a developmental disability or delay, and who need near constant line of sight supervision due to behavioral or medical needs.

In FY 2012-13, the Department of Human Services requested and received funding to eliminate the waiting list for the HCBS-CES program. In FY 2013-14, the Department of Health Care Policy and Financing requested and receiving funding to eliminate the waiting list for the HCBS-SLS program. In order to prevent new waiting lists, the General Assembly must provide new funding each year to allow for growth in both programs. In contrast, the HCBS-DD program continues to have a waiting list for services; as of the January 31, 2015 Medicaid Funding Requested Waiting List Report, there are 2,118 people currently waiting to receive HCBS-DD waiver services. The waiting lists may include those requiring emergency enrollments as well as those transitioning out of institutional settings. Additionally, the list may include current Medicaid recipients being served in an alternative waiver that does not fully meet their needs, and may also include individuals being served in nursing facilities or hospitals that are not as cost-effective as the HCBS waivers.

Each year, additional enrollments in the HCBS-DD waiver are needed to provide resources for emergency placements, individuals transitioning out of foster care, from youth waiver, or Colorado Choice Transition (CCT) clients transitioning from an institutional setting. Without additional enrollments each year, people with intellectual and developmental disabilities would transition to other less appropriate, more costly settings or become vulnerable to abuse, neglect or homelessness as an increasing number of people continue to wait on the list to receive the services they need.

***Proposed Solution:***

In order to adjust the current appropriations for the programs administered by the Office of Community Living, the Department requests an increase of \$1,352,218 in FY 2015-16, including an increase of \$47,847 General Fund; an increase of \$3,041,628 in FY 2016-17, including an increase of \$1,172,618 General Fund; and, an increase of \$8,280,343 in FY 2017-18, including an increase of \$4,062,071 General Fund.

Based on the assumptions used in this request, the Department calculated maximum enrollment figures for each waiver program (and targeted case management services) and the number of full-program equivalents (FPE) for each fiscal year. If this request is approved, the Department calculates that by the end of FY 2015-16 it would serve: 5,214 people on the HCBS-DD waiver (including people in Regional Centers); 4,461 people on the HCBS-SLS waiver; and, 1,532 people on the HCBS-CES waiver. For the years covered in the request, the Department would limit HCBS-DD enrollments to the maximum enrollment figure. However, for the HCBS-SLS and HCBS-CES programs, the Department would adhere to the policy of maintaining no waiting lists; therefore, the maximum enrollment numbers are for information only, and the Department would exceed those figures if necessary and use the regular budget process to account for any change in the estimates. The number of associated FPE for each fiscal year is shown in exhibit D.3 of the appendix.<sup>1</sup>

---

<sup>1</sup> Although not specifically identified as part of this request, these figures allow for any necessary transitions that occur from nursing facilities or regional centers as part of the Colorado Choice Transitions program.

***Anticipated Outcomes:***

The Office of Community Living finances long term services and supports in the community to adults and children with developmental disabilities who would otherwise receive services in more restrictive and expensive institutional settings or who would be without services altogether. As part of the Triple Aim, the Department strives to provide the right services to the right people at the right time and place.

The Department's request includes funding to provide needed services for the highest number as well as most at-risk eligible people as possible. If the Department's request is approved, the Department would have resources to cover 10,751 people on average per month in FY 2015-16, and 11,513 people on average per month in FY 2016-17, thereby improving their physical, mental, and social well-being and quality of life.

***Assumptions and Calculations:***

The Department's calculations are contained in the appendix. The appendix is organized into a series of exhibits, providing both calculation information and historical cost and caseload detail. The section below describes each exhibit individually. In many cases, the specific assumptions and calculations are contained in the exhibits directly; the narrative information below provides additional information and clarification where necessary.

**Exhibit A.1: Calculation of Request**

This exhibit provides the final calculation of the incremental request, by line item. Values in the total request column are taken from calculations in exhibits A.2 through A.4, as well as exhibit C which relates to projected expenditure. The adjusted spending authority amounts reflect the estimate appropriation for each line and can be found in Tables G.1 through G.3. The incremental request is the sum of the differences between total request and spending authority for each line item.

**Exhibit A.2 through A.4: Current, Request, and Out Year Fund Splits**

These exhibits provide a breakdown for each line item's expenditure estimate including fund splits for each program. This exhibit also allows for adjustments in the federal financial participation rate (FFP) based on the type of services delivered within each program. The Federal Medical Assistance Percentage (FMAP) Colorado decreased in October 2015 to 50.72%. The Department uses a blended rate to account for the implementation of the new match rate in the middle of the fiscal year. The Department predicts that the FMAP for FFY 2016-17 will decrease to 50.02% and further decrease in FFY 2017-18 to 50.01%. For state fiscal years this translates to an FMAP of 50.79% in FY 2015-16, 50.20% in FY 2016-17, and 50.01% in FY 2017-18. FMAP forecasts can be found in exhibit R of the Department's FY 2016-17 R-1 "Medical Services Premiums Request".

The Department has submitted a budget request, FY 2015-16 BA-16: Decreased Federal Medical Assistance Percentage, to account for a downward revision to the FMAP forecast that took place after the R-5 was submitted. BA-16 requests an increase in General Fund for FY 2016-17 to account for this revision. This General Fund increase is incorporated into the request and accounted for in exhibit G.2.

### **Exhibit B: Summary of Program Costs**

This exhibit provides a summary of historical program expenditure, as paid for through the Department's Medicaid Management Information System (MMIS), and projected totals as calculated in exhibit C.

#### **Exhibit B.2 Six Month Expenditure Actuals**

This exhibit shows June through December 2015 expenditure actuals for the HCBS-DD waiver, the HCBS-SLS waiver, the HCBS-CES waiver, and TCM. These expenditure actuals are factored into the revised per-FPE forecast in exhibit E.

### **Exhibit C: Calculation of Projected Expenditure**

This exhibit provides the calculation of projected expenditure using revised assumptions about caseload and per FPE cost (calculated in exhibits D.3 and E, respectively). The exhibit then calculates the difference between the appropriated or base request amounts which results in the estimated over/under-expenditure for each waiver, by fiscal year. In fiscal years where systemic under-expenditure exists, this exhibit would also calculate an additional number of people that could be enrolled within existing resources, and converts the total enrollment figures into new paid enrollments, and calculate the new cost for additional enrollments for each fiscal year. This exhibit calculates costs for Medicaid matched services only and does not include State-Only programs. Therefore, the appropriation reflected in this exhibit does not match the adjusted appropriation in Exhibit A.1.

#### **Exhibit D.1: Calculation of Maximum Enrollment**

To forecast the number of enrollments, the Department took the appropriated enrollments from the Long Bill and estimates a base trend. Selection of trends for each waiver are discussed below. Once the base enrollments are determined, the Department adds in additional enrollments authorized through special bills or other initiatives, as Bottom Line Adjustments, to reach the final estimated maximum enrollment. This process is repeated for the request year and the out year. Information on trend selection and Bottom Line Adjustments for each program are provided below.

*Adult Comprehensive Waiver (DD)*

For FY 2015-16 the Department was appropriated 5,065 enrollments through SB 15-234 “FY 2015-16 Long Appropriations Bill”. In January 2016 the Joint Budget Committee (JBC) approved the Department’s 2016-17 R-5 “Office of Community Living Cost and Caseload Adjustments” (R-5) which included a request to increase the HCBS-DD enrollment cap by 147 clients as bottom line adjustments. These bottom line adjustments were composed of 40 emergency enrollments, 55 foster care transitions as requested in the Department’s FY 2014-15 R-8 “Developmental Disabilities New Full Program Equivalents”, 20 Colorado Choice Transitions (CCT) clients expected to move from an institutional setting into the HCBS-DD waiver in FY 2015-16, and 32 youth transitions expected to move to the HCBS-DD waiver as they age out of the HCBS-CES waiver. These bottom line adjustments were added to the FY 2014-15 maximum enrollment to reach the FY 2015-16 maximum of 5,117 HCBS-DD enrollments.

In this request, the Department requests to reduce the FY 2015-16 maximum enrollment figure by 17 enrollments to 5,100 due to changes in expected foster care transitions and CCT. Using updated data through December 2015, the Department believes that 44 clients are likely to transition to HCBS-DD as foster care transitions in FY 2015-16, a reduction of 11 clients from expected enrollment forecasted in the in the R-5. This estimate is based on the fraction of total foster care transitions that came on to the HCBS-DD waiver in FY 2014-15, combined with a downward revision in the expected amount of total foster care transitions in FY 2015-16.

Additionally, the Department is now predicting that 14 CCT clients will transition to the HCBS-DD waiver in FY 2015-16, a reduction of 6 clients from expected enrollment forecasted in the R-5. The Department believes that the lower than expected CCT enrollments are due to lack of providers due to inadequate rates. To account for this the Department has increased rates for CCT providers in an effort to boost access. Because this change happened in the middle of FY 2015-16, the Department expects CCT to be lower than originally forecasted in FY 2015-16 and higher than originally forecasted in FY 2016-17 and FY 2017-18. CCT enrollments are forecasted in exhibit R of the Department’s S-1 “Medical Services Premiums Request”, see this exhibit for more information on the Department’s revised CCT forecast.

In FY 2016-17 the Department requests an additional 157 enrollments, including 40 emergency enrollments, 55 foster care transitions, 32 youth transitions and 30 CCT enrollments, to reach a maximum enrollment figure of 5,257. The Department anticipates foster care transitions to the HCBS-DD waiver to return to the level forecasted in the R-5 in FY 2016-17 based on enrollment data from FY 2014-15 and program feedback. In FY 2017-18 the Department requests an additional 160 enrollments, including 40 emergency enrollments, 55 foster care transitions, 32 youth transitions, and 33 CCT enrollments, to reach a maximum enrollment figure of 5,417.

#### *Supported Living Services Waiver (SLS)*

For FY 2015-16 the Department was appropriated 5,561 enrollments through SB 15-234 “2015-16 Long Appropriations Bill”. *Note that as of FY 2014-15 there is no longer a waiver cap in the*

*HCBS-SLS or HCBS-CES waiver so the maximum enrollment forecast in these waivers is now for information only, and is no longer used in calculating year end enrollment.*

#### *Children's Extensive Services Waiver (CES)*

For FY 2015-16 the Department was appropriated 1,300 enrollments through SB 15-234 "2015-16 Long Appropriations Bill". *Note that as of FY 2014-15 there is no longer a waiver cap in the SLS or CES waiver so the maximum enrollment forecast in these waivers is now for information only, and is no longer used in calculating year end enrollment.*

#### *Targeted Case Management (TCM)*

For FY 2015-16 the Department was appropriated 12,049 enrollments through SB 15-234 "2015-16 Long Appropriations Bill". TCM is provided for all clients receiving services under an HCBS waiver, including clients using the HCBS-DD waiver in regional centers. *Because TCM enrollment is derivative of HCBS-SLS and HCBS-CES waiver enrollment, and because there is no longer a cap on enrollment in both of these waivers, the TCM maximum enrollment forecast is now information only and is no longer used in calculating end of year enrollment.*

### **Exhibit D.2: Conversion of Enrollment to Full Program Equivalent (FPE)**

In order to properly calculate expenditure, the Department must use a consistent caseload metric that directly ties to expenditure. In this exhibit, and throughout the request, the Department uses average monthly paid enrollment to determine the number of clients for which it anticipates paying claims for in each fiscal year. This caseload metric is referred to as "full-program equivalents," or FPE. The Department notes, however, that the number of FPE is not always equal to the enrollment for each waiver. The relationship of FPE to maximum enrollment can vary based on a large number of factors including lag between enrollment and delivery of services and the lag between delivery of services and billing of claims; however, in order to accurately set the appropriation and manage the program, it is critical to explicitly identify both the number of FPE, enrollment, and the interaction between the two.

The Department's methodology to account for the above mentioned variation includes the selection of an FPE conversion factor which is based on the ratio of average monthly enrollments (as calculated in Exhibit D.3) to FPE in historical data. Enrollments are derived from the number of unique waiver clients in a given month with an active prior authorization request (PAR) which means that these clients have been authorized by the Community Center Boards (CCBs) to receive services. The Department then uses this metric to convert the average monthly enrollment forecast to projected FPE in Exhibit D.3.

For the HCBS-DD waiver and the HCBS-TCM waiver the selected FPE conversion factor is the average FPE conversion factor from the previous year. The lack of major structural changes in the

HCBS-DD or HCBS-TCM waiver leads the Department to believe that the previous year's rate of service utilization, and therefore Conversion Factor, is a good prediction of utilization in the coming year.

The Department believes that as the CES and SLS waiver continue experience rapid enrollment as a result of cap removal in FY 2013-14 and FY 2014-15 respectively, the volume of services used by clients, and consequentially the FPE Conversion Factor, will be artificially low. To compensate for this, a lower conversion factor is used in the current year that approaches a natural rate based on the rate prior to cap removal in the request and out years.

### **Exhibit D.3: Calculation of Average Monthly Enrollment and FPE**

This exhibit provides a summary of historical average monthly enrollment and estimates average monthly enrollment and FPE for the years covered in this request. The Department's methodology involves three steps and begins with the enrollment level at the end of the prior fiscal year. First, the final estimated average monthly enrollment under current policy is calculated by adding the additional enrollments described in the maximum enrollment exhibit, or in the case of HCBS-SLS and HCBS-CES to the maximum assumed enrollment, to the enrollment level at the end of the prior fiscal year; these enrollments are adjusted based on a linear enrollment ramp-up over the fiscal year. The Department assumes that by the end of each fiscal year, enrollment will be at the maximum appropriated or maximum assumed level and that the increase in enrollments from the beginning of the fiscal year to the end will happen evenly across 12 months. TCM enrollment is calculated as the sum of HCBS-DD, HCBS-SLS, and HCBS-CES enrollment.

If gross under-expenditure across the waivers and request and out years exists, requested enrollments from reallocation of existing resources would be added to arrive at the final estimated average monthly enrollment; these enrollments would be in addition to those based on current policy. At this time, the Department is not requesting additional enrollments from reallocation of existing resources, but may reassess based on actual current year expenditure during the supplemental process.

Finally, the FPE adjustment factor, described in the conversion of enrollment to FPE, Exhibit D.2, is applied to the final estimated average monthly enrollment to arrive at the estimated FPE for the fiscal year. The steps described above are repeated for each waiver and fiscal year with the request and out years beginning with the estimated FY 2015-16 and FY 2016-17 maximum enrollment levels.

#### *Maximum Appropriated Enrollment for the HCBS-DD Waiver*

For the HCBS-DD waiver, maximum enrollment comes from total appropriated enrollments. This is due to the existence of the enrollment cap in this waiver. The Department assumes that the appropriated enrollment amount will be reached for each year in this request.

### *Maximum Assumed Enrollment for the HCBS-SLS and HCBS-CES Waivers*

Due to the removal of the enrollment cap for the HCBS-SLS waiver in FY 2014-15 and the HCBS-CES waiver in 2013-14 the Department no longer uses appropriated enrollments to forecast end of year enrollment. In light of this the Department now estimates maximum assumed enrollment.

Maximum assumed enrollment for the HCBS-SLS and HCBS-CES waiver are based on linear enrollment projections from the first six months of FY 2015-16. The Department assumes that growth in these waivers will continue at a similar pace seen in the first 6 months of FY 2015-16 until all previously waitlisted clients are enrolled. Once all previously waitlist clients are enrolled, the Department assumes that enrollment on these waivers will return to a natural rate of growth. This natural rate of growth was estimated based on the growth of the waitlist before cap removal in both of these waivers.

In the HCBS-SLS waiver the pace of waitlist reduction slowed significantly in the first six months of FY 2015-16. Assuming that the rate of reduction remains constant, all clients previously on the waitlist would not be enrolled during the request period. The Department believes that an average of approximately 27 clients will enroll in the waiver each month until all clients previously on the waitlist are enrolled. Because the Department does not believe that all clients previously enrolled on the waitlist will be enrolled during the request period, the Department expects this pace of enrollment to continue throughout the request period.

Nearly all clients previously on the HCBS-CES waitlist have been enrolled in the waiver. The Department assumes that all clients previously waiting for HCBS-CES services will be enrolled by February 2016, and that after February 2016 the pace of enrollment will return to a slower natural rate. The Department believes that approximately 42 clients will enroll in the waiver each month until all clients previously on the waitlist are enrolled. After February 2016 the Department expects growth in this waiver to return to a normal rate based on the growth rate of the waitlist in the year before HCBS-CES cap removal. Detailed enrollment predictions can be found in exhibit D.3.

#### **Exhibit D.4 6 Month Enrollment Actuals**

This exhibit gives June through December 2015 enrollment actuals for the HCBS-DD waiver, HCBS-DD clients in Regional Centers, the HCBS-SLS waiver, the HCBS-CES waiver, and TCM. These enrollment actuals are factored in to the revised monthly enrollment forecast in exhibit D.3.

#### **Exhibit D.5.1: Regional Center Information**

This exhibit details the historical average enrollment and costs for clients receiving HCBS-DD services in Regional Centers. Regional Center claims are paid for from an appropriation within the Department of Healthcare Policy and Financing (HCPF) via a transfer to the Department of Human

Services (DHS) who manages Regional Center programs. The cost of these clients is not forecasted in this request. Clients in Regional centers do however receive TCM services as well as Quality Assurance and Utilization Reviews (QA/UR) which are managed and paid for by HCPF, so regional center enrollment information is included in this request to fully account for these costs. To determine utilization of these services the Department predicts that enrollment will slightly decline over the request period based on trends in the first 6 months of FY 2015-16.

### **Exhibit E: Calculation of Per FPE Expenditure**

This exhibit provides a summary of historical per FPE expenditure, and calculates estimated per FPE expenditure for the years covered in this request.

For this request the Department has significantly changed the forecast methodology for per FPE expenditure. The Department's methodology begins with the first six months of FY 2015-16 (Period A) expenditure actuals. Using a seasonality analysis of FY 2012-13 through FY 2014-15 the Department estimated expenditure for the last six months of FY 2015-16 (Period B). Historically, HCBS-DD, HCBS-CES, and TCM expenditure has fallen in Period B compared to Period A. In contrast, HCBS-SLS expenditure has historically risen in Period B compared to Period A. Combining these two six month periods yields the Department's estimated cost per FPE for FY 2015-16. The Department assumes that FY 2016-17 and FY 2017-18 per-FPE expenditure will be equal to FY 2015-16 expenditure after rate increases and bottom line adjustments are accounted for as outlined below.

The Department implemented a 1.7% across the board provider rate increase in July 2016 for the HCBS-DD, HCBS-SLS, and HCBS-CES waivers. The 1.7% rate increase was implemented in September 2016 for TCM. For the three waivers the Department assumes that the impact of the rate increase was captured in the Period A expenditure actuals and therefore included in the Period B expenditure estimate, so there is not a need to account for them further in the exhibit. In contrast, because the rate increase was not implemented for TCM until September 2016, Period A expenditure only partially accounts for the rate increase, so part of this rate increase is accounted for in Exhibit E.3. The Department anticipates that the 1.7% rate increase will not be fully realized until July, 2016 for waivers and September, 2016 for TCM because of the lag between the date that services are provided and the date that claims are paid. A fraction of this rate increase is therefore included in FY 2016-17. The Department does not anticipate any additional rate increases in FY 2016-17 or FY 2017-18 at this time.

Bottom line adjustments account for the expected effect of approved policy in the Long Bill and any special bills. A bottom line adjustment was made to account for increased costs in the HCBS-SLS waiver due to the expansion of access to Consumer Directed Attendant Support Services (CDASS) as requested in the Departments FY 2015-16 R-7: "Participant Directed Programs Expansion". The Department has revised the expected implementation date from July, 2015 to April, 2016 pending the Centers for Medicare and Medicaid Services (CMS) approval. Using the

assumption that CDASS will take a one year ramp up period to reach full utilization, the increase in costs for the HCBS-SLS waiver were annualized for FY 2015-16 and FY 2016-17 with full utilization expected to be reached in April, 2017.

## **Exhibit F: Quality Assurance, Utilization Review and Support Intensity Scale Services Forecast**

This exhibit forecasts Quality Assurance (QA), Utilization Review (UR), and Support Intensity Scale (SIS) service costs. These services are provided on a monthly, yearly or periodic basis for clients. As a result, utilization and expenditure for these services are directly tied to the number of clients enrolled in the IDD programs.

The Department pays QA costs monthly for each client related to performance of activities related to the waiver Quality Improvement Strategy (QIS) as well as the mechanisms for overall quality assurance and system improvement. Such activities include application of policies and procedures for the resolution of complaints and grievances, critical incident reporting and response, and the assessment and reporting of process and outcome performance measures. To calculate QA costs the exhibit takes the estimated monthly enrollment from Table D.3 and multiplies that by the rate and 12 months for the year.

The Department pays UR costs on a monthly basis for each client. UR activities include the implementation of processes to ensure that waiver services have been authorized in conformance to waiver requirements and monitoring service utilization to ensure that the amount of services is within the levels authorized in the service plan. This also includes identifying instances when individuals are not receiving services authorized in the service plan or the amount of services utilized is substantially less than the amount authorized to identify potential problems in service access. For UR the exhibit multiplies monthly enrollment and the current rate.

The Department performs SIS assessments for IDD clients. SIS includes an assessment of the individual's support needs in personal, work-related, and social activities in order to identify and describe the types and intensity of the supports an individual requires. The SIS measures support needs in the areas of home living, community living, lifelong learning, employment, health and safety, social activities, and protection and advocacy. The Scale ranks each activity according to *frequency* (none, at least once a month), *amount* (none, less than 30 minutes), and *type* of support (monitoring, verbal gesturing). Finally, a Supports Intensity Level is determined based on the Total Support Needs Index, which is a standard score generated from scores on all the items tested by the Scale. For SIS, the exhibit calculates expenditure by assuming that all new enrollments as calculated by subtracting estimated enrollments in Table D.3 would receive an assessment and an additional ten percent of the current population would receive assessments. This would be a result of clients requesting a new assessment and churn within the programs. Children receiving services through the HCBS-CES waiver do not receive SIS assessments.

### **Exhibit G.1 through G.3: Appropriation Build**

Exhibit G.1 through G.3 build the appropriation for the current, request and out years based on Long Bill and special bill appropriations and changes made to spending authority through budget requests. The appropriation build for each year then separates out the programs within each appropriation with assumed amounts attributed to each of them.

To build the request and out year the Department begins each exhibit with the prior year's final estimated appropriation for each program and adjusts the appropriation based on incremental amounts for each approved request or bill.

Between the FY 2016-17 R-5 and the FY 2016-17 S-5 the Department updated the current, request, and out year spending authority for State-Only SLS, State-Only Case Management, and QA, UR, SIS to more accurately reflect expected costs and contract amounts. The information included prior to the FY 2016-17 S-5 was outdated.

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table A.1.1 - Calculation of Request</b>					
<b>FY 2015-16</b>					
<b>Item</b>	<b>Total Request</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>Adult Comprehensive Services (HCBS-DD)</b>					
Total Request	\$375,465,768	\$169,373,010	\$31,281,639	\$0	\$174,811,119
Adjusted Spending Authority	\$370,069,114	\$166,717,316	\$31,281,639	\$0	\$172,070,159
<b>Incremental Request</b>	\$5,396,654	\$2,655,694	\$0	\$0	\$2,740,960
<b>Adult Supported Living Services (HCBS-SLS)</b>					
Total Request	\$62,872,177	\$34,961,826	\$0	\$0	\$27,910,351
Adjusted Spending Authority	\$69,633,214	\$38,288,932	\$0	\$0	\$31,344,282
<b>Incremental Request</b>	(\$6,761,037)	(\$3,327,106)	\$0	\$0	(\$3,433,931)
<b>Children's Extensive Support Services (HCBS-CES)</b>					
Total Request	\$22,544,937	\$11,094,363	\$0	\$0	\$11,450,574
Adjusted Spending Authority	\$19,798,414	\$9,742,800	\$0	\$0	\$10,055,614
<b>Incremental Request</b>	\$2,746,523	\$1,351,563	\$0	\$0	\$1,394,960
<b>Case Management</b>					
Total Request	\$30,139,104	\$15,404,955	\$0	\$0	\$14,734,149
Adjusted Spending Authority	\$30,169,026	\$16,037,259	\$0	\$0	\$14,131,767
<b>Incremental Request</b>	(\$29,922)	(\$632,304)	\$0	\$0	\$602,382
<b>Family Support Services</b>					
Total Request	\$6,960,204	\$6,960,204	\$0	\$0	\$0
Adjusted Spending Authority	\$6,960,204	\$6,960,204	\$0	\$0	\$0
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Preventive Dental Hygiene</b>					
Total Request	\$67,012	\$63,334	\$3,678	\$0	\$0
Adjusted Spending Authority	\$67,012	\$63,334	\$3,678	\$0	\$0
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Eligibility Determination and Waiting List Management</b>					
Total Request	\$3,121,079	\$3,100,442	\$0	\$0	\$20,637
Adjusted Spending Authority	\$3,121,079	\$3,100,442	\$0	\$0	\$20,637
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Waiver Enrollment</b>					
Total Request	\$1,586,987	\$0	\$1,586,987	\$0	\$0
Adjusted Spending Authority	\$1,586,987	\$0	\$1,586,987	\$0	\$0
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Office of Community Living Total</b>					
Total Request	\$502,757,268	\$240,958,134	\$32,872,304	\$0	\$228,926,830
Adjusted Spending Authority	\$501,405,050	\$240,910,287	\$32,872,304	\$0	\$227,622,459
<b>Incremental Request</b>	<b>\$1,352,218</b>	<b>\$47,847</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,304,371</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table A.1.2 - Calculation of Request</b>					
<b>FY 2016-17</b>					
<b>Item</b>	<b>Total Request</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>Adult Comprehensive Services (HCBS-DD)</b>					
Total Request	\$393,644,438	\$180,448,523	\$31,298,006	\$0	\$181,897,909
Adjusted Spending Authority	\$386,998,883	\$177,139,037	\$31,298,006	\$0	\$178,561,840
<b>Incremental Request</b>	\$6,645,555	\$3,309,486	\$0	\$0	\$3,336,069
<b>Adult Supported Living Services (HCBS-SLS)</b>					
Total Request	\$69,681,391	\$38,677,034	\$0	\$0	\$31,004,357
Adjusted Spending Authority	\$77,889,760	\$42,538,801	\$0	\$0	\$35,350,959
<b>Incremental Request</b>	(\$8,208,369)	(\$3,861,767)	\$0	\$0	(\$4,346,602)
<b>Children's Extensive Support Services (HCBS-CES)</b>					
Total Request	\$26,310,826	\$13,102,791	\$0	\$0	\$13,208,035
Adjusted Spending Authority	\$21,983,419	\$10,947,743	\$0	\$0	\$11,035,676
<b>Incremental Request</b>	\$4,327,407	\$2,155,048	\$0	\$0	\$2,172,359
<b>Case Management</b>					
Total Request	\$32,255,501	\$16,605,002	\$0	\$0	\$15,650,499
Adjusted Spending Authority	\$31,978,466	\$17,035,151	\$0	\$0	\$14,943,315
<b>Incremental Request</b>	\$277,035	(\$430,149)	\$0	\$0	\$707,184
<b>Family Support Services</b>					
Total Request	\$6,960,460	\$6,960,460	\$0	\$0	\$0
Adjusted Spending Authority	\$6,960,460	\$6,960,460	\$0	\$0	\$0
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Preventive Dental Hygiene</b>					
Total Request	\$66,988	\$63,311	\$3,677	\$0	\$0
Adjusted Spending Authority	\$66,988	\$63,311	\$3,677	\$0	\$0
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Eligibility Determination and Waiting List Management</b>					
Total Request	\$3,121,194	\$3,100,556	\$0	\$0	\$20,638
Adjusted Spending Authority	\$3,121,194	\$3,100,556	\$0	\$0	\$20,638
<b>Incremental Request</b>	\$0	\$0	\$0	\$0	\$0
<b>Office of Community Living Total</b>					
Total Request	\$532,040,798	\$258,957,677	\$31,301,683	\$0	\$241,781,438
Adjusted Spending Authority	\$528,999,170	\$257,785,059	\$31,301,683	\$0	\$239,912,428
<b>Incremental Request</b>	<b>\$3,041,628</b>	<b>\$1,172,618</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,869,010</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table A.1.3 - Calculation of Request</b>					
<b>FY 2017-18</b>					
<b>Item</b>	<b>Total Request</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>Adult Comprehensive Services (HCBS-DD)</b>					
Total Request	\$404,755,604	\$186,691,453	\$31,298,006	\$0	\$186,766,145
Adjusted Spending Authority	\$396,931,011	\$182,721,525	\$31,298,006	\$0	\$182,911,480
<b>Incremental Request</b>	<b>\$7,824,593</b>	<b>\$3,969,928</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,854,665</b>
<b>Adult Supported Living Services (HCBS-SLS)</b>					
Total Request	\$74,976,407	\$41,441,359	\$0	\$0	\$33,535,048
Adjusted Spending Authority	\$80,428,670	\$43,797,240	\$0	\$0	\$36,631,430
<b>Incremental Request</b>	<b>(\$5,452,263)</b>	<b>(\$2,355,881)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$3,096,382)</b>
<b>Children's Extensive Support Services (HCBS-CES)</b>					
Total Request	\$27,377,337	\$13,685,931	\$0	\$0	\$13,691,406
Adjusted Spending Authority	\$22,571,323	\$11,279,854	\$0	\$0	\$11,291,469
<b>Incremental Request</b>	<b>\$4,806,014</b>	<b>\$2,406,077</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,399,937</b>
<b>Case Management</b>					
Total Request	\$33,698,033	\$17,376,658	\$0	\$0	\$16,321,375
Adjusted Spending Authority	\$32,596,034	\$17,334,711	\$0	\$0	\$15,261,323
<b>Incremental Request</b>	<b>\$1,101,999</b>	<b>\$41,947</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,060,052</b>
<b>Family Support Services</b>					
Total Request	\$6,960,460	\$6,960,460	\$0	\$0	\$0
Adjusted Spending Authority	\$6,960,460	\$6,960,460	\$0	\$0	\$0
<b>Incremental Request</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Preventive Dental Hygiene</b>					
Total Request	\$67,012	\$63,334	\$3,678	\$0	\$0
Adjusted Spending Authority	\$67,012	\$63,334	\$3,678	\$0	\$0
<b>Incremental Request</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Eligibility Determination and Waiting List Management</b>					
Total Request	\$3,121,079	\$3,100,442	\$0	\$0	\$20,637
Adjusted Spending Authority	\$3,121,079	\$3,100,442	\$0	\$0	\$20,637
<b>Incremental Request</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Office of Community Living Total</b>					
Total Request	\$550,955,932	\$269,319,637	\$31,301,684	\$0	\$250,334,611
Adjusted Spending Authority	\$542,675,589	\$265,257,566	\$31,301,684	\$0	\$246,116,339
<b>Incremental Request</b>	<b>\$8,280,343</b>	<b>\$4,062,071</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,218,272</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table A.2 - Calculation of Fund Splits						
FY 2015-16						
Item	Total Request	General Fund	Cash Funds	Federal Funds	FFP	Source
<b>Adult Comprehensive Services (HCBS-DD)</b>						
Medicaid Services	\$344,184,129	\$169,373,010	\$0	\$174,811,119	50.79%	Table B.1 Row I
Client Cash Sources Payments	\$31,281,639	\$0	\$31,281,639	\$0	0.00%	Table G.1 Row E
<b>Subtotal</b>	\$375,465,768	\$169,373,010	\$31,281,639	\$174,811,119		
<b>Adult Supported Livings Services (HCBS-SLS)</b>						
Medicaid Services	\$54,952,454	\$27,042,103	\$0	\$27,910,351	50.79%	Table B.1 Row I
State Only Services	\$7,919,723	\$7,919,723	\$0	\$0	0.00%	Table G.1 Row J
<b>Subtotal</b>	\$62,872,177	\$34,961,826	\$0	\$27,910,351		
<b>Children's Extensive Support Services (HCBS-CES)</b>						
<b>Subtotal</b>	\$22,544,937	\$11,094,363	\$0	\$11,450,574	50.79%	Table B.1 Row I
<b>Case Management</b>						
Medicaid Services	\$24,686,429	\$12,148,192	\$0	\$12,538,237	50.79%	Table B.1 Row I
State Only Services	\$1,060,852	\$1,060,852	\$0	\$0	0.00%	Table G.1 Row S
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,391,823	\$2,195,911	\$0	\$2,195,912	50.00%	Table F.1 Row J
<b>Subtotal</b>	\$30,139,104	\$15,404,955	\$0	\$14,734,149		
<b>Eligibility Determination and Waiting List Management</b>						
Medical Eligibility Determination	\$3,093,563	\$3,093,563	\$0	\$0	0.00%	Table G.1 Row AA
PASRR	\$27,516	\$6,879	\$0	\$20,637	75.00%	Table G.1 Row Z
<b>Subtotal</b>	\$3,121,079	\$3,100,442	\$0	\$20,637		
<b>Other Programs</b>						
Family Support Services	\$6,960,204	\$6,960,204	\$0	\$0	0.00%	Table G.1 Row U
Preventive Dental Hygiene	\$67,012	\$63,334	\$3,678	\$0	0.00%	Table G.1 Row W
Waiver Enrollment	\$1,586,987	\$0	\$1,586,987	\$0	0.00%	Table G.1 Row AC
<b>Subtotal</b>	\$8,614,203	\$7,023,538	\$1,590,665	\$0		
<b>Grand Total</b>	<b>\$502,757,268</b>	<b>\$240,958,134</b>	<b>\$32,872,304</b>	<b>\$228,926,830</b>		

Definitions: FFP: Federal financial participation rate

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table A.3 - Calculation of Fund Splits						
FY 2016-17						
Item	Total Request	General Fund	Cash Funds	Federal Funds	FFP	Source
<b>Adult Comprehensive Services (HCBS-DD)</b>						
Medicaid Services	\$362,346,432	\$180,448,523	\$0	\$181,897,909	50.20%	Table B.1 Row J
Client Cash Sources Payments	\$31,298,006	\$0	\$31,298,006	\$0	0.00%	Table G.2 Row H
<b>Subtotal</b>	\$393,644,438	\$180,448,523	\$31,298,006	\$181,897,909		
<b>Adult Supported Livings Services (HCBS-SLS)</b>						
Medicaid Services	\$61,761,668	\$30,757,311	\$0	\$31,004,357	50.20%	Table B.1 Row J
State Only Services	\$7,919,723	\$7,919,723	\$0	\$0	0.00%	Table G.2 Row Q
<b>Subtotal</b>	\$69,681,391	\$38,677,034	\$0	\$31,004,357		
<b>Children's Extensive Support Services (HCBS-CES)</b>						
<b>Subtotal</b>	\$26,310,826	\$13,102,791	\$0	\$13,208,035	50.20%	Table B.1 Row J
<b>Case Management</b>						
Medicaid Services	\$26,586,856	\$13,240,254	\$0	\$13,346,602	50.20%	Table B.1 Row J
State Only Services	\$1,060,852	\$1,060,852	\$0	\$0	0.00%	Table G.2 Row AF
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,607,793	\$2,303,896	\$0	\$2,303,897	50.00%	Table F.2 Row J
<b>Subtotal</b>	\$32,255,501	\$16,605,002	\$0	\$15,650,499		
<b>Eligibility Determination and Waiting List Management</b>						
Medical Eligibility Determination	\$3,093,677	\$3,093,677	\$0	\$0	0.00%	Table G.2 Row AR
PASRR	\$27,517	\$6,879	\$0	\$20,638	75.00%	Table G.2 Row AQ
<b>Subtotal</b>	\$3,121,194	\$3,100,556	\$0	\$20,638		
<b>Other Programs</b>						
Family Support Services	\$6,960,460	\$6,960,460	\$0	\$0	0.00%	Table G.2 Row AI
Preventive Dental Hygiene	\$66,988	\$63,311	\$3,677	\$0	0.00%	Table G.2 Row AM
<b>Subtotal</b>	\$7,027,448	\$7,023,771	\$3,677	\$0		
<b>Grand Total</b>	<b>\$532,040,798</b>	<b>\$258,957,677</b>	<b>\$31,301,683</b>	<b>\$241,781,438</b>		

Definitions: FFP: Federal financial participation rate

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table A.4 - Calculation of Fund Splits						
FY 2017-18						
Item	Total Request	General Fund	Cash Funds	Federal Funds	FFP	Source
<b>Adult Comprehensive Services (HCBS-DD)</b>						
Medicaid Services	\$373,457,598	\$186,691,453	\$0	\$186,766,145	50.01%	Table B.1 Row K
Client Cash Sources Payments	\$31,298,006	\$0	\$31,298,006	\$0	0.00%	Table G.3 Row E
<b>Subtotal</b>	\$404,755,604	\$186,691,453	\$31,298,006	\$186,766,145		
<b>Adult Supported Livings Services (HCBS-SLS)</b>						
Medicaid Services	\$67,056,684	\$33,521,636	\$0	\$33,535,048	50.01%	Table B.1 Row K
State Only Services	\$7,919,723	\$7,919,723	\$0	\$0	0.00%	Table G.3 Row J
<b>Subtotal</b>	\$74,976,407	\$41,441,359	\$0	\$33,535,048		
<b>Children's Extensive Support Services (HCBS-CES)</b>						
<b>Subtotal</b>	\$27,377,337	\$13,685,931	\$0	\$13,691,406	50.01%	Table B.1 Row K
<b>Case Management</b>						
Medicaid Services	\$27,843,098	\$13,918,765	\$0	\$13,924,333	50.01%	Table B.1 Row K
State Only Services	\$1,060,852	\$1,060,852	\$0	\$0	0.00%	Table G.3 Row S
Quality Assurance, Utilization Review, Support Intensity Scale	\$4,794,083	\$2,397,041	\$0	\$2,397,042	50.00%	Table F.3 Row J
<b>Subtotal</b>	\$33,698,033	\$17,376,658	\$0	\$16,321,375		
<b>Eligibility Determination and Waiting List Management</b>						
Medical Eligibility Determination	\$3,093,563	\$3,093,563	\$0	\$0	0.00%	Table G.3 Row AB
PASRR	\$27,516	\$6,879	\$0	\$20,637	75.00%	Table G.3 Row AA
<b>Subtotal</b>	\$3,121,079	\$3,100,442	\$0	\$20,637		
<b>Other Programs</b>						
Family Support Services	\$6,960,460	\$6,960,460	\$0	\$0	0.00%	Table G.3 Row U
Preventive Dental Hygiene	\$67,012	\$63,334	\$3,678	\$0	0.00%	Table G.3 Row X
<b>Subtotal</b>	\$7,027,472	\$7,023,794	\$3,678	\$0		
<b>Grand Total</b>	<b>\$550,955,932</b>	<b>\$269,319,637</b>	<b>\$31,301,684</b>	<b>\$250,334,611</b>		

Definitions: FFP: Federal financial participation rate

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table B.1.1 - Division for Intellectual and Developmental Disabilities (DIDD) Total Program Expenditure and Forecast						
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total
A	FY 2007-08	\$202,943,588	\$39,607,629	\$5,894,263	\$13,661,560	\$262,107,040
B	FY 2008-09	\$223,362,025	\$46,391,718	\$6,913,410	\$13,848,967	\$290,516,120
C	FY 2009-10	\$253,798,612	\$37,399,799	\$7,158,025	\$16,484,735	\$314,841,171
D	FY 2010-11	\$273,096,876	\$37,579,497	\$7,956,073	\$19,114,672	\$337,747,118
E	FY 2011-12	\$264,899,518	\$37,030,578	\$7,361,601	\$16,875,522	\$326,167,219
F	FY 2012-13	\$261,817,957	\$37,273,663	\$7,015,707	\$16,117,073	\$322,224,400
G	FY 2013-14	\$282,475,249	\$39,288,448	\$9,125,302	\$17,441,960	\$348,330,959
H	FY 2014-15	\$314,878,204	\$44,654,327	\$14,967,843	\$20,230,023	\$394,730,397
I	Estimated FY 2015-16	\$344,184,129	\$54,952,454	\$22,544,937	\$24,686,429	\$446,367,949
J	Estimated FY 2016-17	\$362,346,432	\$61,761,668	\$26,310,826	\$26,586,856	\$477,005,782
K	Estimated FY 2017-18	\$373,457,598	\$67,056,684	\$27,377,337	\$27,843,098	\$495,734,717

Table B.1.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Total Program Expenditure						
Row	Fiscal Year	HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)	HCBS - Supported Living Services Waiver (HCBS-SLS)	HCBS - Children's Extensive Support Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total
A	FY 2007-08					
B	FY 2008-09	10.06%	17.13%	17.29%	1.37%	10.84%
C	FY 2009-10	13.63%	-19.38%	3.54%	19.03%	8.37%
D	FY 2010-11	7.60%	0.48%	11.15%	15.95%	7.28%
E	FY 2011-12	-3.00%	-1.46%	-7.47%	-11.71%	-3.43%
F	FY 2012-13	-1.16%	0.66%	-4.70%	-4.49%	-1.21%
G	FY 2013-14	7.89%	5.41%	30.07%	8.22%	8.10%
H	FY 2014-15	11.47%	13.66%	64.03%	15.98%	13.32%
I	Estimated FY 2015-16	9.31%	23.06%	50.62%	22.03%	13.08%
J	Estimated FY 2016-17	5.28%	12.39%	16.70%	7.70%	6.86%
K	Estimated FY 2017-18	3.07%	8.57%	4.05%	4.73%	3.93%

<b>Table B.2.1 - Division for Intellectual and Developmental Disabilities (DIDD) Monthly Expenditure</b>						
<b>FY 2015-16</b>	<b>HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)</b>	<b>HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>	<b>TOTAL Excluding Regional Centers</b>	<b>Monthly Growth</b>
July 2015	\$25,278,579	\$3,891,287	\$1,645,776	\$1,458,443	\$32,274,086	
August 2015	\$29,845,378	\$5,264,778	\$2,056,542	\$2,313,274	\$39,479,972	\$7,205,886
September 2015	\$26,355,716	\$4,092,245	\$1,432,226	\$1,775,108	\$33,655,295	(\$5,824,678)
October 2015	\$26,962,506	\$4,387,448	\$1,512,186	\$1,912,825	\$34,774,964	\$1,119,670
November 2015	\$28,179,028	\$5,029,236	\$1,952,515	\$3,211,411	\$38,372,191	\$3,597,226
December 2015	\$32,487,325	\$3,883,944	\$1,645,636	\$1,731,356	\$39,748,262	\$1,376,071
<b>Year-to-Date Total</b>	<b>\$169,108,532</b>	<b>\$26,548,939</b>	<b>\$10,244,882</b>	<b>\$12,402,417</b>	<b>\$218,304,770</b>	<b>\$7,474,176</b>
<b>Year-to-Date Monthly Average</b>	<b>\$28,184,755</b>	<b>\$4,424,823</b>	<b>\$1,707,480</b>	<b>\$2,067,070</b>	<b>\$36,384,128</b>	<b>\$1,494,835</b>

<b>Monthly Growth Rate</b>
22.33%
-14.75%
3.33%
10.34%
3.59%
<b>24.83%</b>
<b>4.97%</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table C.1 - FY 2015-16 Projected Expenditure							
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total	Source/Calculation
A	Adjusted Appropriation	\$338,787,475	\$61,713,491	\$19,798,414	\$24,657,865	\$444,957,245	See Footnote (1)
B	Projected FPE	4,860.49	3,949.07	1,247.04	8,880.33	N/A	Table D.3.3, Row E
C	Projected Per FPE Expenditure	\$70,812.64	\$13,915.29	\$18,078.76	\$2,779.90	N/A	Table E.1, Row I
D	Total Projected Expenditure	\$344,184,129	\$54,952,454	\$22,544,937	\$24,686,429	\$446,367,949	Row B * Row C
E	Estimated Over/(Under-expenditure)	\$5,396,654	(\$6,761,037)	\$2,746,523	\$28,564	\$1,410,704	Row D - Row A

Table C.2 - FY 2016-17 Projected Expenditure							
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total	Source/Calculation
A	FY 2016-17 Base Request	\$355,700,877	\$69,970,037	\$21,983,419	\$26,277,055	\$473,931,388	See Footnote (1)
B	Projected FPE	5,109.82	4,291.04	1,453.31	9,509.74	N/A	Table D.3.4, Row E
C	Projected Per FPE Expenditure	\$70,911.78	\$14,393.17	\$18,104.07	\$2,795.75	N/A	Table E.1 Row J
D	Total Projected Expenditure	\$362,346,432	\$61,761,668	\$26,310,826	\$26,586,856	\$477,005,782	Row B * Row C
E	Estimated Over/(Under-expenditure)	\$6,645,555	(\$8,208,369)	\$4,327,407	\$309,801	\$3,074,394	Row D - Row A

Table C.3 - FY 2017-18 Projected Expenditure							
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Total	Source/Calculation
A	FY 2017-18 Base Request	\$365,633,005	\$72,508,947	\$22,571,323	\$26,869,008	\$487,582,283	See Footnote (1)
B	Projected FPE	5,266.51	4,588.15	1,512.22	9,959.08	N/A	Table D.3.5, Row E
C	Projected Per FPE Expenditure	\$70,911.78	\$14,615.19	\$18,104.07	\$2,795.75	N/A	Table E.1 Row K
D	Total Projected Expenditure	\$373,457,598	\$67,056,684	\$27,377,337	\$27,843,098	\$495,734,717	Row B * Row C
E	Estimated Over/(Under-expenditure)	\$7,824,593	(\$5,452,263)	\$4,806,014	\$974,090	\$8,152,434	Row D - Row A

(1) All appropriation amounts above are for Medicaid funded individuals only and do not include State-only funded individuals, services provided to individuals in the Early Intervention program, payments made through client cash sources, or administrative costs.

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table D.1.1 - FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast</b>					
<b>Row</b>	<b>Item</b>	<b>HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)</b>	<b>HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>
A	HB-14-1336 "FY 2014-15 Long Bill Appropriation"	4,970	5,408	1,251	11,739
B	Base Trend Increase	0.00%	0.00%	0.00%	0.00%
C	Initial Estimated FY 2015-16 Enrollment	4,970	5,408	1,251	11,739
	<i>Bottom Line Adjustments</i>				
D	Colorado Choice Transitions (CCT)	14	0	0	14
E	Emergency Enrollments	40	0	0	40
F	Foster Care Transitions	44	3	0	47
G	Youth Transitions	32	28	0	60
H	Total Bottom Line Adjustments	130	31	0	161
<b>I</b>	<b>FY 2015-16 Maximum Enrollment</b>	<b>5,100</b>	<b>5,439</b>	<b>1,251</b>	<b>11,900</b>

<b>Table D.1.2 - FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast</b>					
<b>Row</b>	<b>Item</b>	<b>HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)</b>	<b>HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>
A	FY 2015-16 Maximum Enrollment	5,100	5,439	1,251	11,900
B	Base Trend Increase	0.00%	0.00%	0.00%	0.00%
C	Initial Estimated FY 2016-17 Enrollment	5,100	5,439	1,251	11,900
	<i>Bottom Line Adjustments</i>				
D	Colorado Choice Transitions (CCT)	30	0	0	30
E	Emergency Enrollments	40	0	0	40
F	Foster Care Transitions	55	4	0	59
G	Youth Transitions	32	28	0	60
H	Total Bottom Line Adjustments	157	32	0	189
<b>I</b>	<b>Estimated FY 2016-17 Maximum Enrollment</b>	<b>5,257</b>	<b>5,471</b>	<b>1,251</b>	<b>12,089</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table D.1.3 - FY 2017-18 Division for Intellectual and Developmental Disabilities (DIDD) Maximum Enrollment Forecast</b>					
<b>Row</b>	<b>Item</b>	<b>HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)</b>	<b>HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>
A	Estimated FY 2016-17 Maximum Enrollment	5,257	5,471	1,251	12,089
B	Base Trend Increase	0.00%	0.00%	0.00%	0.00%
C	Initial Estimated FY 2017-18 Enrollment	5,257	5,471	1,251	12,089
	<i>Bottom Line Adjustments</i>				
D	Colorado Choice Transitions (CCT)	33	0	0	33
E	Emergency Enrollments	40	0	0	40
F	Foster Care Transitions	55	4	0	59
G	Youth Transitions	32	28	0	60
H	Total Bottom Line Adjustments	160	32	0	192
<b>I</b>	<b>Estimated FY 2017-18 Maximum Enrollment</b>	<b>5,417</b>	<b>5,503</b>	<b>1,251</b>	<b>12,281</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table D.2 - DIDD Average Monthly Enrollment vs. Full Program Equivalent (FPE)							
Row	Fiscal Year		HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08	Average Monthly Enrollment	4,399	120	2,871	383	7,773
B		FPE	3,654.00	120.00	2,287.00	291.00	6,165.00
C		FPE as a Percentage of Average Monthly Enrollment	83.06%	100.00%	79.66%	75.98%	79.31%
D	FY 2008-09	Average Monthly Enrollment	4,390	129	2,992	400	7,911
E		FPE	3,854.00	129.00	2,369.00	328.00	6,420.00
F		FPE as a Percentage of Average Monthly Enrollment	87.79%	100.00%	79.18%	82.00%	81.15%
G	FY 2009-10	Average Monthly Enrollment	4,401	118	3,104	404	8,027
H		FPE	4,063.00	118.00	2,625.00	325.00	6,049.00
I		FPE as a Percentage of Average Monthly Enrollment	92.32%	100.00%	84.57%	80.45%	75.36%
J	FY 2010-11	Average Monthly Enrollment	4,397	122	3,116	385	8,020
K		FPE	4,123.00	122.00	2,848.00	358.00	7,045.00
L		FPE as a Percentage of Average Monthly Enrollment	93.77%	100.00%	91.40%	92.99%	87.84%
M	FY 2011-12	Average Monthly Enrollment	4,397	122	3,140	373	8,032
N		FPE	4,113.00	122.00	2,860.00	338.00	6,578.00
O		FPE as a Percentage of Average Monthly Enrollment	93.54%	100.00%	91.08%	90.62%	81.90%
P	FY 2012-13	Average Monthly Enrollment	4,384	135	3,178	377	8,074
Q		FPE	4,156.00	135.00	3,021.00	347.00	6,760.00
R		FPE as a Percentage of Average Monthly Enrollment	94.80%	100.00%	95.06%	92.04%	83.73%
S	FY 2013-14	Average Monthly Enrollment	4,392	127	3,183	607	8,309
T		FPE	4,339.00	127.00	3,015.00	498.00	6,795.00
U		FPE as a Percentage of Average Monthly Enrollment	98.79%	100.00%	94.72%	82.04%	81.78%
V	FY 2014-15	Average Monthly Enrollment	4,685	124	3,678	971	9,458
W		FPE	4,617.00	124.00	3,381.00	836.00	7,812.00
X		FPE as a Percentage of Average Monthly Enrollment	98.55%	100.00%	91.92%	86.10%	82.60%
Y	<b>FY 2015-16 Selected FPE Conversion Factor<sup>(1)</sup></b>		<b>98.55%</b>	<b>100.00%</b>	<b>91.54%</b>	<b>89.65%</b>	<b>82.60%</b>
Z	<b>FY 2016-17 and FY 2017-18 Selected FPE Conversion Factor<sup>(1)</sup></b>		<b>98.55%</b>	<b>100.00%</b>	<b>92.56%</b>	<b>92.04%</b>	<b>82.60%</b>

(1) The selected FPE Conversion Factor for DD and TCM are the Conversion Factor from FY 2014-15 in these waivers. The Department believes that as the CES and SLS waiver continue experience rapid enrollment as a result of cap removal in FY 2013-14 and FY 2014-15 respectively, the volume of services used by clients, and consequentially the FPE Conversion Factor, will be artificially low. To compensate for this, a lower conversion factor is used in the current year that approaches a natural rate based on the rate prior to cap removal in the request and out years.

Table D.3.1 - Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment Forecast						
Row	Fiscal Year	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08	4,399	120	2,871	383	7,773
B	FY 2008-09	4,390	129	2,992	400	7,911
C	FY 2009-10	4,401	118	3,104	404	8,027
D	FY 2010-11	4,397	122	3,116	385	8,020
E	FY 2011-12	4,397	122	3,140	373	8,032
F	FY 2012-13	4,384	135	3,178	377	8,074
G	FY 2013-14	4,392	127	3,183	607	8,309
H	FY 2014-15	4,685	124	3,678	971	9,458
I	Estimated FY 2015-16	4,932	114	4,314	1,391	10,751
J	Estimated FY 2016-17	5,185	113	4,636	1,579	11,513
K	Estimated FY 2017-18	5,344	113	4,957	1,643	12,057

Table D.3.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment						
Row	Fiscal Year	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2007-08					
B	FY 2008-09	-0.20%	7.50%	4.21%	4.44%	1.78%
C	FY 2009-10	0.25%	-8.53%	3.74%	1.00%	1.47%
D	FY 2010-11	-0.09%	3.39%	0.39%	-4.70%	-0.09%
E	FY 2011-12	0.00%	0.00%	0.77%	-3.12%	0.15%
F	FY 2012-13	-0.30%	10.66%	1.21%	1.07%	0.52%
G	FY 2013-14	0.18%	-5.93%	0.16%	61.01%	2.91%
H	FY 2014-15	6.67%	-2.36%	15.55%	59.97%	13.83%
I	Estimated FY 2015-16	5.27%	-8.06%	17.29%	43.25%	13.67%
J	Estimated FY 2016-17	5.13%	-0.88%	7.46%	13.52%	7.09%
K	Estimated FY 2017-18	3.07%	0.00%	6.92%	4.05%	4.73%

Table D.3.3 - Calculation of FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	FY 2014-15 Year-End Enrollment	4,760	110	4,078	1,120	10,068	MMIS Prior Authorization Request Data; June 2015
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	172	4	236	271	683	See narrative
<b>C</b>	<b>Final Estimated FY 2015-16 Average Monthly Enrollment</b>	<b>4,932</b>	<b>114</b>	<b>4,314</b>	<b>1,391</b>	<b>10,751</b>	<b>Row A + Row B</b>
D	FPE Adjustment Factor	98.55%	100.00%	91.54%	89.65%	82.60%	Table D.2, Row Y
<b>E</b>	<b>Estimated FY 2015-16 FPE</b>	<b>4,860.49</b>	<b>114.00</b>	<b>3,949.07</b>	<b>1,247.04</b>	<b>8,880.33</b>	<b>Row C * Row D</b>

Table D.3.4 - Calculation of FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2016-17	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	Estimated FY 2015-16 Year-End Enrollment; June 2016	5,100	114	4,461	1,532	11,207	Table D.1.1, Row J
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	85	(1)	175	47	306	See narrative
<b>C</b>	<b>Final Estimated FY 2016-17 Average Monthly Enrollment</b>	<b>5,185</b>	<b>113</b>	<b>4,636</b>	<b>1,579</b>	<b>11,513</b>	<b>Row A + Row B</b>
D	FPE Adjustment Factor	98.55%	100.00%	92.56%	92.04%	82.60%	Table D.2, Row Z
<b>E</b>	<b>Estimated FY 2016-17 FPE</b>	<b>5,109.82</b>	<b>113.00</b>	<b>4,291.04</b>	<b>1,453.31</b>	<b>9,509.74</b>	<b>Row C * Row D</b>

Table D.3.5 - Calculation of FY 2017-18 Division for Intellectual and Developmental Disabilities (DIDD) Average Monthly Enrollment and Full Program Equivalent (FPE)							
Row	FY 2017-18	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	Estimated FY 2016-17 Year-End Enrollment; June 2017	5,257	113	4,783	1,619	11,772	Table D.1.2, Row I
B	Additional Enrollments Adjusted for Linear Enrollment Ramp-up	87	0	174	24	285	See narrative
<b>C</b>	<b>Final Estimated FY 2017-18 Average Monthly Enrollment</b>	<b>5,344</b>	<b>113</b>	<b>4,957</b>	<b>1,643</b>	<b>12,057</b>	<b>Row A + Row B</b>
D	FPE Adjustment Factor	98.55%	100.00%	92.56%	92.04%	82.60%	Table D.2, Row Z
<b>E</b>	<b>Estimated FY 2017-18 FPE</b>	<b>5,266.51</b>	<b>113.00</b>	<b>4,588.15</b>	<b>1,512.22</b>	<b>9,959.08</b>	<b>Row C * Row D</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table D.4.1 - Office of Community Living Monthly Enrollment								
FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL	Monthly Growth	Monthly Growth Rate
July 2015	4,785	116	4,153	1,181	10,235	10,235		
August 2015	4,825	114	4,200	1,227	10,366	10,366	131	1.28%
September 2015	4,842	114	4,229	1,268	10,453	10,453	87	0.84%
October 2015	4,873	111	4,254	1,316	10,554	10,554	101	0.97%
November 2015	4,884	113	4,276	1,354	10,627	10,627	73	0.69%
December 2015	4,893	117	4,290	1,388	10,688	10,688	61	0.57%
<b>Year-to-Date Average</b>	<b>4,850</b>	<b>114</b>	<b>4,234</b>	<b>1,289</b>	<b>10,487</b>	<b>10,487</b>	<b>91</b>	<b>0.87%</b>

Table D.4.2 - Office of Community Living Monthly FPE								
FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL	Monthly Growth	Monthly Growth Rate
July 2015	4,748	116	3,582	993	7,026	9,439		
August 2015	4,781	114	3,952	1,052	8,996	9,899	460	4.87%
September 2015	4,805	114	3,757	1,071	8,367	9,747	(152)	-1.54%
October 2015	4,802	111	3,912	1,136	9,128	9,961	214	2.20%
November 2015	4,679	113	3,994	1,210	9,929	9,996	35	0.35%
December 2015	4,776	117	3,918	1,227	10,371	10,038	42	0.42%
<b>Year-to-Date Average</b>	<b>4,765</b>	<b>114</b>	<b>3,853</b>	<b>1,115</b>	<b>8,970</b>	<b>9,847</b>	<b>120</b>	<b>1.26%</b>

Table D.4.3 - Office of Community Living Monthly FPE as a Percentage of Enrollment					
FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
July 2015	99.23%	100.00%	86.25%	84.08%	68.65%
August 2015	99.09%	100.00%	94.10%	85.74%	86.78%
September 2015	99.24%	100.00%	88.84%	84.46%	80.04%
October 2015	98.54%	100.00%	91.96%	86.32%	86.49%
November 2015	95.80%	100.00%	93.41%	89.36%	93.43%
December 2015	97.61%	100.00%	91.33%	88.40%	97.03%
<b>Year-to-Date Average</b>	<b>98.25%</b>	<b>100.00%</b>	<b>90.98%</b>	<b>86.39%</b>	<b>85.40%</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table D.5.1 - HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers							
Row	Fiscal Year	Average Monthly Enrollment	Total Cost	Per Utilizer Cost	Percent Change in Enrollment	Percent Change in Total Cost	Percent Change in Per-Utilizer Cost
A	FY 2007-08	120	\$19,814,222	\$165,119			
B	FY 2008-09	129	\$26,028,730	\$201,773	7.50%	31.36%	22.20%
C	FY 2009-10	118	\$28,360,034	\$240,339	-8.53%	8.96%	19.11%
D	FY 2010-11	122	\$24,142,015	\$197,885	3.39%	-14.87%	-17.66%
E	FY 2011-12	122	\$25,276,720	\$207,186	0.00%	4.70%	4.70%
F	FY 2012-13	135	\$24,167,096	\$179,016	10.66%	-4.39%	-13.60%
G	FY 2013-14	127	\$22,225,364	\$175,003	-5.93%	-8.03%	-2.24%
H	FY 2014-15	124	\$21,454,023	\$173,016	-2.36%	-3.47%	-1.14%

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table E.1 - Division for Intellectual and Developmental Disabilities (DIDD) Per Full Program Equivalent (FPE) Expenditure and Forecast</b>					
<b>Row</b>	<b>Fiscal Year</b>	<b>HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)</b>	<b>HCBS - Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Children's Extensive Support Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>
A	FY 2007-08	\$55,540.12	\$17,318.60	\$20,255.20	\$2,215.99
B	FY 2008-09	\$57,955.90	\$19,582.83	\$21,077.47	\$2,157.16
C	FY 2009-10	\$62,465.82	\$14,247.54	\$22,024.69	\$2,725.20
D	FY 2010-11	\$66,237.42	\$13,195.05	\$22,223.67	\$2,713.23
E	FY 2011-12	\$64,405.43	\$12,947.75	\$21,779.88	\$2,565.45
F	FY 2012-13	\$62,997.58	\$12,338.19	\$20,218.18	\$2,384.18
G	FY 2013-14	\$65,101.46	\$13,030.99	\$18,323.90	\$2,566.88
H	FY 2014-15	\$68,199.74	\$13,207.43	\$17,904.12	\$2,589.61
I	Estimated FY 2015-16	\$70,812.64	\$13,915.29	\$18,078.76	\$2,779.90
J	Estimated FY 2016-17	\$70,911.78	\$14,393.17	\$18,104.07	\$2,795.75
K	Estimated FY 2017-18	\$70,911.78	\$14,615.19	\$18,104.07	\$2,795.75

<b>Table E.2 - Percent Change in Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure</b>					
<b>Row</b>	<b>Fiscal Year</b>	<b>HCBS - Waiver for Persons with Developmental Disabilities (HCBS-DD)</b>	<b>HCBS - Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Children's Extensive Support Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>
A	FY 2007-08				
B	FY 2008-09	4.35%	13.07%	4.06%	-2.65%
C	FY 2009-10	7.78%	-27.24%	4.49%	26.33%
D	FY 2010-11	6.04%	-7.39%	0.90%	-0.44%
E	FY 2011-12	-2.77%	-1.87%	-2.00%	-5.45%
F	FY 2012-13	-2.19%	-4.71%	-7.17%	-7.07%
G	FY 2013-14	3.34%	5.62%	-9.37%	7.66%
H	FY 2014-15	4.76%	1.35%	-2.29%	0.89%
I	Estimated FY 2015-16	3.83%	5.36%	0.98%	7.35%
J	Estimated FY 2016-17	0.14%	3.43%	0.14%	0.57%
K	Estimated FY 2017-18	0.00%	1.54%	0.00%	0.00%

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table E.3 - Calculation of FY 2015-16 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	FY 2015-16 July - December Average Monthly Per-FPE Expenditure Actuals	\$35,489.72	\$6,890.46	\$9,188.23	\$1,382.66	Actuals
B	Seasonality Adjustment Factor	-0.47%	1.32%	-3.24%	-0.64%	Based on 3 year Seasonality Analysis
C	FY 2015-16 December - June Average Monthly Per-FPE Expenditure Prediction	\$35,322.92	\$6,981.41	\$8,890.53	\$1,373.81	Row A * Row B
D	Estimated Base FY 2015-16 Per FPE Expenditure	\$70,812.64	\$13,871.87	\$18,078.76	\$2,756.47	Row A + Row C
	<i>Rate Adjustments<sup>(1)</sup></i>					
E	FY 2015-16 1.7% Rate Increase	0.00%	0.00%	0.00%	0.85%	TCM adjusted for delayed rate increase implementation.
F	Estimated Base FY 2015-16 Per FPE after Rate Adjustments	\$70,812.64	\$13,871.87	\$18,078.76	\$2,779.90	Row D * Row E
	<i>Bottom Line Adjustments</i>					
G	FY 2015-16 R-7 "Participant Directed Programs Expansion" <sup>(2)</sup>	\$0.00	\$43.42	\$0.00	\$0.00	Adjusted for delayed start date.
<b>H</b>	<b>Total Estimated FY 2015-16 Per FPE Expenditure</b>	<b>\$70,812.64</b>	<b>\$13,915.29</b>	<b>\$18,078.76</b>	<b>\$2,779.90</b>	<b>Row E + Row F</b>

(1) A 1.7% Provider Rate increase was added during FY 2015-16. Because of lag between the dates services are provided and the dates claims are paid, the increases are realized gradually (i.e. some claims paid early in each fiscal year were for services provided in the prior year).

(2) The Department will begin offering Consumer Directed Attendant Support Services (CDASS) to clients on the SLS waiver in April 2015. The Department assumes that participation in the program will ramp-up at a uniform rate over FY 2015-16 and reach full enrollment in October FY 2016-17 at 12.65% of the SLS waiver population, with each SLS-CDASS client costing an additional \$5,722.06 in waiver services above non-CDASS SLS clients.

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table E.4 - Calculation of FY 2016-17 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure						
Row	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Source/Calculation
A	FY 2015-16 Per Full Program Equivalent (FPE) Expenditure	\$70,812.64	\$13,915.29	\$18,078.76	\$2,779.90	Table E.3 Row E
	<i>Rate Adjustments<sup>(1)</sup></i>					
B	Annualization of FY 2015-16 1.7% Rate Increase	0.14%	0.14%	0.14%	0.57%	TCM adjusted for delayed rate increase implementation.
C	Estimated Base FY 2016-17 Per FPE after Rate Adjustments	\$70,911.78	\$13,934.77	\$18,104.07	\$2,795.75	Row A * Row B
	<i>Bottom Line Adjustments</i>					
D	Annualization of FY 2015-16 R-7 "Participant Directed Programs Expansion" <sup>(2)</sup>	\$0.00	\$458.40	\$0.00	\$0.00	Adjusted for delayed start date.
<b>E</b>	<b>Total Estimated FY 2016-17 Per FPE Expenditure</b>	<b>\$70,911.78</b>	<b>\$14,393.17</b>	<b>\$18,104.07</b>	<b>\$2,795.75</b>	<b>Row A + Row B</b>

(1) A 1.7% Provider Rate increase was added during FY 2015-16. Because of lag between the dates services are provided and the dates claims are paid, the increases are realized gradually (i.e. some claims paid early in each fiscal year were for services provided in the prior year).

(2) The Department will begin offering Consumer Directed Attendant Support Services (CDASS) to clients on the SLS waiver in April 2015. The Department assumes that participation in the program will ramp-up at a uniform rate over FY 2015-16 and reach full enrollment in October FY 2016-17 at 12.65% of the SLS waiver population, with each SLS-CDASS client costing an additional \$5,722.06 in waiver services above non-CDASS SLS clients.

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

<b>Table E.5 - Calculation of FY 2017-18 Division for Intellectual and Developmental Disabilities (DIDD) Per FPE Expenditure</b>						
<b>Row</b>	<b>Item</b>	<b>HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)</b>	<b>HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)</b>	<b>HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)</b>	<b>HCBS - Targeted Case Management (TCM)</b>	<b>Source/Calculation</b>
A	FY 2016-17 Per Full Program Equivalent (FPE) Expenditure	\$70,911.78	\$14,393.17	\$18,104.07	\$2,795.75	Table E.4 Row A
	<i>Bottom Line Adjustments</i>					
B	Annualization of FY 2015-16 R-7 "Participant Directed Programs Expansion" <sup>(1)</sup>	\$0.00	\$222.02	\$0.00	\$0.00	Adjusted for delayed start date.
<b>C</b>	<b>Total Estimated FY 2017-18 Per FPE Expenditure</b>	<b>\$70,911.78</b>	<b>\$14,615.19</b>	<b>\$18,104.07</b>	<b>\$2,795.75</b>	<b>Row A + Row B</b>

(1) The Department will begin offering Consumer Directed Attendant Support Services (CDASS) to clients on the SLS waiver in April 2015. The Department assumes that participation in the program will ramp-up at a uniform rate over FY 2015-16 and reach full enrollment in October FY 2016-17 at 12.65% of the SLS waiver population, with each SLS-CDASS client costing an additional \$5,722.06 in waiver services above non-CDASS SLS clients.

Table F.1 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2015-16 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	4,932	114	4,314	1,391	10,751	Table D.3 Row I
B		Rate	\$25.51	\$25.51	\$25.51	\$25.51		Given
C		Estimated Total Expenditure	\$1,509,784	\$34,898	\$1,320,602	\$425,813	\$3,291,097	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	4,932	114	4,314	1,391	10,751	Table D.3 Row I
E		Rate	\$81.31	\$81.31	\$81.31	\$81.31		Given
F		Estimated Total Expenditure	\$401,021	\$9,269	\$350,771	\$113,102	\$874,163	Row D * Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	272	0	700	462	1,434	Table D.3 Row I - Row H + 10%
H		Rate	\$233.09	\$233.09	\$233.09	\$0.00		Given
I		Estimated Total Expenditure	\$63,400	\$0	\$163,163	\$0	\$226,563	Row G * Row H
J	Estimated Total Expenditure		\$1,974,205	\$44,167	\$1,834,536	\$538,915	\$4,391,823	Row C + Row F + Row I

Table F.2 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2016-17 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	5,185	113	4,636	1,579	11,513	Table D.3 Row J
B		Rate	\$25.51	\$25.51	\$25.51	\$25.51		Given
C		Estimated Total Expenditure	\$1,587,232	\$34,592	\$1,419,172	\$483,363	\$3,524,359	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	5,185	113	4,636	1,579	11,513	Table D.3 Row J
E		Rate	\$81.31	\$81.31	\$81.31	\$81.31		Given
F		Estimated Total Expenditure	\$421,592	\$9,188	\$376,953	\$128,388	\$936,121	Row D * Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	278	0	354	207	839	Table D.3 Row J - Row I + 10%
H		Rate	\$233.09	\$233.09	\$233.09	\$0.00		Given
I		Estimated Total Expenditure	\$64,799	\$0	\$82,514	\$0	\$147,313	Row G * Row H
J	Estimated Total Expenditure		\$2,073,623	\$43,780	\$1,878,639	\$611,751	\$4,607,793	Row C + Row F + Row I

Table F.3 - Division for Intellectual and Developmental Disabilities (DIDD) FY 2017-18 Quality Assurance, Utilization Review and Support Intensity Scale Service Forecast								
Row	Service	Item	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD) - Regional Centers	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	Total	Source/Calculation
A	Quality Assurance	Estimated Total Average Monthly Enrollment	5,344	113	4,957	1,643	12,057	Table D.3 Row K
B		Rate	\$25.51	\$25.51	\$25.51	\$25.51		Given
C		Estimated Total Expenditure	\$1,635,905	\$34,592	\$1,517,437	\$502,955	\$3,690,889	Row A * Row B * 12
D	Utilization Review	Estimated Total Average Monthly Enrollment	5,344	113	4,957	1,643	12,057	Table D.3 Row K
E		Rate	\$81.31	\$81.31	\$81.31	\$81.31		Given
F		Estimated Total Expenditure	\$434,521	\$9,188	\$403,054	\$133,592	\$980,355	Row D* Row E
G	Support Intensity Scale	Estimated New and Renewal Average Monthly Enrollment	175	(1)	353	70	597	Table D.3 Row J - Row I + 10%
H		Rate	\$233.09	\$233.09	\$233.09	\$0.00		Given
I		Estimated Total Expenditure	\$40,791	(\$233)	\$82,281	\$0	\$122,839	Row G * Row H
J	<b>Estimated Total Expenditure</b>		<b>\$2,111,217</b>	<b>\$43,547</b>	<b>\$2,002,772</b>	<b>\$636,547</b>	<b>\$4,794,083</b>	<b>Row C + Row F + Row I</b>

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table G.1 FY 2015-16 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
<b>Adult Comprehensive Services</b>								
A	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$368,974,132	0.0	\$166,178,462	\$0	\$31,281,639	\$0	\$171,514,031
B	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$1,094,982	0.0	\$538,854	\$0	\$0	\$0	\$556,128
C	Total FY 2015-16 Spending Authority	\$370,069,114	0.0	\$166,717,316	\$0	\$31,281,639	\$0	\$172,070,159
<b>HCBS-DD Services</b>								
D		\$338,787,475	0.0	\$166,717,316	\$0	\$0	\$0	\$172,070,159
E	<b>HCBS-DD Information Only Client Payments</b>	\$31,281,639	0.0	\$0	\$0	\$31,281,639	\$0	\$0
<b>Adult Supported Living Services</b>								
F	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$78,378,376	0.0	\$42,592,426	\$0	\$0	\$0	\$35,785,950
G	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	(\$8,745,162)	0.0	(\$4,303,494)	\$0	\$0	\$0	(\$4,441,668)
H	Total FY 2015-16 Spending Authority	\$69,633,214	0.0	\$38,288,932	\$0	\$0	\$0	\$31,344,282
<b>SLS Services</b>								
I		\$61,713,491	0.0	\$30,369,209	\$0	\$0	\$0	\$31,344,282
J	<b>SLS State-Only</b>	\$7,919,723	0.0	\$7,919,723	\$0	\$0	\$0	\$0
<b>Children's Extensive Support Services</b>								
K	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$22,574,419	0.0	\$11,108,871	\$0	\$0	\$0	\$11,465,548
L	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	(\$2,776,005)	0.0	(\$1,366,071)	\$0	\$0	\$0	(\$1,409,934)
M	Total FY 2015-16 Spending Authority	\$19,798,414	0.0	\$9,742,800	\$0	\$0	\$0	\$10,055,614
<b>Case Management</b>								
N	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$34,577,785	0.0	\$18,194,562	\$0	\$0	\$0	\$16,383,223
O	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	(\$4,408,759)	0.0	(\$2,157,303)	\$0	\$0	\$0	(\$2,251,456)
P	Total FY 2015-16 Spending Authority	\$30,169,026	0.0	\$16,037,259	\$0	\$0	\$0	\$14,131,767
<b>Targeted Case Management</b>								
Q		\$24,657,865	0.0	\$12,751,253	\$0	\$0	\$0	\$11,906,612
R	<b>QA, UR and SIS</b>	\$4,450,309	0.0	\$2,225,154	\$0	\$0	\$0	\$2,225,155
S	<b>Case Management - State Only</b>	\$1,060,852	0.0	\$1,060,852	\$0	\$0	\$0	\$0
<b>Family Support Services</b>								
T	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$6,960,204	0.0	\$6,960,204	\$0	\$0	\$0	\$0
U	Total FY 2015-16 Spending Authority	\$6,960,204	0.0	\$6,960,204	\$0	\$0	\$0	\$0
<b>Preventive Dental Hygiene</b>								
V	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$67,012	0.0	\$63,334	\$0	\$3,678	\$0	\$0
W	Total FY 2015-16 Spending Authority	\$67,012	0.0	\$63,334	\$0	\$3,678	\$0	\$0
<b>Eligibility Determination and Waitlist Management</b>								
X	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$3,121,079	0.0	\$3,100,442	\$0	\$0	\$0	\$20,637
Y	Total FY 2015-16 Spending Authority	\$3,121,079	0.0	\$3,100,442	\$0	\$0	\$0	\$20,637
Z	<b>PASRR</b>	\$27,516	0.0	\$6,879	\$0	\$0	\$0	\$20,637
AA	<b>Med Eligibility Determination</b>	\$3,093,563	0.0	\$3,093,563	\$0	\$0	\$0	\$0
<b>Waiver Enrollment</b>								
AB	FY 2015-16 Long Bill Appropriation (SB 15-234)	\$1,586,987	0.0	\$0	\$0	\$1,586,987	\$0	\$0
AC	Total FY 2015-16 Spending Authority	\$1,586,987	0.0	\$0	\$0	\$1,586,987	\$0	\$0

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

AD	Grand Total FY 2015-16 Spending Authority	\$501,405,050	0.0	\$240,910,287	\$0	\$32,872,304	\$0	\$227,622,459
----	---	---------------	-----	---------------	-----	--------------	-----	---------------

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table G.2 FY 2016-17 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
<b>Adult Comprehensive Services</b>								
A	FY 2015-16 Final Spending Authority	\$370,069,114	0.0	\$166,717,316	\$0	\$31,281,639	\$0	\$172,070,159
B	Annualization of FY 2015-16 BA17: "Decreased FMAP"	\$0	0.0	\$258,755	\$0	\$0	\$0	(\$258,755)
C	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	\$192,167	0.0	\$86,511	\$0	\$16,367	\$0	\$89,289
D	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$16,737,602	0.0	\$9,293,913	\$0	\$0	\$0	\$7,443,689
E	FY 2016-17 BA-16 Decreased Federal Medical Assistance Percentage	\$0	0.0	\$782,542	\$0	\$0	\$0	(\$782,542)
F	Total FY 2016-17 Spending Authority	\$386,998,883	0.0	\$177,139,037	\$0	\$31,298,006	\$0	\$178,561,840
G	<b>HCBS-DD Services</b>	\$355,700,877	0.0	\$177,139,037	\$0	\$0	\$0	\$178,561,840
H	<b>HCBS-DD Information Only Client Payments</b>	\$31,298,006	0.0	\$0	\$0	\$31,298,006	\$0	\$0
<b>Adult Supported Living Services</b>								
I	FY 2015-16 Final Spending Authority	\$69,633,214	0.0	\$38,288,932	\$0	\$0	\$0	\$31,344,282
J	Annualization of FY 2015-16 BA17: "Decreased FMAP"	\$0	0.0	\$35,066	\$0	\$0	\$0	(\$35,066)
K	Annualization of FY 2015-16 R#7 Participant Directed Programs Expansion	\$2,168,204	0.0	\$1,070,163	\$0	\$0	\$0	\$1,098,041
L	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	\$78,224	0.0	\$42,256	\$0	\$0	\$0	\$35,968
M	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$6,010,118	0.0	\$2,947,459	\$0	\$0	\$0	\$3,062,659
N	FY 2016-17 BA-16 Decreased Federal Medical Assistance Percentage	\$0	0.0	\$154,925	\$0	\$0	\$0	(\$154,925)
O	Total FY 2016-17 Spending Authority	\$77,889,760	0.0	\$42,538,801	\$0	\$0	\$0	\$35,350,959
P	<b>SLS Services</b>	\$69,970,037	0.0	\$34,619,078	\$0	\$0	\$0	\$35,350,959
Q	<b>SLS State-Only</b>	\$7,919,723	0.0	\$7,919,723	\$0	\$0	\$0	\$0
<b>Children's Extensive Support Services</b>								
R	FY 2015-16 Final Spending Authority	\$19,798,414	0.0	\$9,742,800	\$0	\$0	\$0	\$10,055,614
S	Annualization of FY 2015-16 BA17: "Decreased FMAP"	\$0	0.0	\$18,088	\$0	\$0	\$0	(\$18,088)
T	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	\$901	0.0	\$444	\$0	\$0	\$0	\$457
U	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$2,184,104	0.0	\$1,138,047	\$0	\$0	\$0	\$1,046,057
V	FY 2016-17 BA-16 Decreased Federal Medical Assistance Percentage	\$0	0.0	\$48,364	\$0	\$0	\$0	(\$48,364)
W	Total FY 2016-17 Spending Authority	\$21,983,419	0.0	\$10,947,743	\$0	\$0	\$0	\$11,035,676
<b>Case Management</b>								
X	FY 2015-16 Final Spending Authority	\$30,169,026	0.0	\$16,037,259	\$0	\$0	\$0	\$14,131,767
Y	Annualization of FY 2015-16 BA17: "Decreased FMAP"	\$0	0.0	\$66,687	\$0	\$0	\$0	(\$66,687)
Z	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	(\$4,003)	0.0	(\$1,970)	\$0	\$0	\$0	(\$2,033)
AA	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$1,813,443	0.0	\$877,855	\$0	\$0	\$0	\$935,588
AB	FY 2016-17 BA-16 Decreased Federal Medical Assistance Percentage	\$0	0.0	\$55,320	\$0	\$0	\$0	(\$55,320)
AC	Total FY 2016-17 Spending Authority	\$31,978,466	0.0	\$17,035,151	\$0	\$0	\$0	\$14,943,315
AD	<b>Targeted Case Management</b>	\$26,277,055	0.0	\$13,654,020	\$0	\$0	\$0	\$12,623,035
AE	<b>QA, UR and SIS</b>	\$4,640,559	0.0	\$2,320,279	\$0	\$0	\$0	\$2,320,280
AF	<b>Case Management - State Only</b>	\$1,060,852	0.0	\$1,060,852	\$0	\$0	\$0	\$0

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

	<b>Family Support Services</b>							
AG	FY 2015-16 Final Spending Authority	\$6,960,204	0.0	\$6,960,204	\$0	\$0	\$0	\$0
AH	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	\$256	0.0	\$256	\$0	\$0	\$0	\$0
AI	Total FY 2016-17 Spending Authority	\$6,960,460	0.0	\$6,960,460	\$0	\$0	\$0	\$0
	<b>Preventive Dental Hygiene</b>							
AJ								
AK	FY 2015-16 Final Spending Authority	\$67,012	0.0	\$63,334	\$0	\$3,678	\$0	\$0
AL	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	(\$24)	0.0	(\$23)	\$0	(\$1)	\$0	\$0
AM	Total FY 2016-17 Spending Authority	\$66,988	0.0	\$63,311	\$0	\$3,677	\$0	\$0
	<b>Eligibility Determination and Waitlist Management</b>							
AN	FY 2015-16 Final Spending Authority	\$3,121,079	0.0	\$3,100,442	\$0	\$0	\$0	\$20,637
AO	Annualization of FY 2015-16 R#12 "Community Provider Rate Increase and Targeted Rate Increase"	\$115	0.0	\$114	\$0	\$0	\$0	\$1
AP	Total FY 2016-17 Spending Authority	\$3,121,194	0.0	\$3,100,556	\$0	\$0	\$0	\$20,638
AQ	<b>PASRR</b>	\$27,517	0.0	\$6,879	\$0	\$0	\$0	\$20,638
AR	<b>Med Eligibility Determination</b>	\$3,093,677	0.0	\$3,093,677	\$0	\$0	\$0	\$0
	<b>Waiver Enrollment</b>							
AS	FY 2015-16 Final Spending Authority	\$1,586,987	0.0	\$0	\$0	\$1,586,987	\$0	\$0
AT	Annualization of FY 2014-15 Long Bill Add On	(\$1,586,987)	0.0	\$0	\$0	(\$1,586,987)	\$0	\$0
AU	Total FY 2016-17 Spending Authority	\$0	0.0	\$0	\$0	\$0	\$0	\$0
AV	Grand Total FY 2016-17 Spending Authority	\$528,999,170	0.0	\$257,785,059	\$0	\$31,301,683	\$0	\$239,912,428

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

Table G.3 FY 2017-18 Office of Community Living Appropriation Build								
Row	Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
<b>Adult Comprehensive Services</b>								
A	FY 2016-17 Final Spending Authority	\$386,998,883	0.0	\$177,139,037	\$0	\$31,298,006	\$0	\$178,561,840
B	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$9,932,128	0.0	\$5,582,488	\$0	\$0	\$0	\$4,349,640
C	Total FY 2017-18 Spending Authority	\$396,931,011	0.0	\$182,721,525	\$0	\$31,298,006	\$0	\$182,911,480
<b>HCBS-DD Services</b>								
D		\$365,633,005	0.0	\$182,721,525	\$0	\$0	\$0	\$182,911,480
E	<b>HCBS-DD Information Only Client Payments</b>	\$31,298,006	0.0	\$0	\$0	\$31,298,006	\$0	\$0
<b>Adult Supported Living Services</b>								
F	FY 2016-17 Final Spending Authority	\$77,889,760	0.0	\$42,538,801	\$0	\$0	\$0	\$35,350,959
G	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$2,538,910	0.0	\$1,258,439	\$0	\$0	\$0	\$1,280,471
H	Total FY 2017-18 Spending Authority	\$80,428,670	0.0	\$43,797,240	\$0	\$0	\$0	\$36,631,430
I	<b>SLS Services</b>	\$72,508,947	0.0	\$35,877,517	\$0	\$0	\$0	\$36,631,430
J	<b>SLS State-Only</b>	\$7,919,723	0.0	\$7,919,723	\$0	\$0	\$0	\$0
<b>Children's Extensive Support Services</b>								
K	FY 2016-17 Final Spending Authority	\$21,983,419	0.0	\$10,947,743	\$0	\$0	\$0	\$11,035,676
L	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$587,904	0.0	\$332,111	\$0	\$0	\$0	\$255,793
M	Total FY 2017-18 Spending Authority	\$22,571,323	0.0	\$11,279,854	\$0	\$0	\$0	\$11,291,469
<b>Case Management</b>								
N	FY 2016-17 Final Spending Authority	\$31,978,466	0.0	\$17,035,151	\$0	\$0	\$0	\$14,943,315
O	FY 2015-16 R-5 Office of Community Living Cost and Caseload Adjustments	\$617,568	0.0	\$299,560	\$0	\$0	\$0	\$318,008
P	Total FY 2017-18 Spending Authority	\$32,596,034	0.0	\$17,334,711	\$0	\$0	\$0	\$15,261,323
Q	<b>Targeted Case Management</b>	\$26,869,008.00	0.0	\$13,940,772	\$0	\$0	\$0	\$12,928,236.00
R	<b>QA, UR and SIS</b>	\$4,666,174	0.0	\$2,333,087	\$0	\$0	\$0	\$2,333,087
S	<b>Case Management - State Only</b>	\$1,060,852	0.0	\$1,060,852	\$0	\$0	\$0	\$0
<b>Family Support Services</b>								
T	FY 2016-17 Final Spending Authority	\$6,960,460	0.0	\$6,960,460	\$0	\$0	\$0	\$0
U	Total FY 2017-18 Spending Authority	\$6,960,460	0.0	\$6,960,460	\$0	\$0	\$0	\$0
<b>Preventive Dental Hygiene</b>								
V								
W	FY 2016-17 Final Spending Authority	\$67,012	0.0	\$63,334	\$0	\$3,678	\$0	\$0
X	Total FY 2017-18 Spending Authority	\$67,012	0.0	\$63,334	\$0	\$3,678	\$0	\$0
<b>Eligibility Determination and Waitlist Management</b>								
Y	FY 2016-17 Final Spending Authority	\$3,121,079	0.0	\$3,100,442	\$0	\$0	\$0	\$20,637
Z	Total FY 2017-18 Spending Authority	\$3,121,079	0.0	\$3,100,442	\$0	\$0	\$0	\$20,637
AA	<b>PASRR</b>	\$27,516	0.0	\$6,879	\$0	\$0	\$0	\$20,637
AB	<b>Med Eligibility Determination</b>	\$3,093,563	0.0	\$3,093,563	\$0	\$0	\$0	\$0
<b>Waiver Enrollment</b>								
AC	FY 2016-17 Final Spending Authority	\$0	0.0	\$0	\$0	\$0	\$0	\$0
AD	Total FY 2017-18 Spending Authority	\$0	0.0	\$0	\$0	\$0	\$0	\$0

S-5A, BA-5 Office of Community Living Cost and Caseload Adjustments

AE	Grand Total FY 2016-17 Spending Authority	\$542,675,589	0.0	\$265,257,566	\$0	\$31,301,684	\$0	\$246,116,339
----	---	---------------	-----	---------------	-----	--------------	-----	---------------