

**Schedule 13**  
**Funding Request for the 2014-15 Budget Cycle**

Department: Health Care Policy and Financing  
 Request Title: Developmental Disabilities New Full Program Equivalents  
 Priority Number: R-8

Dept. Approval by: Josh Block *[Signature]* 11/1/13  
 Date

OSPB Approval by: *[Signature]* 10/29/13  
 Date

- |                                     |                                |
|-------------------------------------|--------------------------------|
| <input checked="" type="checkbox"/> | Decision Item FY 2014-15       |
| <input type="checkbox"/>            | Base Reduction Item FY 2014-15 |
| <input type="checkbox"/>            | Supplemental FY 2013-14        |
| <input type="checkbox"/>            | Budget Amendment FY 2014-15    |

Line Item Information		FY 2013-14		FY 2014-15		FY 2015-16
		1	2	3	4	6
Fund		Appropriation FY 2013-14	Supplemental Request FY 2013-14	Base Request FY 2014-15	Funding Change Request FY 2014-15	Continuation Amount FY 2015-16
<b>Total of All Line Items</b>	<b>Total</b>	5,117,662,302	-	5,733,730,346	2,845,976	5,660,020
	FTE	-	-	-	-	-
	GF	1,187,078,554	-	1,231,366,731	1,422,989	2,830,010
	GFE	469,842,084	-	469,842,084	-	-
	CF	595,915,946	-	714,340,068	-	-
	RF	2,936,892	-	2,000,000	-	-
	FF	2,861,888,826	-	3,317,952,096	1,422,987	2,830,010
<b>(2) Medical Services Premiums</b>	<b>Total</b>	4,736,824,877	-	5,323,832,795	(1,933,750)	(3,802,439)
	FTE	-	-	-	-	-
	GF	1,036,017,966	-	1,035,822,319	(966,875)	(1,901,220)
	GFE	469,842,084	-	469,842,084	-	-
	CF	593,882,063	-	683,541,353	-	-
	RF	2,936,892	-	2,000,000	-	-
	FF	2,634,145,872	-	3,132,627,039	(966,875)	(1,901,219)
<b>(3) Behavioral Health Community Programs; Behavioral Health Capitation Payments</b>	<b>Total</b>	380,837,425	-	-	14,426	29,696
	FTE	-	-	-	-	-
	GF	151,060,588	-	-	7,213	14,848
	GFE	-	-	-	-	-
	CF	2,033,883	-	-	-	-
	RF	-	-	-	-	-
	FF	227,742,954	-	-	7,213	14,848
<b>NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Comprehensive Services for 4,471.2 Medicaid Full Program Equivalents (FPE)</b>	<b>Total</b>	-	-	336,245,067	4,102,000	8,204,000
	FTE	-	-	-	-	-
	GF	-	-	153,608,493	2,051,000	4,102,000
	GFE	-	-	-	-	-
	CF	-	-	30,798,715	-	-
	RF	-	-	-	-	-
	FF	-	-	153,608,492	2,051,000	4,102,000

Line Item Information		FY 2013-14		FY 2014-15		FY 2015-16
		1	2	3	4	6
Fund		Appropriation FY 2013-14	Supplemental Request FY 2013-14	Base Request FY 2014-15	Funding Change Request FY 2014-15	Continuation Amount FY 2015-16
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Supported Living Services for 692 General Fund FPE and 3,417.5 Medicaid FPE	Total	-	-	47,042,236	378,627	757,254
	FTE	-	-	-	-	-
	GF	-	-	27,481,475	189,314	378,627
	GFE	-	-	-	-	-
	CF	-	-	-	-	-
	RF	-	-	-	-	-
	FF	-	-	19,560,761	189,313	378,627
NEW ITEM (7) Office of Community Living; (A) Program Costs, Case Management for 692 General Fund and 8,547.7 Medicaid FPE	Total	-	-	26,610,248	284,673	471,510
	FTE	-	-	-	-	-
	GF	-	-	14,454,444	142,337	235,755
	GFE	-	-	-	-	-
	CF	-	-	-	-	-
	RF	-	-	-	-	-
	FF	-	-	12,155,804	142,336	235,755
<p>Letternote Text Revision Required?      Yes: <input type="checkbox"/>      No: <input checked="" type="checkbox"/>      If yes, describe the Letternote Text Revision:</p> <p>Cash or Federal Fund Name and COFRS Fund Number:      FF: Title XIX</p> <p>Reappropriated Funds Source, by Department and Line Item Name:      N/A</p> <p>Approval by OIT?      Yes: <input type="checkbox"/>      No: <input type="checkbox"/>      Not Required: <input checked="" type="checkbox"/></p> <p>Schedule 13s from Affected Departments: N/A</p> <p>Other Information:      Pursuant to HB 13-1314, the Division for Developmental Disabilities will be transitioned from the Department of Human Services to HCPF as of March 1, 2014. Therefore, the line items impacted by the request will be reflected in the Department of Health Care Policy and Financing.</p>						



# COLORADO

Department of Health Care Policy  
and Financing

Priority: R-8  
Developmental Disabilities New Full Program  
Equivalents  
FY 2014-15 Change Request

## ***Cost and FTE***

- \$2,845,976 total funds, \$1,422,989 General Fund, and 0.0 FTE in FY 2014-15, and for \$5,660,020 total funds, \$2,830,010 General Fund, and 0.0 FTE in FY 2015-16 and beyond.

## ***Link to Operations***

- Home and Community Based Services (HCBS) for Persons with Developmental Disabilities (HCBS-DD) are provided to meet the needs of adults with developmental disabilities who require extensive supports and who do not have the resources available to meet their needs.
- HCBS Supported Living Services (HCBS-SLS) are for adults who can either live independently with limited to moderate supports or who need more extensive support provided by other persons.

## ***Problem or Opportunity***

- Funding is needed for youth who are transitioning from children's services into the adult HCBS Waiver services for continuity of care. Individuals transitioning from institutional settings to the community also require continuation of services through HCBS Waiver services.
- Emergency enrollments are needed when an individual becomes at risk for homelessness or experiences circumstances or crises requiring immediate services. The waiting list may include those requiring emergency enrollments as well as those transitioning out of institutional settings.

## ***Consequences of Problem***

- Without additional Full Program Equivalents (FPE), people with developmental disabilities will transition to other less appropriate, more costly settings or become vulnerable to abuse, neglect or homelessness.
- The waiting lists for HCBS services will grow and demand for services will remain unmet.

## ***Proposed Solution***

- The proposed solution will address the most critical need by providing funds (FPE) for:
- 55 youth transitioning out of foster care from the Child Welfare system into HCBS-DD;
- 61 youth transitioning from the HCBS Children's Extensive Support (HCBS-CES) to HCBS-SLS;
- 40 emergency enrollments through HCBS-DD; and
- 30 individuals transitioning out of institutional settings into HCBS-DD.
- The request includes funding for Targeted Case Management, Quality Assurance, Utilization Review, and Supports Intensity Scale assessments.



# COLORADO

Department of Health Care Policy  
and Financing

FY 2014-15 Funding Request | November 1, 2013

John W. Hickenlooper  
Governor

Susan E. Birch  
Executive Director

**Department Priority: R-8**

**Request Detail: Developmental Disabilities New Full Program Equivalents**

Summary of Incremental Funding Change for FY 2014-15	Total Funds	General Fund
Developmental Disabilities New Full Program Equivalents	\$2,845,976	\$1,422,989

### **Problem or Opportunity:**

Home and Community Based Services (HCBS) for Persons with Developmental Disabilities (HCBS-DD) are provided to meet the needs of adults with developmental disabilities who require extensive supports to live safely in the community and who do not have the resources available to meet their needs. Home and Community Based Services-Supported Living Services (HCBS-SLS) are for adults who can either live independently with limited to moderate supports or who need more extensive support provided by other persons, such as their family.

Funding is needed for youth with intellectual and developmental disabilities transitioning from children's services into the adult Home and Community Based Services waiver program for continuity of care. Individuals transitioning from institutional settings to the community also require continuation of services through HCBS waiver services. Emergency enrollments in the HCBS-DD waiver are needed when an individual becomes at risk for homelessness or experiences circumstances or crises requiring immediate services. The waiting list may include those requiring emergency enrollments as well as those transitioning out of institutional settings.

The mission for the Division for Developmental Disabilities (DDD) is to join with others to offer the necessary supports with which all people with developmental disabilities have their rightful chance to:

- Be included in Colorado community life
- Make increasingly responsible choices
- Exert greater control over their life circumstances
- Establish and maintain relationships and a sense of belonging
- Develop and exercise their competencies and talents
- Experience personal security and self-respect

Without additional Full Program Equivalents (FPE), people with developmental disabilities will transition to other less appropriate, more costly settings or become vulnerable to abuse, neglect or homelessness. The waiting lists for HCBS services will grow and demand for services will remain unmet. An FPE is the cost of services for one individual for one year.

***Proposed Solution:***

The Department requests \$2,845,976 total funds and 0.0 FTE in FY 2014-15, and for \$5,660,020 total funds and 0.0 FTE in FY 2015-16 and beyond for program costs to provide services for 186 people with developmental disabilities to address high demand and access to services.

The proposed solution will address the most critical need by providing funds for FPE for:

- 55 youth transitioning out of foster care from the Child Welfare system into the HCBS-DD waiver;
- 61 youth transitioning from Home and Community Based Services-Children's Extensive Support (HCBS-CES) to HCBS-SLS;
- 40 emergency enrollments through HCBS-DD; and
- 30 individuals transitioning out of institutional settings into HCBS-DD, including the mental health institutes and the Regional Centers, to less restrictive community settings.
- The request includes funding for Targeted Case Management, Quality Assurance, Utilization Review, and Supports Intensity Scale assessments.
- The request also includes cost shifts from Medical Services Premiums and Behavioral Health Community Programs

**New Resources for Adults Receiving HCBS-DD**

HCBS-DD services include group and individualized residential services in a variety of community-based settings, supported employment or other day services, and transportation. These services include access to 24-hour supervision. The day services component offers support, habilitation and training on work habits and work-related skills, so that adults receiving services can acquire and maintain paid employment and attain maximum functioning in the community. Providing services through the HCBS-DD program can avoid the need to access more costly services, such as emergency room care, mental health institutes, nursing facilities and Regional Center Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID). The new enrollments for adults receiving HCBS-DD services include:

***27 Foster Care Transition Enrollments for Youth Turning 21 Years of Age***

The Department currently serves 27 youth in the Child Welfare foster care system who have a developmental disability, will turn 21 years of age in FY 2013-14 and require continuity of residential services through the HCBS-DD waiver program. These youth will no longer qualify for foster care once they turn age 21 and have no appropriate family or other alternative for assistance. The number of enrollments requested is based on the actual number of youth in the Child Welfare foster care system who will turn 21 years of age in FY 2014-15 and who are appropriate for HCBS-DD waiver services.

### ***28 Foster Care Transition Enrollments for Youth Turning 20 Years of Age***

The Department currently serves 28 youth in the Child Welfare foster care system who will turn 20 years of age in FY 2014-15. These youth will no longer qualify for foster care once they turn age 21, however, based on their needs may be more appropriate for transition to services through the HCBS-DD waiver program. The number of enrollments requested is based on the actual number of youth with developmental disabilities in the Child Welfare foster care system who will turn 20 years of age in FY 2014-15 and who are appropriate for HCBS-DD waiver services.

### ***40 Emergency Enrollments***

The Department anticipates that 40 people will face an emergency or crisis in their current living setting and will need residential services immediately through the HCBS-DD waiver. Emergencies are defined by one or more of the following criteria:

- a. Homeless: the person does not have a place to live or is in imminent danger of losing his or her place of abode.
- b. Abusive or neglectful situation: the person is experiencing ongoing physical, sexual or emotional abuse or neglect in the person's present living situation and the person's health, safety or well-being is in serious jeopardy.
- c. Danger to others: the person's behavior or psychiatric condition is such that others in the home are at risk of being hurt by this person. Sufficient supervision cannot be provided by the current caretaker to ensure the safety of the person in the community.
- d. Danger to self: a person's medical, psychiatric or behavioral challenges are such that the person is seriously injuring/harming self or is in imminent danger of doing so.

Availability of community-based residential services provides an alternative to more costly and inappropriate services, such as hospitalization or institutional care. There were 74 emergency enrollments into HCBS-DD waiver over a 12-month period from April 1, 2012 through March 31, 2013. The request is for 40 additional FPE to address this need on an ongoing basis.

### ***30 HCBS-DD Enrollments for De-institutionalization of People with Developmental Disabilities***

The Department of Human Services provides institutional care to people with intellectual and developmental disabilities through the Regional Centers' ICF/IID and through the mental health institutes for individuals who pose such a risk to themselves or others that they require the controlled and secure environment of the mental health institutes. The request will provide enrollments for individuals in the Regional Center ICF/IID that can be served in less restrictive community settings, and for individuals with co-occurring disorders of developmental disabilities and mental illness residing in the Colorado Mental Health Institutes at Ft. Logan and Pueblo (FTLMHI, CMHIP) whose treatment is completed and who no longer represent a high risk. These individuals no longer need institutional level mental health services and are ready for transition to a community setting through the Home and Community Based Services (HCBS) Waivers. This request is for financial resources to support these transitions. By transitioning individuals to the HCBS-DD waiver, the vacated enrollments at the Mental Health Institutes will then become available for individuals waiting for mental health services. Continued placement of these individuals in the Mental

Health Institutes or Regional Centers is contrary to the philosophy of community inclusion and least restrictive environment.

**New Resources for Adults Receiving HCBS-SLS:**

HCBS-SLS offers a variety of individualized and flexible supports to enable individuals to live on their own or in the family home and avoid or delay more costly HCBS-DD services. The new enrollments for adults receiving HCBS-SLS services include:

***61 HCBS-CES Enrollments Transitioning to HCBS-SLS Enrollments***

The Department has identified 61 children who will turn 18 years of age, the maximum age for the HCBS-CES program, in FY 2013-14. These 61 youth will require continuity of care through the HCBS-SLS waiver program for adults. By targeting service to young adults transitioning from the HCBS-CES program, the Department ensures that families with the highest level of need and children with the highest level of demand are served.

The request moves some individuals off the adult waiting lists for services. As of June 30, 2013, the waiting list reflects 2,011 individuals for HCBS-DD and 285 for HCBS-SLS (individuals on both the HCBS-DD and HCBS-SLS waiting list are counted only in HCBS-DD).

This request impacts the Department of Health Care Policy and Financing (HCPF). As a result of HB 13-1314, the Division for Developmental Disabilities will be transitioned from the Department of Human Services to HCPF as of March 1, 2014. Therefore, HCBS programs for persons with developmental disabilities included in this request will be managed and supervised by HCPF. A statutory change is not required for this request.

***Anticipated Outcomes:***

The Developmental Disabilities system provides long term support services in the community to children and adults with developmental disabilities who would otherwise receive services in more restrictive and expensive institutional settings. Individuals will be included in Colorado community life in fulfillment of the mission of the DDD. An additional 186 people will receive appropriate community services and supports by June 30, 2015, thereby improving their physical, mental, and social functioning as well as their general well-being and quality of life.

***Assumptions and Calculations:***

See Appendix A, FY 2014-15 and FY 2015-16 Assumptions and Calculations, for further details the assumptions and calculations for this request.

In order to forecast Medical Services Premiums (state plan) and mental health service (Behavioral Health Community Programs) cost shift estimates, the Department assumes that the current wait list demographic is statistically identical to the pool of individuals who will transition into the respective waiver. The forecast includes factors such as number of individuals on the wait list currently receiving state plan and/or mental health services, the number receiving service through an alternate waiver, and anticipated state plan

and mental health service utilization costs for individuals before and after transitioning to the waiver. Forecast calculations and footnotes are shown on Tables 2A.1 and 2A.2.

<b>Table 1.1 Calculation of Fund Splits - FY 2014-15</b>					
<b>Item</b>	<b>Total Request</b>	<b>General Fund and General Fund Exempt</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
(2) Medical Services Premiums	(\$1,933,750)	(\$966,875)	\$0	\$0	(\$966,875)
(3) Behavioral Health Community Programs; Behavioral Health Capitation Payments	\$14,426	\$7,213	\$0	\$0	\$7,213
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Comprehensive Services for 4,471.2 Medicaid Full Program Equivalents (FPE)	\$4,102,000	\$2,051,000	\$0	\$0	\$2,051,000
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Supported Living Services for 692 General Fund FPE and 3,417.5 Medicaid FPE	\$378,627	\$189,314	\$0	\$0	\$189,313
NEW ITEM (7) Office of Community Living; (A) Program Costs, Case Management for 692 General Fund and 8,547.7 Medicaid FPE	\$284,673	\$142,337	\$0	\$0	\$142,336
<b>Total Projected FY 2014-15 Expenditures</b>	<b>\$2,845,976</b>	<b>\$1,422,989</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,422,987</b>

<b>Table 1.2 Calculation of Fund Splits - FY 2015-16</b>					
<b>Item</b>	<b>Total Request</b>	<b>General Fund and General Fund Exempt</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
(2) Medical Services Premiums	(\$3,802,439)	(\$1,901,220)	\$0	\$0	(\$1,901,219)
(3) Behavioral Health Community Programs; Behavioral Health Capitation Payments	\$29,696	\$14,848	\$0	\$0	\$14,848
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Comprehensive Services for 4,471.2 Medicaid Full Program Equivalents (FPE)	\$8,204,000	\$4,102,000	\$0	\$0	\$4,102,000
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Supported Living Services for 692 General Fund FPE and 3,417.5 Medicaid FPE	\$757,254	\$378,627	\$0	\$0	\$378,627
NEW ITEM (7) Office of Community Living; (A) Program Costs, Case Management for 692 General Fund and 8,547.7 Medicaid FPE	\$471,510	\$235,755	\$0	\$0	\$235,755
<b>Total Projected FY 2015-16 Expenditures</b>	<b>\$5,660,020</b>	<b>\$2,830,010</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,830,010</b>

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

<b>Table 2A.1 FY 2014-15 Impact to Medical Services Premiums and Behavioral Health Community Programs Due to New DD Waiver Resources</b>					
	<b>Current Medicaid Recipients</b>		<b>Current Non-Medicaid Recipients</b>	<b>Total FY 2014-15</b>	<b>Formula/Assumptions</b>
	<b>Waiver<sup>1</sup></b>	<b>No Waiver<sup>6</sup></b>			
	1	2	3	4	
A Client Count	26	84	15	125	
B Average Months Enrolled	6	6	6	6	
C Estimated Full Participant Equivalents <sup>1</sup>	13	42	7.5	62.5	Row A * Row B/12
D Current State Plan Costs <sup>2</sup>	\$14,128	\$13,447	\$0		MMIS Claims Data
E Future State Plan costs per person <sup>3</sup>	\$8,447	\$8,447	\$8,447		
F Net difference per person	(\$5,682)	(\$5,001)	\$8,447		Row D * Row E
G New Cost for ICF/IID Transfers <sup>4,5</sup>	\$0	\$126,701	\$0		
H Total Increase (Decrease) <sup>6</sup>	(\$73,861)	(\$8,319)	\$63,350	(\$18,830)	(Row F * Row C) + Row G
I Current Waiver costs per person (excludes CHRP) <sup>2,7</sup>	\$12,000	\$0	\$0		MMIS Claims Data
J Future State Plan costs per person <sup>3</sup>	\$8,447	\$8,447	\$8,447		
K Net difference per person	(\$3,553)	\$8,447	\$8,447		Row I - Row J
L Total Increase (Decrease) <sup>1</sup>	(\$3,553)	\$0	\$0	(\$3,553)	Row K * (Row C minus CHRP <sup>7</sup> Transitions)
M Current Waiver costs per person (CHRP only) <sup>2,7</sup>	\$44,679	\$0	\$0		MMIS Claims Data
N Future State Plan costs per person <sup>3</sup>	\$8,447	\$8,447	\$8,447		
O Net difference per person	(\$36,232)	\$8,447	\$8,447		Row M - Row N
P Total Increase (Decrease)	(\$434,787)	\$0	\$0	(\$434,787)	Row O * CHRP <sup>7</sup> Transitions
<b>Q Total Medical Services Premiums Impact</b>	<b>(\$512,201)</b>	<b>(\$8,319)</b>	<b>\$63,350</b>	<b>(\$457,169)</b>	Row H + Row L + Row P
R Current Mental Health costs per person	\$1,923	\$1,923	\$0		Trended from FY 2013-14 S-2
S Future Mental Health costs per person	\$1,923	\$1,923	\$1,923		Trended from FY 2013-14 S-2
T Net difference per person	\$0	\$0	\$1,923		Row R - Row S
<b>U Total Mental Health Increase (Decrease)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$14,426</b>	<b>\$14,426</b>	Row T * Row C
<b>V Total</b>	<b>(\$512,201)</b>	<b>(\$8,319)</b>	<b>\$77,777</b>	<b>(\$442,743)</b>	Row Q + Row U

1) Of estimated FPE current Medicaid waiver recipients in Row C, 12 are CHRP and 1 is other.

2) Current costs taken from actual MMIS Claims Data history for new Medicaid recipients between FY 2010-11 through FY 2012-13

3) CMS 372 less Targeted Case Management and Mental Health

4) *Definition*: ICF/IID - Intermediate Care Facility Institute for Intellectually Disabled

5) Transfers from ICF/IIDs represent only new State Fund costs because ICF/IID vacancies are expected to be refilled. 15 ICF/IID Transfers are expected

6) Cell C1 requires a unique calculation: ((11.5 FPE Emergency Enrollments + 15.5 Youth Transitions) \* -\$5,001) + \$126,701

7) CHRP (Children's Habilitation Residential Program) Waiver State Plan expenditures are separated because of the significant difference in the costs of serving those individuals compared to individuals in other waivers

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

<b>Table 2A.2</b>						
<b>FY 2015-16</b>						
<b>Impact to Medical Services Premiums and Behavioral Health Community Programs Due to New DD Waiver Resources</b>						
	Current Medicaid Recipients		Current Non-Medicaid Recipients	Total FY 2015-16	Formula/Assumptions	
	Waiver <sup>1</sup>	No Waiver <sup>6</sup>				
	1	2	3	4		
A	Client Count	26	84	15	125	
B	Average Months Enrolled	12	12	12	12	
C	Estimated Full Participant Equivalents <sup>1</sup>	26	84	15	125	Row A * (Row B/12)
D	Current State Plan Costs <sup>2</sup>	\$14,128	\$13,447	\$0		MMIS Claims Data
E	Future State Plan costs per person <sup>3</sup>	\$8,878	\$8,878	\$8,878		
F	Net difference per person	(\$5,251)	(\$4,570)	\$8,878		Row D * Row E
G	New Cost for ICF/IID Transfers <sup>4,5</sup>	\$0	\$266,328	\$0		
H	Total Increase (Decrease) <sup>6</sup>	(\$136,519)	\$19,554	\$133,164	\$16,199	(Row F * Row C) + Row G
I	Current Waiver costs per person (excludes CHRP) <sup>2,7</sup>	\$12,000	\$0	\$0		MMIS Claims Data
J	Future State Plan costs per person <sup>3</sup>	\$8,878	\$8,878	\$8,878		
K	Net difference per person	(\$3,122)	\$8,878	\$8,878		Row I - Row J
L	Total Increase (Decrease) <sup>1</sup>	(\$6,245)	\$0	\$0	(\$6,245)	Row K * (Row C minus CHRP <sup>7</sup> Transitions)
M	Current Waiver costs per person (CHRP only) <sup>2,7</sup>	\$44,679	\$0	\$0		MMIS Claims Data
N	Future State Plan costs per person <sup>3</sup>	\$8,878	\$8,878	\$8,878		
O	Net difference per person	(\$35,801)	\$8,878	\$8,878		Row M - Row N
P	Total Increase (Decrease)	(\$859,233)	\$0	\$0	(\$859,233)	Row O * CHRP <sup>7</sup> Transitions
Q	<b>Total Medical Services Premiums Impact</b>	<b>(\$1,001,997)</b>	<b>\$19,554</b>	<b>\$133,164</b>	<b>(\$849,278)</b>	Row H + Row L + Row P
R	Current Mental Health costs per person	\$1,980	\$1,980	\$0		Trended from FY 2013-14 S-2
S	Future Mental Health costs per person	\$1,980	\$1,980	\$1,980		Trended from FY 2013-14 S-2
T	Net difference per person	\$0	\$0	\$1,980		Row R - Row S
U	<b>Total Mental Health Increase (Decrease)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$29,696</b>	<b>\$29,696</b>	Row T * Row C
V	<b>Total</b>	<b>(\$1,001,997)</b>	<b>\$19,554</b>	<b>\$162,859</b>	<b>(\$819,583)</b>	Row Q + Row U

1) Of estimated FPE current Medicaid waiver recipients in Row C, 12 are CHRP and 1 is other.

2) Current costs taken from actual MMIS Claims Data history for new Medicaid recipients between FY 2010-11 through FY 2012-13

3) CMS 372 less Targeted Case Management and Mental Health

4) Definition : ICF/IID - Intermediate Care Facility Institute for Intellectually Disabled

5) Transfers from ICF/IIDs represent only new State Fund costs because ICF/IID vacancies are expected to be refilled. 30 ICF/IID Transfers are expected

6) Cell C1 requires a unique calculation: ((23 FPE Emergency Enrollments + 31 Youth Transitions) \* -\$4,570) + \$266,328

7) CHRP (Children's Habilitation Residential Program) Waiver State Plan expenditures are separated because of the significant difference in the costs of serving those individuals compared to individuals in other waivers

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

<b>Table 2B.1 FY 2014-15 Impact to Medical Services Premiums and Behavioral Health Community Programs Due to New SLS Waiver Resources</b>						
		Current Medicaid Recipients		Current Non-Medicaid Recipients	Total FY 2014-15	Formula/Assumptions
		Waiver <sup>1</sup>	No Waiver			
A	Client Count	61	0	0	61	
B	Average Months Enrolled	6	6	6	6	
C	Estimated Full Participant Equivalents <sup>1</sup>	30.5	0	0	30.5	Row A * Row (B/12)
D	Current State Plan Costs <sup>2</sup>	\$57,290	\$0	\$0		MMIS Claims Data
E	Future State Plan costs per person <sup>3</sup>	\$8,878	\$8,878	\$8,878		
F	Net difference per person	(\$48,412)	\$8,878	\$8,878		Row D - Row E
G	Total Increase (Decrease)	(\$1,476,580)	\$0	\$0	(\$1,476,580)	Row F * Row C
H	<b>Total Medical Services Premiums Impact</b>	<b>(\$1,476,580)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$1,476,580)</b>	Row G
I	Current Mental Health costs per person	\$1,980	\$1,980	\$0		Trended from FY 2013-14 S-2
J	Future Mental Health costs per person	\$1,980	\$1,980	\$1,980		Trended from FY 2013-14 S-2
K	Net difference per person	\$0	\$0	\$1,980		Row I - Row J
L	<b>Total Mental Health Increase (Decrease)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	Row K * Row C
M	<b>Total</b>	<b>(\$1,476,580)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$1,476,580)</b>	Row H + Row L

1) Of Estimated FPE Current Medicaid Waiver Recipients in Row C, all are currently enrolled in the CES waiver

2) Current costs taken from actual MMIS Claims Data history for new Medicaid recipients between FY 2010-11 through FY 2012-13

3) CMS 372 less Targeted Case Management and Mental Health

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

<b>Table 2B.2 FY 2015-16 Impact to Medical Services Premiums and Behavioral Health Community Programs Due to New SLS Waiver Resources</b>					
	Current Medicaid Recipients		Current Non-Medicaid Recipients	Total FY 2015-16	Formula/Assumptions
	Waiver <sup>1</sup>	No Waiver			
A	Client Count	61	0	0	61
B	Average Months Enrolled	12	12	12	12
C	Estimated Full Participant Equivalents <sup>1</sup>	61	0	0	61
					Row A * (Row B/12)
D	Current State Plan Costs <sup>2</sup>	\$57,290	\$0	\$0	MMIS Claims Data
E	Future State Plan costs per person <sup>3</sup>	\$8,878	\$8,878	\$8,878	
F	Net difference per person	(\$48,412)	\$8,878	\$8,878	Row D - Row E
G	Total Increase (Decrease)	(\$2,953,161)	\$0	\$0	(\$2,953,161)
					Row F * Row C
H	<b>Total Medical Services Premiums Impact</b>	<b>(\$2,953,161)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,953,161)</b>
					Row G
I	Current Mental Health costs per person	\$1,980	\$1,980	\$0	Trended from FY 2013-14 S-2
J	Future Mental Health costs per person	\$1,980	\$1,980	\$1,980	Trended from FY 2013-14 S-2
K	Net difference per person	\$0	\$0	\$1,980	Row I - Row J
L	<b>Total Mental Health Increase (Decrease)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
					Row K * Row C
M	<b>Total</b>	<b>(\$2,953,161)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,953,161)</b>
					Row H + Row L

1) Of Estimated FPE Current Medicaid Waiver Recipients in Row C, all are currently enrolled in the CES waiver

2) Current costs taken from actual MMIS Claims Data history for new Medicaid recipients between FY 2010-11 through FY 2012-13

3) CMS 372 less Targeted Case Management and Mental Health

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Appendix A: Calculations and Assumptions

<b>Table 3A</b>					
<b>FY 2014-15</b>					
<b>New Funding - Developmental Disabilities Services</b>					
<b>Long Bill Line Item</b>	<b>Total Request</b>	<b>General Fund and General Fund Exempt</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Comprehensive Services for 4,471.2 Medicaid Full Program Equivalents (FPE)	\$4,102,000	\$2,051,000	\$0	\$0	\$2,051,000
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Supported Living Services for 692 General Fund FPE and 3,417.5 Medicaid FPE	\$378,627	\$189,314	\$0	\$0	\$189,313
NEW ITEM (7) Office of Community Living; (A) Program Costs, Case Management for 692 General Fund and 8,547.7 Medicaid FPE	\$284,673	\$142,337	\$0	\$0	\$142,336
<b>Total Request</b>	<b>\$4,765,300</b>	<b>\$2,382,651</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,382,649</b>

<b>Table 3B</b>					
<b>FY 2015-16</b>					
<b>New Funding - Developmental Disabilities Services</b>					
<b>Long Bill Line Item</b>	<b>Total Request</b>	<b>General Fund and General Fund Exempt</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Comprehensive Services for 4,471.2 Medicaid Full Program Equivalents (FPE)	\$8,204,000	\$4,102,000	\$0	\$0	\$4,102,000
NEW ITEM (7) Office of Community Living; (A) Program Costs, Adult Supported Living Services for 692 General Fund FPE and 3,417.5 Medicaid FPE	\$757,254	\$378,627	\$0	\$0	\$378,627
NEW ITEM (7) Office of Community Living; (A) Program Costs, Case Management for 692 General Fund and 8,547.7 Medicaid FPE	\$471,510	\$235,755	\$0	\$0	\$235,755
<b>Total Request</b>	<b>\$9,432,764</b>	<b>\$4,716,382</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,716,382</b>

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

**Appendix A: FY 2014-15 and FY 2015-16 Assumptions and Calculations**

<b>Table 4A FY 2014-15 Funding Calculations</b>							
	Number of Enrollments (a)	Months Enrolled (b)	Average Annual FPE Cost* (c)	Total Annual Cost All New Enrollments (d)	Total Funds (e)	General Fund (f)	Federal Funds (g)
1) HCBS-DD transition from Foster Care at age 21	27	6	\$65,632	\$886,032	\$886,032	\$443,016	\$443,016
2) HCBS-DD transition from Foster Care at age 20	28	6	\$65,632	\$918,848	\$918,848	\$459,424	\$459,424
3) HCBS-CES to HCBS-SLS	61	6	\$12,414	\$378,627	\$378,627	\$189,314	\$189,313
4) Emergency HCBS-DD	40	6	\$65,632	\$1,312,640	\$1,312,640	\$656,320	\$656,320
5) HCBS-DD for De-institutionalization	30	6	\$65,632	\$984,480	\$984,480	\$492,240	\$492,240
6) Targeted Case Management	186	6	\$2,157	\$200,601	\$200,601	\$100,301	\$100,300
7) Quality Assurance	186	6	\$300	\$27,900	\$27,900	\$13,950	\$13,950
<i>Calculation (rounded)</i>				$(a)*(b)*(c)/12$		$(e) * 50%$	$(f)$
8) Utilization Review	186	Annually	\$78	\$14,508	\$14,508	\$7,254	\$7,254
9) Supports Intensity Scale Assessment	186	One Time	\$224	\$41,664	\$41,664	\$20,832	\$20,832
<i>Calculation (rounded)</i>				$(a)*(b)*(c)/12$		$(e) * 50%$	$(e-f)$
<b>Total (7)(A)Program Costs</b>				<b>\$4,765,300</b>	<b>\$4,765,300</b>	<b>\$2,382,651</b>	<b>\$2,382,649</b>

\* The Total Annual Cost Per Full Program Equivalent (FPE) is based on the cost of services provided in FY 2012-13 as of 8-9-2013, plus the 4%

R-8 Developmental Disabilities New Full Program Equivalents  
Appendix A: Calculations and Assumptions

<b>Table 4B FY 2015-16 Funding Calculation</b>							
	Number of Enrollments (a)	Months Enrolled (b)	Average Annual FPE Cost* (c)	Total Annual Cost All New Enrollments (d)	Total Funds (e)	General Fund (f)	Federal Funds (g)
1) Foster Care to HCBS-DD at age 21	27	12	\$65,632	\$1,772,064	\$1,772,064	\$886,032	\$886,032
2) Foster Care to HCBS-DD age 20	28	12	\$65,632	\$1,837,696	\$1,837,696	\$918,848	\$918,848
3) HCBS-CES to HCBS-SLS	61	12	\$12,414	\$757,254	\$757,254	\$378,627	\$378,627
4) Emergency HCBS-DD	40	12	\$65,632	\$2,625,280	\$2,625,280	\$1,312,640	\$1,312,640
5) HCBS-DD for De-institutionalization	30	12	\$65,632	\$1,968,960	\$1,968,960	\$984,480	\$984,480
6) Targeted Case Management	186	12	\$2,157	\$401,202	\$401,202	\$200,601	\$200,601
7) Quality Assurance	186	12	\$300	\$55,800	\$55,800	\$27,900	\$27,900
8) Utilization Review	186	Annually	\$78	\$14,508	\$14,508	\$7,254	\$7,254
<b>Total (7)(A)Program Costs</b>				<b>\$9,432,764</b>	<b>\$9,432,764</b>	<b>\$4,716,382</b>	<b>\$4,716,382</b>
<i>Calculation (rounded)</i>				<i>(a) * (c)</i>		<i>(e) * 50%</i>	<i>(e-f)</i>

\* The Total Annual Cost Per Full Program Equivalent (FPE) is based on the cost of services provided in FY 2012-13 as of 8-9-2013, plus the 4% provider rate increase approved for FY 2013-14.

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**Assumptions for the Amount Requested:**

*Foster Care Transition to HCBS-DD at age 21:* The number of youth who are expected to transition out of foster care from the Child Welfare system to HCBS-DD residential services is based on the number of youth expected to turn age 21 in FY 2014-15. The months of service needed is actual number of months the youth will be in residential services counting the month in which each youth turns 21 plus the remaining months in the fiscal year. HCBS-DD FPE amount is based on FY 2012-13 FPE (data ran 8/9/2013) which is \$63,108.38 rounded to \$63,108, plus 4% provider rate increase approved for FY 2013-14 rounded to \$2,524 = \$65,632.

*Foster Care Transition to HCBS-DD at age 20:* The number of youth who may transition out of foster care from the Child Welfare system to HCBS-DD residential services is based on the number of youth expected to turn age 20 in FY 2014-15. The months of service needed is actual number of months the youth will be in residential services counting the month in which each youth turns 20 plus the remaining months in the fiscal year. HCBS-DD FPE amount is based on FY 2012-13 FPE (data ran 8/9/2013) which is \$63,108.38 rounded to \$63,108, plus 4% provider rate increase approved for FY 2013-14 rounded to \$2,524 = \$65,632.

*HCBS-DD Emergency Enrollments:* The calculation for the 40 emergency enrollments needed is based on the number of individuals who newly met the emergency criteria between April 1, 2011 to March 31, 2012. The number of individuals that met the emergency criteria from April 1, 2012 to March 31, 2013 was actually 74. However, it is presumed that this number is higher than recent year trends due to the division holding enrollment in FY 12. It is assumed that the same number of individuals can be expected to newly meet the emergency criteria for the HCBS-DD waiver program during the 12 month period for FY 2014-15. HCBS-DD FPE amount is based on FY 2012-13 FPE (data ran 8/9/2013) which is \$63,108.38 rounded to \$63,108, plus 4% provider rate increase approved for FY 2013-14 rounded to \$2,524 = \$65,632.

*Children's Extensive Support Transition to Supported Living Services:* The number of youth who are expected to transition out of the HCBS-CES program to HCBS-SLS waiver program is based on the actual number of youth in HCBS-CES waiver program who will turn age 18 in FY 2014-15 and youth currently on the HCBS-CES wait list that will likely enroll in the HCBS-CES waiver before they turn age 18. The months of service needed is actual number of months the youth will receive services counting the month in which each youth turns 18 plus the remaining months in the fiscal year. HCBS-SLS FPE amount is based on FY 2012-13 FPE (data ran 8/9/2013) which is \$11,937.18 rounded to \$11,937, plus 4% provider rate increase approved for FY 2013-14 rounded to \$477 = \$12,414.

*TCM Waiver Programs:* The total number of new resources requested for Foster Care Transition, CES Transitions, Emergency enrollments for HCBS-DD, and HCBS-CES wait list reduction will require case management services. Therefore, the number of new resources 186 is taken times the average TCM cost per person for waiver services in FY 2012-13 of \$2,073.82 plus the 4% provider rate increase \$82.95 approved for FY 2013-14 = \$2,156.77 rounded to \$2,157, pro-rated by the number of months expected to be used which rounds to 6 months.