COLORADO
Department of Revenue
Enforcement Division – Gaming

October 15, 2020

RE: Notice of Adoption of Changes to Internal Control Minimum Procedures (ICMP)

Pursuant to CLGR. 30-1610 (4), and (5), this letter is to inform licensees that the changes previously distributed on September 3, 2020, for review and comment, have been amended and finalized. The effective date of the revised ICMP is October 15, 2020 .

In accordance with CLGR. 30-1610 (7), any licensee whose procedures are affected by the minimum procedures or revisions shall amend its written system, submit a copy of the written system as amended to the Division, and comply with the procedures and system as amended. To simplify this process, please complete the attached form and return it to the Division. This form presents two options: you can indicate your intention to comply with the revised ICMP, or you can indicate your intention to comply with the revisions as amended by your attached variances. These variance requests must be approved by the Division prior to the implementation of these alternative controls. **It is unnecessary to re-submit any previously approved, unexpired variances that are not impacted by the revisions to the ICMP.** They will remain in effect unless you provide written notice to the contrary. In other words, **you only need to submit variance requests that will differ from, or are impacted by, the *revised ICMP*.** The attached form must be signed by the licensee's chief financial officer (or his/her designee), and either the licensee's chief executive officer or a licensed owner (or his/her designee), and mailed back to the Division within 30 days of the revision date (i.e., no later than November 14, 2020).

The revised ICMP are available on the Division's web site at www.colorado.gov/enforcement/gaming.

Please ensure all copies of the ICMP for your casino are updated with the October 15, 2020 revisions.

If you have any questions please do not hesitate to call Paul Hogan at (303) 205-1315 or send an email to paul.hogan@state.co.us.

Sincerely,

Paul Hogan Digitally signed by Paul Hogan Date: 2020.10.15 13:13:05 -06'00'

Chief Auditor, Division of Gaming

COLORADO
Department of Revenue
Enforcement Division – Gaming

Notice of Intent Form

October 15, 2020 Revisions
Attention: Audit Section, Paul Hogan

NOTICE OF INTENT TO FOLLOW REVISED PROCEDURES
OR
REQUEST TO FOLLOW REVISED PROCEDURES WITH VARIANCES

Please check one of the following:

☐ Licensee agrees to comply with the October 15, 2020 revised Internal Control Minimum Procedures.

☐ Licensee agrees to comply with the October 15, 2020 revised Internal Control Minimum Procedures except for the attached variance requests impacted by the October 15, 2020 ICMP revision. (Attach the Variance Request form for each requested variance to this statement. Be sure to submit these via email as well.)

Please indicate which option applies to you by selecting one of the above choices. If you are requesting any variance(s), please include the variance(s) request using the ICMP Variance Request form located on the Division's web site at www.colorado.gov/enforcement/gaming. You may not follow any variances from the revised ICMP until written approval has been received from the Division of Gaming.

This form must be postmarked **prior** to the casino opening. Please email this form to dor_coloradocasinos@state.co.us. You may contact the Chief Auditor at (303) 205-1315, if you have any questions regarding this form or process.

The Licensee's chief financial officer (or designee) and either the Licensee's chief executive officer or licensed owner (or designee) must sign this Notice of Intent form.

Casino Name:_____

Chief Financial Officer or Designee	Signature	Date
Chief Executive Officer or Owner or Designee	Signature	Date