USE OF INTAKE-ELIGIBILITY-SUPPORT PLANNING ASSESSMENT ITEMS IN MEETING CMS-HCBS REGULATIONS

Rule Requirement	Primary Place to Be Addressed (from PC Report)	Supported by Items in Intake, Eligibility Screen or SP Assessment?	How Items Are Related to Addressing Requirement
Requires waiver applications to include provisions for a person centered plan that is developed based on a person centered approach	Other-waiver application and renewal documents	Intake Eligibility Screen Support Plan (SP) Assessment	Intake, Eligibility Screening and SP Assessment will include person-centered items. Information will flow forward into the development of a plan that is informed by these.
A person centered plan is defined to include a process led by the participant (or legal representatives), other individual chosen by the participant and must include: *support to participant for directing the planning *cultural considerations *process for conflict resolution	Intake and SP assessment	Intake Eligibility Screen SP Assessment	− Intake and Eligibility Screening will identify if person has a guardian or is in need of other assistance to complete an assessment process. − SP Assessment will include guardian or other individuals identified by the participant to assist in process. − SP Assessment will include items about culture and personal practices that affect service planning and provision.
Provider agencies or others with a financial or other interest in the provider agency may not provide case management services.	Ongoing Case Management	No	NA
The plan must reflect the functional needs as assessed as well as what is important to the person as to preferences for the delivery of services and supports.	SP Assessment	Eligibility Screen SP Assessment	− Functional needs will be addressed in eligibility and SP Assessment. − Individual preferences will be addressed a) within the PC module; and b) within individual items (consistent with MnCHOICES framework).
Plan must reflect setting chosen by person; setting must support community access, employment opportunities, and control of personal resources	Support Plan	SP Assessment	SP Assessment will include items on community access, employment and self-directed options. This information will assist with discussions for Support Plan development.

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Plan must reflect strengths and preferences	SP Assessment	SP Assessment	− Modules will include specific strengths and preference items. − PC module will contain items about personal vision of future and needs for support to achieve vision, and general preferences for supports.
Plan must reflect clinical and support needs as determined through assessment	SP Assessment	SP Assessment	Assessment will cover clinical, functional areas as well as support needs related to areas such as employment, self-direction, etc.
Risk factors and mitigation plans must be reflected in the plan	Support Plan	Intake Eligibility Screen SP Assessment	− Intake will include triage items for risk of loss of community home, hospitalization and need for intervention by adult protection, or other intervention as determined by the protocol. − Eligibility Screen will identify risks related to institutional placement and need for assistance or supervision, and will help to identify cognitive or behavioral issues that impact safety, judgment or ability to respond in emergency situations. − SP Assessment will include additional items related to decision making, personal safety, mental health needs, cognition, and need for supervision or assistance in decision making.
Be understandable to the individual receiving services and supports, and the individuals important in supporting him or her.	Support Plan	Intake SP Assessment	− Intake will include items regarding language and special accommodations to proceed with the assessment. − SP Assessment will include additional information and items necessary for engagement in and understanding of Support Plan.
The plan must identify the individual and/or entity responsible for monitoring the plan.	Support Plan	SP Assessment	− SP Assessment may include optional relationship map and questions about individuals providing support. This may include individuals who monitor well-being and needs on a regular basis.
The plan must be finalized and agreed to, with the informed consent of the individual in writing, and signed by all individuals and providers responsible for its implementation.	Support Plan	No	NA
The plan will be distributed to the individual and others involved in the plan.	Support Plan	No	NA

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Include those services which person elects to self-direct.	Support Plan	SP Assessment	SP Assessment will include module on self-direction.
Prevent the provision of unnecessary or inappropriate services	Support Plan	SP Assessment	− SP Assessment information will help to identify needs for services and preferences for service delivery. − Services authorized via the Support Plan should be consistent with needs and preferences from the assessment information.
The plan will document any modification of additional conditions supported by need and justified in the plan.	Support Plan	Eligibility Screen SP Assessment	Conditions should be supported by eligibility screening and assessment information documenting the type and level of need.
The plan will document positive interventions and supports and less intrusive methods tried prior to modifying person centered plan to include conditions.	Support Plan	SP Assessment	− Assessment items will include strengths and preferences for how services are provided in specific areas. − These items can add to the understanding of what positive interventions and approaches work best.
Include description of the condition that is directly proportionate to the assessed need.	Support Plan	Eligibility Screen SP Assessment	Information about the acuity of need and level of support will be included in domain areas of Eligibility Screen and SP Assessment.
Include regular collection of data, review of effectiveness, and time limits on the conditions in the plan.	Support Plan	SP Assessment	Reassessment will provide documentation concerning changes in acuity or level of support required.
Informed consent must be given by the individual (representative) to conditions in the plan.	Support Plan	No	NA
Include an assurance that intervention will cause no harm.	Support Plan	SP Assessment	SP Assessment provides information needed for a review of interaction of risk areas and interventions identified. For example, some health conditions identified in the assessment may limit the ability to use certain types of interventions.

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There must be a process for individual or representative to request updates to the person-centered plan.	Ongoing Case Management	SP Assessment	Change in status would result in reassessment or review of eligibility/assessment information.
Review of plan must occur at reassessment or at least every 12 months.	Ongoing Case Management	SP Assessment	Eligibility and SP Assessment must be reviewed annually.