

Exhibit B

Table B.1.1 FY 2013-14 Projected Expenditures								
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM) ⁽¹⁾	New State Plan Costs	Total	Notes
A	FY 2013-14 Appropriation	\$299,108,740	\$38,808,009	\$13,201,051	\$20,519,121		\$371,636,921	See Footnote (2)
B	Appropriated FPE	4,471.20	3,417.50	659.00	8,547.70			SB 13-230
C	Appropriated Per Capita Expenditure	\$66,896.75	\$11,355.67	\$20,031.94	\$2,400.54			Row A / Row B
D	Projected Actual FPE	4,356.75	3,098.00	584.66	8,039.41			Table G.3 Row E
E	Projected Actual Per Capita	\$63,782.17	\$12,898.74	\$19,929.64	\$2,192.62			Table F.3 Row D
F	Total Projected Expenditure	\$277,882,957	\$39,960,305	\$11,652,134	\$17,627,342		\$347,122,738	Row D * Row E
G	Estimated Over/(Underexpenditure)	(\$21,225,783)	\$1,152,296	(\$1,548,917)	(\$2,891,779)		(\$24,514,183)	Row F - Row A

(1) The Targeted Case Management Medicaid appropriation includes \$2,900,000 for Utilization Review, Quality Assurance, and Supports Intensity Scale. These are distinct from Targeted Case Management services provided to individual clients. The \$2,900,000 has therefore been taken out of the Row A Appropriation in this table.

(2) All appropriation amounts above are for Medicaid funded individuals only and do not include State-only funded individuals, cash-funded appropriations, or services provided to individuals in the Early Intervention program.

Table B.1.2 FY 2014-15 Projected Expenditures								
Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM) ⁽¹⁾	New State Plan Costs	Total	Notes	
A	FY 2014-15 Base Funding Request	\$307,216,985	\$39,121,522	\$18,785,189	\$21,411,608	\$386,535,304	See Footnote (2)	
B	Appropriated FPE	4,561.00	3,440.00	925.00	8,926.00		Annualization of SB 13-230	
C	Appropriated Per Capita Expenditure	\$67,357.37	\$11,372.54	\$20,308.31	\$2,398.79		Row A / Row B	
D	Projected Actual FPE	4,561.00	3,217.00	925.00	8,703.00		Table G.3 Row L	
E	Projected Actual Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82		Table F.3 Row H	
F	Total Projected Expenditure	\$291,849,346	\$41,630,613	\$18,506,186	\$19,145,032	\$371,131,177	Row D * Row E	
G	Estimated Over/(Underexpenditure)	(\$15,367,639)	\$2,509,091	(\$279,003)	(\$2,266,576)	(\$15,404,127)	Row F - Row A	

(1) The Targeted Case Management Medicaid appropriation includes \$2,900,000 for Utilization Review, Quality Assurance, and Supports Intensity Scale. These are distinct from Targeted Case Management services provided to individual clients. The \$2,900,000 has therefore been taken out of the Row A Appropriation in this table.

(2) All appropriation amounts above are for Medicaid funded individuals only and do not include State-only funded individuals, cash-funded appropriations, or services provided to individuals in the Early Intervention program.

Table B.1.3 FY 2015-16 Projected Expenditures								
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM) ⁽¹⁾	New State Plan Costs	Total	Notes
A	FY 2015-16 Base Funding Request	\$307,216,985	\$39,121,522	\$18,785,189	\$21,411,608		\$386,535,304	See Footnote (2)
B	Appropriated FPE	4,561.00	3,440.00	925.00	8,926.00			Annualization of SB 13-230
C	Appropriated Per Capita Expenditure	\$67,357.37	\$11,372.54	\$20,308.31	\$2,398.79			Row A / Row B
D	Projected Actual FPE	4,561.00	3,217.00	925.00	8,703.00			Table G.3 Row S
E	Projected Actual Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82			Table F.3 Row L
F	Total Projected Expenditure	\$291,849,346	\$41,630,613	\$18,506,186	\$19,145,032		\$371,131,177	Row D * Row E
G	Estimated Over/(Underexpenditure)	(\$15,367,639)	\$2,509,091	(\$279,003)	(\$2,266,576)		(\$15,404,127)	Row F - Row A

(1) The Targeted Case Management Medicaid appropriation includes \$2,900,000 for Utilization Review, Quality Assurance, and Supports Intensity Scale. These are distinct from Targeted Case Management services provided to individual clients. The \$2,900,000 has therefore been taken out of the Row A Appropriation in this table.

(2) All appropriation amounts above are for Medicaid funded individuals only and do not include State-only funded individuals, cash-funded appropriations, or services provided to individuals in the Early Intervention program.

Exhibit B

Table B.4.1 FY 2013-14 New Resources and Expenditure Rebalancing								
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Notes
A	Total Projected Expenditure	\$277,882,957	\$39,960,305	\$11,652,134	\$17,627,342		\$347,122,738	Table B.1.1, Row F
B	Additional Enrollments Funded Through Redistribution of Existing Resources	134.00	0.00	279.00	413.00	413.00		Table B.2
C	New FPE (above appropriated level)	1.40	0.00	38.75	40.15	40.15		Table B.3, Row E
D	Projected Per Capita	\$63,782.17	\$12,898.74	\$19,929.64	\$2,192.62	\$332.98		Table F.1 Row G State Plan: Table D.1 Row M
E	Projected Additional Expenditure	\$89,295	\$0	\$772,274	\$88,034	\$13,369	\$962,972	Row C * Row D
F	Projected Final Expenditure with Adjusted FPE	\$277,972,252	\$39,960,305	\$12,424,408	\$17,715,376	\$13,369	\$348,085,710	Row A + Row E
G	FY 2013-14 Appropriation	\$299,108,740	\$38,808,009	\$13,201,051	\$20,519,121		\$371,636,921	Table B.1.1, Row A
H	Projected Final Over/(Underexpenditure)	(\$21,136,488)	\$1,152,296	(\$776,643)	(\$2,803,745)	\$13,369	(\$23,551,211)	Row F - Row G
I	Adjusted FPE	4,358.15	3,098.00	623.41	8,079.56			Row C + Table B.1.1 Row D

Exhibit B

Table B.4.2 FY 2014-15 New Resources and Expenditure Rebalancing								
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Notes
A	Total Projected Expenditure	\$291,849,346	\$41,630,613	\$18,506,186	\$19,145,032		\$371,131,177	Table E.1, Row H
B	Additional Enrollments Funded Through Redistribution of Existing Resources	134.00	0.00	279.00	413.00	413.00		Table B.2
C	New FPE (above appropriated level)	104.69	0.00	275.13	379.82	379.82		Table B.3, Row I
D	Projected Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82	\$332.98		Table F.1 Row H State Plan: Table D.1, Row M
E	Projected Additional Expenditure	\$6,698,906	\$0	\$5,504,440	\$835,536	\$126,472	\$13,165,354	Row C * Row D
F	Projected Final Expenditure with Adjusted FPE	\$298,548,252	\$41,630,613	\$24,010,626	\$19,980,568	\$126,472	\$384,296,531	Row A + Row E
G	FY 2014-15 Base Funding Request	\$307,216,985	\$39,121,522	\$18,785,189	\$21,411,608		\$386,535,304	Table B.1.2, Row A
H	Projected Final Over/(Underexpenditure)	(\$8,668,733)	\$2,509,091	\$5,225,437	(\$1,431,040)	\$126,472	(\$2,238,773)	Row F - Row G
I	Adjusted FPE	4,665.69	3,217.00	1,200.13	9,082.82			Row C + Table B.1.2 Row D

Exhibit B

Table B.4.3 FY 2015-16 New Resources and Expenditure Rebalancing								
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	New State Plan Costs	Total	Notes
A	Total Projected Expenditure	\$291,849,346	\$41,630,613	\$18,506,186	\$19,145,032		\$371,131,177	Table E.1, Row I
B	Additional Enrollments Funded Through Redistribution of Existing Resources	134.00	0.00	279.00	413.00	413.00		Table B.2
C	New FPE (above appropriated level)	134.00	0.00	279.00	413.00	413.00		Table B.3, Row M
D	Projected Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82	\$785.22		Table F.1 Row I State Plan: Table D.2, Row M
E	Projected Additional Expenditure	\$8,574,394	\$0	\$5,581,866	\$908,526	\$324,296	\$15,389,082	Row C * Row D
F	Projected Final Expenditure with Adjusted FPE	\$300,423,740	\$41,630,613	\$24,088,052	\$20,053,558	\$324,296	\$386,520,259	Row A + Row E
G	FY 2015-16 Base Funding Request	\$307,216,985	\$39,121,522	\$18,785,189	\$21,411,608		\$386,535,304	Table B.1.3, Row A
H	Projected Final Over/(Underexpenditure)	(\$6,793,245)	\$2,509,091	\$5,302,863	(\$1,358,050)	\$324,296	(\$15,045)	Row F - Row G
I	Adjusted FPE	4,695.00	3,217.00	1,204.00	9,116.00			Row C + Table B.1.3, Row D

Exhibit E

Table E.1 - Total Developmental Disabilities Medicaid Waivers Expenditures and Forecast

Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL
FY 2007-08	\$208,102,462	\$39,029,490	\$5,756,235	\$14,338,722	\$267,226,909
FY 2008-09	\$224,745,841	\$45,210,324	\$6,750,695	\$16,833,173	\$293,540,033
FY 2009-10	\$252,576,457	\$36,132,497	\$6,956,802	\$18,522,404	\$314,188,159
FY 2010-11	\$271,701,338	\$36,416,459	\$7,811,219	\$21,675,435	\$337,604,451
FY 2011-12	\$264,137,545	\$35,839,658	\$7,219,044	\$19,649,535	\$326,845,782
FY 2012-13	\$261,824,376	\$37,269,826	\$7,016,020	\$18,967,392	\$325,077,613
Estimated FY 2013-14	\$277,972,252	\$39,960,305	\$12,424,408	\$17,715,376	\$348,072,341
Estimated FY 2014-15	\$298,548,252	\$41,630,613	\$24,010,626	\$19,980,568	\$384,170,059
Estimated FY 2015-16	\$300,423,740	\$41,630,613	\$24,088,052	\$20,053,558	\$386,195,963

Table E.2 - Percent Change in Year-over-year Expenditures

Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	TOTAL
FY 2007-08					
FY 2008-09	8.00%	15.84%	17.28%	17.40%	9.85%
FY 2009-10	12.38%	-20.08%	3.05%	10.04%	7.03%
FY 2010-11	7.57%	0.79%	12.28%	17.02%	7.45%
FY 2011-12	-2.78%	-1.58%	-7.58%	-9.35%	-3.19%
FY 2012-13	-0.88%	3.99%	-2.81%	-3.47%	-0.54%
Estimated FY 2013-14	6.17%	7.22%	77.09%	-6.60%	6.49%
Estimated FY 2014-15	7.40%	4.18%	93.25%	12.79%	10.37%
Estimated FY 2015-16	0.63%	0.00%	0.32%	0.37%	0.53%

Exhibit F

Table F.1 - Developmental Disabilities Medicaid Waivers Expenditures and Forecast

Per Capita Expenditures						
Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	
A	FY 2007-08	\$56,198.34	\$16,830.31	\$19,780.88	\$2,297.87	
B	FY 2008-09	\$59,050.40	\$18,712.88	\$20,581.39	\$2,436.41	
C	FY 2009-10	\$63,065.28	\$13,696.93	\$21,339.88	\$2,541.14	
D	FY 2010-11	\$65,644.20	\$12,764.27	\$21,758.27	\$2,516.60	
E	FY 2011-12	\$63,940.34	\$12,526.97	\$21,232.48	\$2,321.54	
F	FY 2012-13	\$62,727.45	\$12,332.83	\$20,045.77	\$2,115.72	
G	Estimated FY 2013-14	\$63,782.17	\$12,898.74	\$19,929.64	\$2,192.62	
H	Estimated FY 2014-15	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82	
I	Estimated FY 2015-16	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82	

Table F.2 - Percent Change in Year-over-year Per Capita Cost

Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	
A	FY 2007-08					
B	FY 2008-09	5.07%	11.19%	4.05%	6.03%	
C	FY 2009-10	6.80%	-26.80%	3.69%	4.30%	
D	FY 2010-11	4.09%	-6.81%	1.96%	-0.97%	
E	FY 2011-12	-2.60%	-1.86%	-2.42%	-7.75%	
F	FY 2012-13	-1.90%	-1.55%	-5.59%	-8.87%	
G	Estimated FY 2013-14	1.68%	4.59%	-0.58%	3.63%	
H	Estimated FY 2014-15	0.32%	0.33%	0.39%	0.33%	
I	Estimated FY 2015-16	0.00%	0.00%	0.00%	0.00%	

Exhibit F

Table F.3 - Calculation of Per Capita Forecasts

Row	Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
A	FY 2012-13 Per Capita	\$62,727.45	\$12,332.83	\$20,045.77	\$2,115.72
B	FY 2013-14 4.0% Rate Increase	3.67%	3.67%	3.67%	3.67%
C	Base Trend	-1.91%	0.90%	-4.10%	-0.03%
D	Estimated FY 2013-14 Per Capita	\$63,782.62	\$12,899.46	\$19,929.41	\$2,192.65
E	FY 2013-14 Per Capita	\$63,782.17	\$12,898.74	\$19,929.64	\$2,192.62
F	Annualization of FY 2013-14 4.0% Rate Increase	0.33%	0.33%	0.33%	0.33%
G	Base Trend	-0.01%	0.00%	0.06%	0.00%
H	Estimated FY 2014-15 Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82
I	FY 2014-15 Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82
J	Annualization of FY 2013-14 4.0% Rate Increase	0.00%	0.00%	0.00%	0.00%
K	Base Trend	0.00%	0.00%	0.00%	0.00%
L	Estimated FY 2015-16 Per Capita	\$63,988.02	\$12,940.82	\$20,006.69	\$2,199.82

A 4.00% Provider Rate increase was added beginning in July 2013. Because of lag between the dates certain services are provided and the dates claims are paid, the 4% increase was recognized gradually (i.e. some claims paid early in FY 2013-14 were for services provided in FY 2012-13). This, likewise, will have a slight carryover effect into FY 2014-15.

Exhibit G

Table G.1 - Developmental Disabilities Medicaid Waivers Claims Caseload and Forecast

Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
FY 2007-08	3,702.50	2,319.17	290.92	6,312.58
FY 2008-09	3,806.08	2,415.92	328.08	6,550.08
FY 2009-10	4,004.67	2,637.75	325.50	6,967.92
FY 2010-11	4,138.75	2,853.42	359.33	7,351.50
FY 2011-12	4,130.58	2,861.42	340.25	7,332.25
FY 2012-13	4,173.92	3,022.42	349.58	7,545.92
Estimated FY 2013-14	4,358.15	3,098.00	623.41	8,079.56
Estimated FY 2014-15	4,665.69	3,217.00	1,200.13	9,082.82
Estimated FY 2015-16	4,695.00	3,217.00	1,204.00	9,116.00

Table G.2 - Percent Change in Claims Caseload

Office of Community Living	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)
FY 2007-08				
FY 2008-09	2.80%	4.17%	12.78%	3.76%
FY 2009-10	5.22%	9.18%	-0.79%	6.38%
FY 2010-11	3.35%	8.18%	10.39%	5.50%
FY 2011-12	-0.20%	0.28%	-5.31%	-0.26%
FY 2012-13	1.05%	5.63%	2.74%	2.91%
Estimated FY 2013-14	4.41%	2.50%	78.33%	7.07%
Estimated FY 2014-15	7.06%	3.84%	92.51%	12.42%
Estimated FY 2015-16	0.63%	0.00%	0.32%	0.37%

Exhibit G

Table G.3 - Calculation of FPE

Row	FY 2013-14	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Notes and Formulas
A	FY 2012-13 FPE	4,173.92	3,022.42	349.58	7,545.92	Table G.1, Row F
B	Base Trend Increase	2.98%	1.47%	21.61%	3.24%	See narrative
C	Initial Estimated FY 2013-14 FPE	4,298.42	3,066.75	425.14	7,790.31	Row A * Row B
D	Additional Authorized FPE Under Current Policy	58.33	31.25	159.52	249.10	See narrative
E	Final Estimated FY 2013-14 FPE Under Current Policy	4,356.75	3,098.00	584.66	8,039.41	Row C + Row D
F	Requested FPE from Reallocation of Existing Resources	1.40	0.00	38.75	40.15	Table B.3, Row E
G	Final Estimated FY 2013-14 FPE with Request	4,358.15	3,098.00	623.41	8,079.56	Row E + Row F
Row	FY 2014-15	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Notes and Formulas
H	Initial Estimated FY 2013-14 FPE	4,356.75	3,098.00	584.66	8,039.41	Row E
I	Base Trend Increase	1.44%	0.01%	3.64%	1.05%	See narrative
J	Initial Estimated FY 2014-15 FPE	4,419.33	3,098.25	605.96	8,123.54	Row H * Row I
K	Additional Authorized Enrollments	141.67	118.75	319.04	579.46	See narrative
L	Final Estimated FY 2014-15 FPE Under Current Policy	4,561.00	3,217.00	925.00	8,703.00	Row J + Row K
M	Requested FPE from Reallocation of Existing Resources	104.69	0.00	275.13	379.82	Table B.3, Row I
N	Final Estimated FY 2014-15 FPE with Request	4,665.69	3,217.00	1,200.13	9,082.82	Row L + Row M
Row	FY 2015-16	HCBS - Developmental Disabilities Comprehensive Waiver (HCBS-DD)	HCBS - Developmental Disabilities Supported Living Services Waiver (HCBS-SLS)	HCBS - Developmental Disabilities Children's Extensive Services Waiver (HCBS-CES)	HCBS - Targeted Case Management (TCM)	Notes and Formulas
O	Initial Estimated FY 2014-15 FPE	4,561.00	3,217.00	925.00	8,703.00	Row L
P	Base Trend Increase	0.00%	0.00%	0.00%	0.00%	See narrative
Q	Initial Estimated FY 2015-16 FPE	4,561.00	3,217.00	925.00	8,703.00	Row O * Row P
R	Additional Authorized Enrollments	0.00	0.00	0.00	0.00	See narrative
S	Final Estimated FY 2015-16 FPE Under Current Policy	4,561.00	3,217.00	925.00	8,703.00	Row Q + Row R
T	Requested FPE from Reallocation of Existing Resources	134.00	0.00	279.00	413.00	Table B.3, Row M
U	Final Estimated FY 2015-16 FPE with Request	4,695.00	3,217.00	1,204.00	9,116.00	Row S + Row T