

FINANCIAL SOLUTIONS UNIT WATER QUALITY CONTROL DIVISION



**Funding For Water
and
Sewer Utility Needs**

Financial Assistance Programs

- **Domestic Wastewater and Drinking Water Grant Programs** (available for governmental entities and private not-for-profit listed on the 2009 Intended Use Plan)
- **Water Pollution Control and Drinking Water Revolving Fund Programs**
 - (available for governmental entities listed on the 2009 Intended Use Plan)

State Revolving Loan Funds

- **Water Pollution Control Revolving Fund**
- **Drinking Water Revolving Fund**
- **Jointly administered by the:**
 - **Colorado Department of Public Health & Environment, Water Quality Control Division**
 - **Colorado Water Resources and Power Development Authority**
 - **Department of Local Affairs, Division of Local Government**

Drinking Water Revolving Fund

- A low-interest loan program to fund drinking water related projects that will bring water systems into compliance or keep them in compliance with the Federal Safe Drinking Water Act.
- The fund can cover up to 100% of the eligible project costs with terms up to 20 years.
- “Disadvantaged Community Program” for small communities (population < 5000) Tier Interest Rate, Terms up to 30 years
- Eligible Projects
 - Treatment facilities
 - Distribution/transmission lines
 - Treated water storage
 - Source water protection
 - Supply (*note: dams, reservoirs, water rights, fire protection and growth related projects are ineligible*)

Funding Process for the Drinking Water Revolving Fund

- **Must be a Governmental Entity and Identified on IUP**
- **Survey**
- **Eligibility list**
- **Completion of an eligibility assessment**
- **Preliminary Engineering Report:**
 - **Demonstrates the need for the project,**
 - **Evaluates alternatives, and**
 - **Provides cost estimates**
- **Public participation**
- **Environmental Assessment/Categorical Exclusion**

Funding Process for the Drinking Water Revolving Fund Cont.

- **Technical, Managerial and Financial review and approval**
- **Loan approval**
- **Plans and specifications approval**
- **Federal requirements**
 - **Civil rights compliance**
 - **Certification regarding “Debarred and Suspended” contractors**
 - **MBE/ WBE, etc**
- **Final inspection**

Pre-loan Assistance Program

- **Pre-loan assistance (up to \$10,000) to help address requirements of the DWRF and WPCRF.**
- **Can pay for Preliminary Engineering Reports, environmental review process, capacity assessments and engineering design work.**
- **System must agree to pursue project financing through the SRF Programs.**

Pre-loan Assistance Program Funding Criteria

- **On Eligibility List**
- **< 10,000 population**
- **MHI lower than State average (\$47,203)**
or
- **Current or projected user charges greater than State average:**
 - **\$37.20 per mo. (water)**
 - **\$17.82 per mo. (sewer)**

SSTTA

(Small Systems Training and Technical Assistance)

Grant Programs

- ❑ An application deadline of Wednesday, July 1, 2009 has been established.
- ❑ Will be issued based on the priority point ranking as identified in the 2009 Intended Use Plan to those systems whom have been identified on the Intended Use Plan
- ❑ The program currently will award approximately \$680,000 in grants from the SSTTA 2% set-aside from the American Recovery and Reinvestment Act funding for the 2009 FFY.
- ❑ Grants up to \$25,000 may be awarded for project planning/design activities as identified in the scope of the application, grant agreement, and subsequent purchase order terms and conditions.
- ❑ Grants up to \$50,000 may be awarded for pilot studies related to the reduction of radionuclides as identified in the scope of the application, grant agreement, and subsequent purchase order terms and conditions.

SSTTA

Grant Programs

- Population is under 10,000, and
- Is listed in the 2009 Intended Use Plan, and
- The 2000 median household income (MHI) is less than state average (the 2000 MHI for the state is \$47,203) or
- Current or Post project water monthly rates are equal to or greater than the state average (current year, State monthly average for water - \$37.20), and
- Non-governmental entities must be in “Good Standing” with the Secretary of State, and
- Non-governmental entities must show proof of at least \$1,000,000 liability insurance with CDPHE named as co-insured. In addition, appropriate workers compensation and automobile insurance may be applicable.

**AMERICAN RECOVERY AND REINVESTMENT ACT
SMALL SYSTEM TRAINING AND
TECHNICAL ASSISTANCE GRANT APPLICATION
Deadline Wednesday July 1, 2009, 5:00 p.m.**

I. GENERAL INFORMATION

A. Applicant:

<hr/>		
Name		
<hr/>		
Address	Phone	Fax
<hr/>	<hr/>	<hr/>
Federal Tax ID#	Pop Served (# must not exceed 10,000)	PWSID#

B. Designated Contact Person for the Applicant:

<hr/>	
Name	
<hr/>	
Address	Phone
<hr/>	<hr/>

C. Applicant's Consulting Engineer:

<hr/>	
Name	
<hr/>	
Address	
<hr/>	
Contact Name	Phone
<hr/>	<hr/>

D. Describe need/reason for requesting grant:

Estimated Total Project Cost: \$ _____

Amount of Grant Funds Being Requested: \$ _____ (not to exceed \$25,000, unless the project includes a pilot project that addresses removal of radionuclides, in which case the amount is not to exceed \$50,000)

Amount of engineering cost pertaining to Legal Fees, Rate Study, PER, EA, Design, TMF, and Public Education, Engineering and Pilot Project etc. for which the grant is being requested: \$ _____

E. Scope of Work to be completed by the Contractor shall include documents necessary to obtain approval from WQCD for:

Preliminary Engineering Report Environmental Assessment

E. Scope of Work to be completed by the Contractor shall include documents necessary to obtain approval from WQCD for:

Preliminary Engineering Report

Environmental Assessment

Engineering Design Documents

Technical, Managerial, Financial Capacity

Legal Fees

Rate Study

Public Education

Pilot Project for Removal of Radionuclides (\$50,000 grants only)

knowledge. I understand that the State may review any documents or instruments relating to the analysis of this application.

Authorized Representative's Printed Name: _____

Authorized Representative's Signature: _____

Title: _____ Date: _____

Signature of Authorized Representative must be President or Vice-President of for-profit entity, Executive Director of Board for non-profit entity with proof of signature authority (attached example).

REVENUES

A. Number of water customers for the current year: _____(taps)
or _____(equivalent residential units)

B. Current Water Rate Structure:

Minimum bill: \$ _____ per _____(i.e. monthly or quarterly)

Fees for additional usage:

Does the current user rate provide sufficient revenue for the proposed project's debt service and operation and maintenance?

Include Required Attachments:

- **Small System Training and Technical Assistance Grant Application (Original and 1 copy)**
- **DWRF American Recovery and Reinvestment Act Addendum**
- **W-9 (Attachment 1)**
- **Vendor Disclosure Statement (Attachment 2)**
- **Proof of Signature Authority (Attachment 3)**
- **Proof of \$1,000,000 liability insurance with CDPHE named as co-insured (requirements attached)**
- **Proof of “Good Standing” with the Secretary of State’s Office (requirements <http://www.sos.state.co.us/biz/BusinessEntityCriteria.do>)**

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Please Return Application and Required Attachments to:

Colorado Department of Health Public Health and Environment,
Water Quality Control Division
Financial Solutions Unit
Attn: Louanna Cruz
4300 Cherry Creek Drive South
Denver, Colorado 80246
(303) 692-3604

**DRINKING WATER
 COLORADO STATE REVOLVING FUND PROGRAM
 AMERICAN RECOVERY AND REINVESTMENT ACT
 APPLICATION FOR FINANCIAL ASSISTANCE ADDENDUM**

Please complete the entire form including the explanation for the data in Tables 1-3 and comments in Table 4. Failure to complete the entire form may result in the Department assigning priority points based on available application and compliance data. Must be submitted with the Grant Application no later than 5:00 p.m. on Wednesday July 1st, 2009

Water System Name / Applicant:
 Person Completing Form / Relation to PWS:
 Contact Phone Number:

Unless otherwise noted, please ensure that the supplied data is from 2008. If the data is not from 2008 actual values, please describe the source of the data. _____

TABLE 1 – Service Taps

	Type	# Active Taps	% Metered	Total Annual Revenue (\$)	Explain how the data was compiled (billing data, planning numbers, etc.)
1a	Residential (3/4" service line and smaller)*				
1b	Commercial / Industrial** (including multifamily structures greater than 3/4")				
1c	Other non-billed taps (parks, etc.)				
1d	TOTAL Service Taps				

* Multifamily with individual meters for each single family unit can be included under residential taps.
 ** Multifamily residences, with master meters (e.g. apartment complexes) should be accounted for in the commercial tap total.

TABLE 2 – Annual Consumption

	Type	Total Annual Consumption (Gallons Per Year)	Explain how the data was compiled (billing data [preferred], estimation based on total water production, etc.)
2a	Residential*		
2b	Commercial / Industrial**		
2c	Other non-billed taps (parks, etc.)		
2d	TOTAL Annual Consumption		

TABLE 3 – Water Treatment Plant Production

	Type	Amount	Unit	Explain how the data was compiled (water treatment plant flowmeter, etc.)
3a	Total Water Produced 2008			
3b	Estimated Unaccounted / Line Loss Water			
3c	CALCULATE Unaccounted / Line Lost Water Volume = Table 3a - Table 2d			
3d	CALCULATE Percentage Unaccounted/Water Loss = (Table 3c / Table 3a) x 100			

TABLE 4 – Equivalent Residential Taps (ERT)

	Type	Amount	Unit	Comments
4a	CALCULATE Average Residential Use = Table 2a / Table 1a # of taps			
4b	CALCULATE ERT for Commercial and Industrial Taps = Table 2b / Table 4a			
4c	ERT for Residential Taps = Number of Residential Taps Table 1a # of taps			
4d	Total ERT = Table 4b + Table 4c			

TABLE 5 – Population served by the project

	Type	Amount	Explain how the data was compiled (billing data [preferred], estimation based on # residential units, Year 2000 Census data, etc):
a)	Population		

The loan applicant shall adequately define and support the service area and population chosen for these calculations. The Division finds the service area and population to include the area that will be directly and monetarily impacted by the proposed project.

We certify that the data provided as part of the Application for Financial Assistance Addendum is accurate and that the calculated values, including the population and the estimated number of single family equivalent units that are representative of the service area served by and monetarily impacted by the proposed project.

Signature _____
(System Representative)

Printed Name _____

If you have questions regarding this form please contact your Preliminary Engineering Report Review Engineer listed on your ARRA Preliminary Engineering Report correspondence letters.

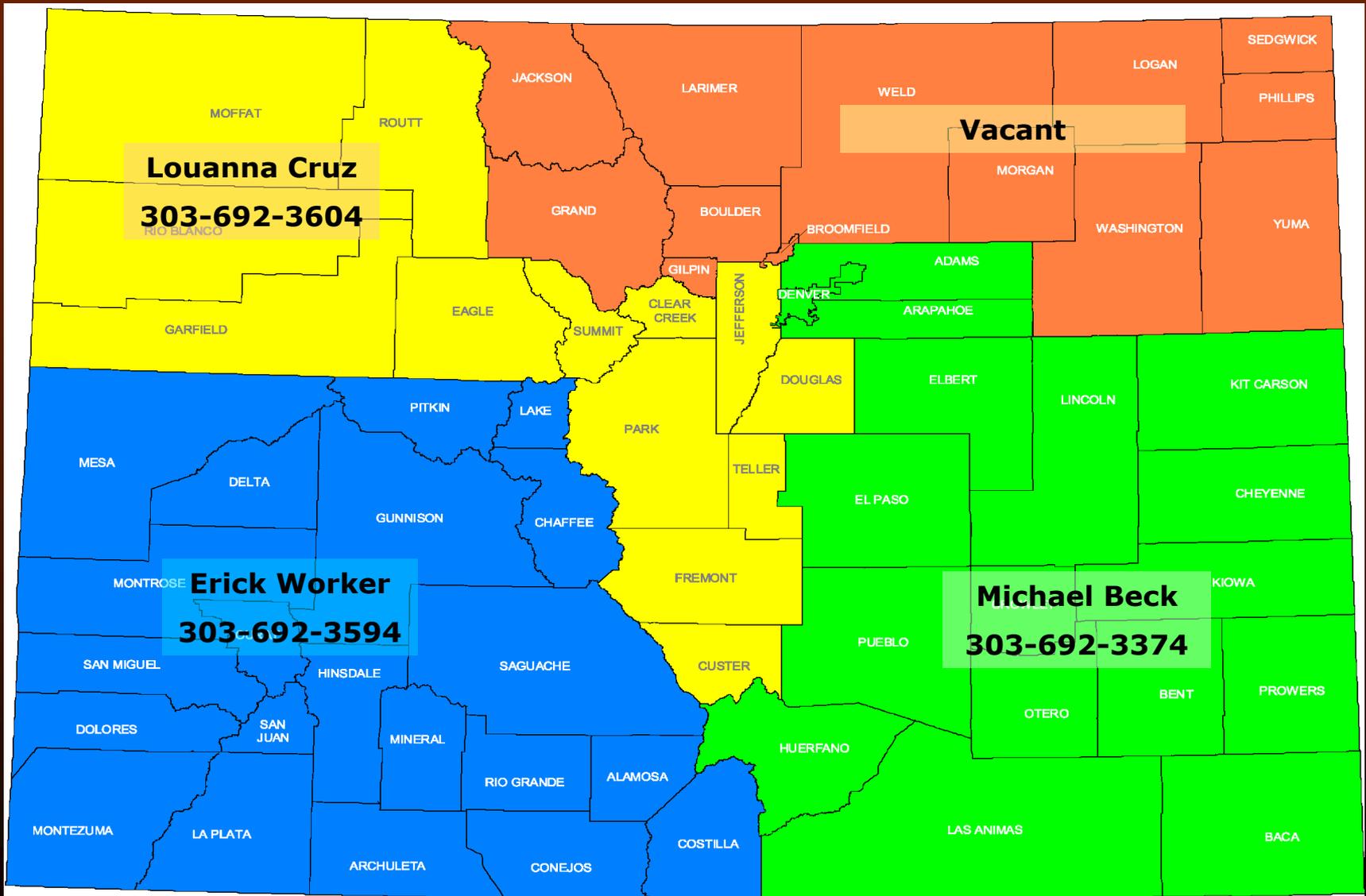
Water Quality Financial Solutions Unit

Carolyn Schachterle, Unit Manager (303) 692-3551

Elise Masters, Project Coordinator (303) 692-3536

Scott Garnarz, NEPA Specialist (303) 692-2374

Project Manager Regions



**COLORADO DEPARTMENT OF
LOCAL AFFAIRS**

**ENERGY AND MINERAL IMPACT
ASSISTANCE PROGRAM**



ENERGY AND MINERAL IMPACT ASSISTANCE PROGRAM

- Eligible recipients: “political subdivisions socially or economically impacted by the development, processing or energy conversion of minerals and mineral fuels...”

ENERGY AND MINERAL IMPACT ASSISTANCE PROGRAM

□ Grants:

- Tier I – 0 to \$200,000
- Tier II - \$200,000 to \$2,000,000

□ in circumstances where a project presents a compelling need, addresses significant impacts, represents a unique opportunity for enhanced quality of life, or is of significance in strengthening a community, the maximum grant may be increased.

□ Loans:

- for domestic water treatment and distribution system improvements (not for raw water)
- 5%, up to 20 years

ENERGY AND MINERAL IMPACT ASSISTANCE PROGRAM

- Funding availability:
 - Application deadlines April 1, August 1 and December 1
 - Over \$22 million awarded for local water and sewer projects in 2006
 - About one-fifth of all requests are water and sewer

Opportunities for Improvement

- Expand Advisory Committee Make-up
- Review Criteria for Eligibility
- Three Categories of Grants
- Modify Match Requirements
- Hearing Participation/Location
- Expand Reporting
- Consultation with Stakeholders
- Implement Changes in 2008-2009

COLORADO DEPARTMENT OF LOCAL AFFAIRS

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

**FOR WATER, SEWER AND OTHER PUBLIC
FACILITIES PROJECTS**



COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

- Eligible recipients:
 - "Non-entitlement" municipalities and counties
 - Special districts and private non-profit entities may be sub-recipients

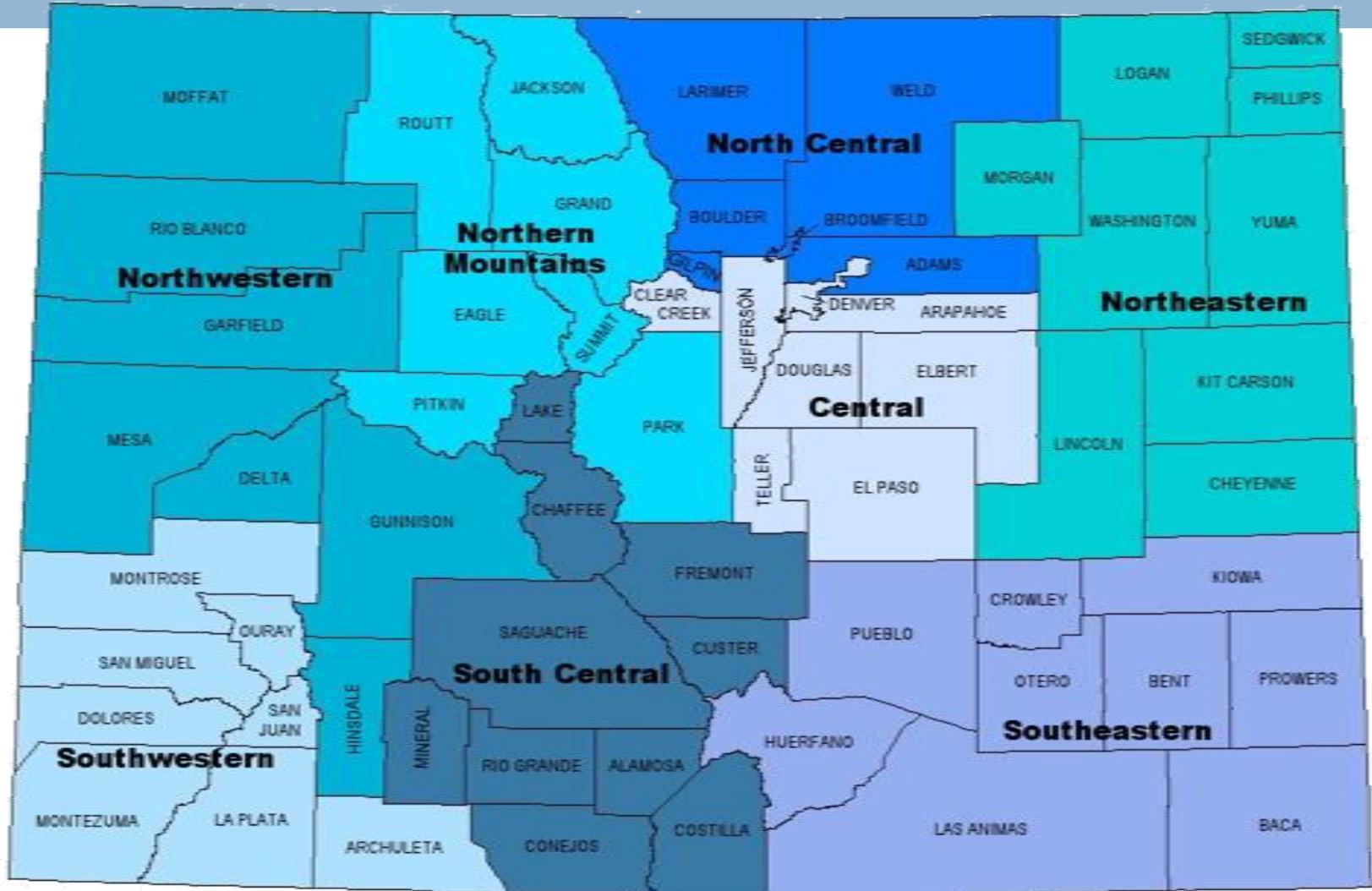
- Eligible activities: Public facilities and services that:
 - Primarily benefit low and moderate income persons
 - Eliminate slum or blight
 - Address other urgent needs, that is needs of recent origin that present a serious and immediate threat to the public health or safety and for which there are no other funding sources

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

- Grants:
 - Suggested Maximum \$500,000

- Funding availability:
 - About \$3 million for water, sewer and other public facilities
 - Application deadline December 1st and ongoing as funds permit
 - Subject to federal environmental review, Davis - Bacon and other requirements

DOLA FIELD REGIONS



DOLA FIELD REPRESENTATIVES

SOUTHEASTERN Lee Merkel 719-544-6577	NORTHWESTERN Jack Kirtland 970-248-7333
SOUTH CENTRAL Debra Downs 719-852-9429	NORTH CENTRAL Don Sandoval 970-679-4501
SOUTHWESTERN Ken Charles 970-247-7311	CENTRAL Clay Brown 303-273-1787
NORTHEASTERN Greg Etl 970-867-4961	NORTHERN MOUNTAINS Greg Winkler 970-668-6160



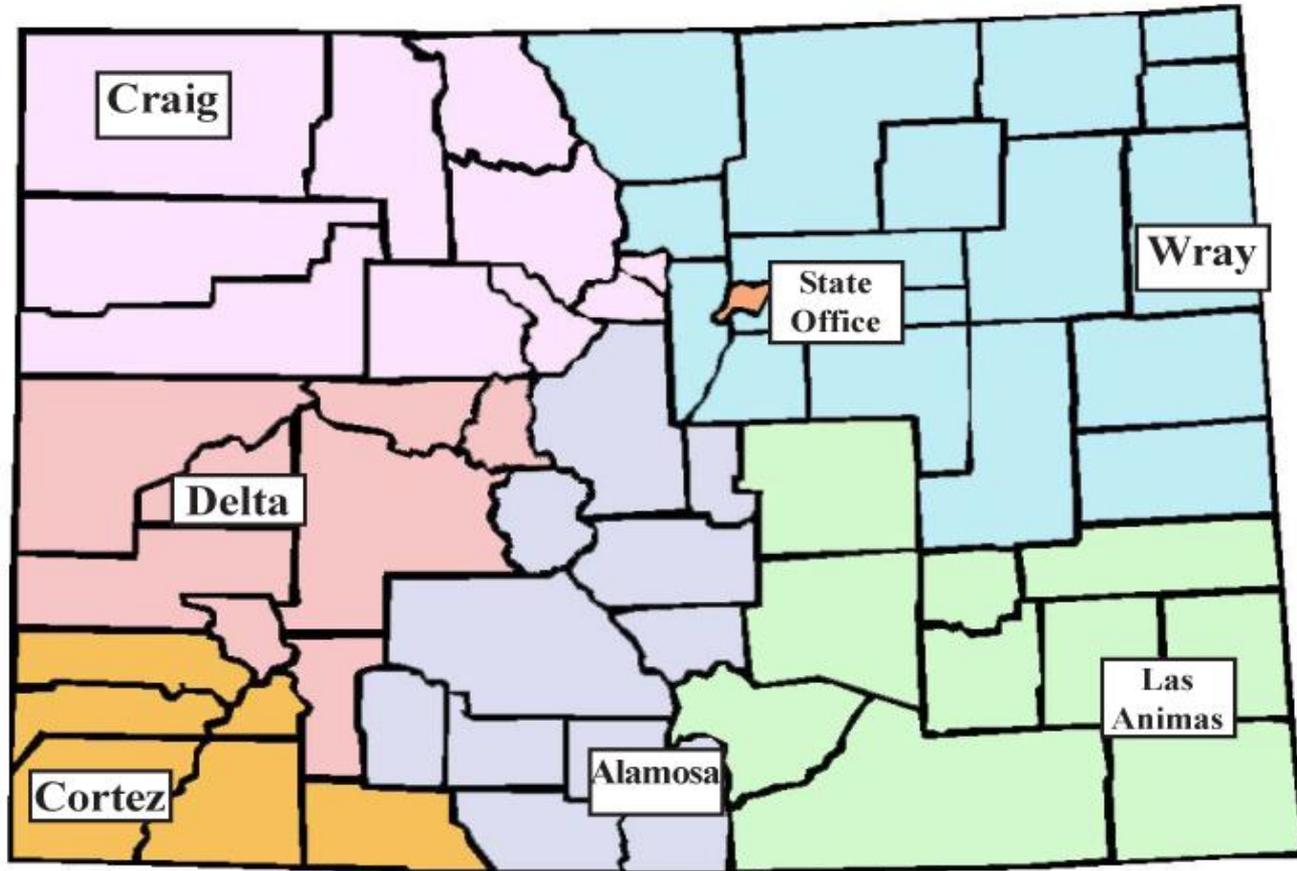
Committed to the future of rural communities.



Rural Development's Mission

- **To increase Economic Opportunity in Rural America**
- **To improve the Quality of Life in Rural America**

Colorado Rural Development Office Locations



What Services Does Rural Development Provide for Rural Colorado?



- **Housing** – build and renovate homes, rental opportunities
- **Community Facilities** – fire stations, medical clinics, child development centers, assisted living, nursing homes
- **Business** – Planning and assistance to businesses and cooperatives to include value added producer programs
- **Infrastructure** – water, electric, broadband, renewable energy, and telecommunications





**In Fiscal Year
2008, over
\$419 million
was invested
in Colorado**

INFRASTRUCTURE PROGRAMS



Water and Waste Program

- Provide water, sewer and solid waste projects
 - Applicants: Public Bodies, Non-profits and non-profits
 - Population of 10,000 or under

Projects Participated In

- Fort Lupton
- Hudson
- Walsenburg
- Snyder
- Fort Morgan
- Cripple Creek
- Florence
- Florrisant
- Las Animas
- Granada
- Manassa
- Alma
- Gilcrest
- Crowley Cty
- Sunflower
- Sheridan Lake
- Lochbuie
- Pierce

ELIGIBLE PURPOSES

- CONSTRUCTION
- IMPROVEMENTS
- REASONABLE FEES AND COSTS
- LAND, WATER RIGHTS
- INTEREST DURING CONSTRUCTION

INELIGIBLE PURPOSES

- FACILITIES WHICH ARE NOT MODEST IN SIZE, DESIGN, AND COST
- LOAN OR GRANT FINDER'S FEES
- CONSTRUCTION OF ANY NEW COMBINED STORM AND SANITARY SEWER FACILITIES
- ANY PORTION OF FACILITY NOT SERVING A RURAL AREA.

SERVICE AREA REQUIREMENTS

- AREA WITH A POPULATION OF UNDER 10,000
- SERVICING MAINLY RURAL BUSINESSES AND RESIDENTS

RATES

- POVERTY RATE
- INTERMEDIATE RATE
- MARKET RATE

PRIORITIES

- POPULATION
- HEALTH
- OTHER



SECURITY

- GENERAL OBLIGATION BOND
- REVENUE BOND
- PROMISSORY NOTE AND DEED OF TRUST

Some of our partners

- DEPARTMENT OF LOCAL AFFAIRS (DOLA)
- DEPARTMENT OF PUBLIC HEALTH AND ENVIRONMENT
- COLORADO WATER CONSERVATION BOARD
- COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY



Infrastructure

Contact Information

Northwest Colorado

Patti Snidow, Area Director

970-874-5735 ext. 4

pattie.snidow@co.usda.gov

Southwest Colorado

Charles Thompson, Area Director

719-589-5661 ext. 4

charles.thompson@co.usda.gov

Northeast Colorado

Cheryl Scofield, Area Director

970-332-3107 ext. 4

cheryl.scofield@co.usda.gov

Southeast Colorado

Joe Kost, Area Director

719-456-0120 ext. 4

joe.kost@co.usda.gov

Robin Pulkkinen

Business & Community Program Specialist

Lakewood, CO

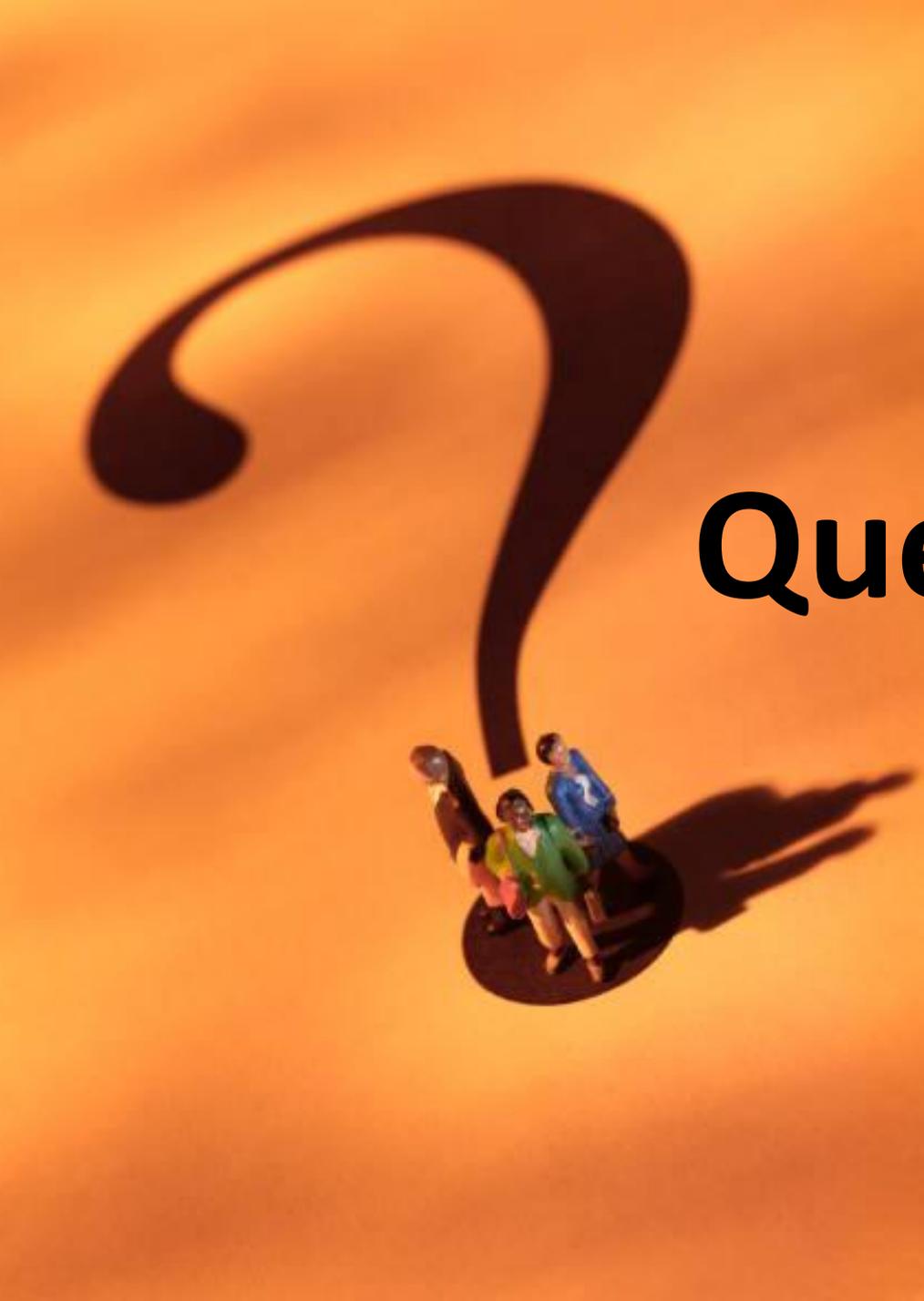
720-544-2929

robin.pulkkinen@co.usda.gov



For more information on
USDA Rural Development
Programs in Colorado,
visit our website at:

www.rurdev.usda.gov/co

A large, stylized black question mark is centered on the left side of the image, set against a warm, orange-to-yellow gradient background. At the base of the question mark's stem, a small group of four people (two men and two women) are standing on a dark, circular platform. Their shadows are cast to the right. The overall composition suggests a theme of inquiry or a question being posed to a group of people.

Questions?