

2018-2019 IDD Waivers Renewal

Children's Extensive Support (CES) Waiver

Waiver Appendices D, E, & F

Presented by: Dennis Roy

Sept-06



Our Mission

Improving health care access and outcomes for the **people** we serve while demonstrating sound stewardship of financial **resources**



Housekeeping



Ground Rules

During the meeting:

- Please hold all questions or comments until a break in the presentation
- For those accessing via webinar we will address your questions during breaks

During the engagement process:

- Questions about this Stakeholder engagement process can be sent to: hcbswaivers@state.co.us
- If you have a formal comment/suggestion on the waiver(s), please submit them to ltss.publiccomment@state.co.us

Meeting Purpose



- Inform Stakeholders of the Waiver Renewal Process & CMS required information.
- Explain the content of a HCBS waiver application
- Explain how the waiver applications illustrate the respective program operations

Other Efforts



- Waiver Implementation Council
- Current Waiver Amendments
- HCBS Settings Transition
- Statutory Changes
- Conflict Free Case Management (CFCM)

Scope

Things that can change:

- Can correct grammatical/technical language errors

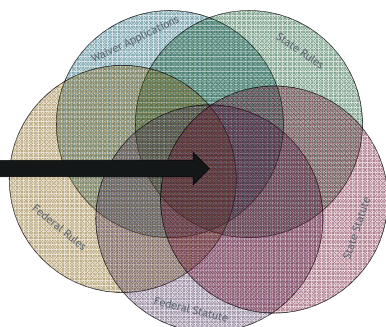
Things we cannot change:

- Cannot change existing contracts
- Cannot change budgetary allocations



Policy Sources

How the Member experiences the program



Home and Community-Based Services Overview



HCBS waiver authority

- Permits a state to offer home and community-based services to individuals who:
 - Are found to be at risk of institutional placement
 - Members of a target group included in waiver
 - ex: Brain Injury, Spinal Cord Injury, etc.
 - Meet Medicaid financial eligibility criteria
 - Require 1 or more waiver services to function in community
- Exercise freedom of choice.

Waiver Application Process

- States must submit initial waiver application to CMS
- Initial approval period is 3 years
- After initial period renewal occurs every 5 years
- Colorado waivers requiring renewal in 2019 include DD, SLS, CES and CHRP.

Renewal Process

- Children’s Extensive Support (CES) waiver expires on June 30th, 2019.
- State’s must respond to Evidentiary Reports and other CMS Guidance
- Waiver Applications submitted 90-180 days in advance



Intended Timeline

Important Dates:



- Public Comment Period from 1/28/2019 through 2/28/2019
- The Department hopes to submit the renewal to CMS around 3/1/2019
- Renewals will go into effect on 7/1/2019

Center for Medicare and Medicaid Services (CMS)



CMS has requirements States must meet when administering a waiver.

What are those requirements?

Today's Sections



Appendix D: Participant-Centered Planning and Service Delivery



Appendix E: Participant Direction of Services



Appendix F: Participant Rights

D: Participant-Centered Planning and Service Delivery



How are participant needs identified and addressed during the service plan development process?

Appendix D Identifies

Participant-centered service plan development and outlines the implementations and monitoring of the service plan

Appendix D: Technical Guide

- D-1 Service Plan Development
- D-2 Service Plan Implementation and Monitoring
- Quality Improvement: Service Plan



What does Colorado include in Appendix D of the Waiver Application?

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D: Responsibility for Service Plan Development (DD/SLS)

Case Managers Qualifications:

- Bachelor’s degree in a human behavioral science or related field of study; or
- Experience working with LTSS population, in a private or public agency may substitute for the required education on a year for year basis

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D: Service Plan Development Safeguards (CES)

20 Community Centered Boards (CCB) act as Case Management Agencies (CMA) and are required to complete:

- CCBs are required to complete the CES application which includes significant assessment data on each waiver participant.

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D: Supporting the Participant in Service Plan Development (CES)

Each CCB is contractually obligated to provide information to participants about:

- Potential Services
- Supports
- Resources

D: Service Plan Development Process (CES)

• Case Management functions include the following responsibilities when implementing the person-centered support plan:

- Document
- Monitor
- Oversee

D: Risk Assessment and Mitigation (CES)

- Level Of Care assessment and CES Application completed along with service provider assessments
- CM identifies and documents concerns in Level of Care Assessment
- Back-up Plan identified in "Contingency Plan" section of Service Plan

D: Informed Choice of Providers (CES)

Participant is provided a statewide list of qualified providers

D: Process for Making Service Plan Subject to the Approval of the Medicaid Agency (CES)

- CM is required to utilize the BUS to enter:
 - Service Plans
 - Monthly case management log notes
 - Utilization Long-Term Care assessment (ULTC 100.2)

D: Service Plan Implementation and Monitoring(CES)

CM are responsible for monitoring the implementation of the service plan and the health and welfare of the participant.

D: Monitoring Safeguards (CES)

Department is in the process of implementing Conflict Free Case Management (CFCM) by 2022



D: Quality Improvement (CES)

State must assure that:

The state demonstrates it has designed and implemented an effective system for reviewing the adequacy of service plans for waiver participants.

How does the HCPF meet the assurance?



D: Quality Improvement (CES)

State meets the assurance with 9 separate Performance Measures (PM).

The PMs in Appendix D provide CMS with the assurance that the participant support plan are meeting their needs and services are delivered according to the support plan



E: Participant Direction of Services



What authority do participants have to direct some or all of their waiver services?

Appendix E Identifies

If the waiver affords participants the opportunity to direct some or all of their waiver services

Appendix E: Technical Guide

- E-1 Overview
- E-2 Opportunities for Participant Direction





What does Colorado include in Appendix E of the Waiver Application?

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E: *Participant Direction of Services (CES)*

This waiver does not provide participant direction opportunities

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F: *Participant Rights*



How are participant rights protected?

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Appendix F: Technical Guide

- F-1 Opportunity to Request a Fair Hearing
- F-2 Additional Dispute Resolution Process
- F-3 State Grievance/Complaint System

Appendix F Addresses

Participant
rights to
request a fair
hearing

*What does Colorado include in
Appendix F of the Waiver
Application?*

F: Opportunity to Request a Fair Hearing (CES)

Participants are notified of adverse action through issuance of a written form entitled the Long Term Care Waiver Program Notice of Action (LTC 803 Form)

- A waiver participant is notified of his/her right to a fair hearing upon enrollment in the waiver and when the CCB anticipates an adverse action will be taken.



F: Additional Dispute Resolution Process (CES)

A waiver participant may utilize the additional process to dispute the specific actions taken by the Community Centered Board (CCB), Program Approved Service Agency (PASA) or other qualified provider



F: Additional Dispute Resolution Process (CES)

- Notice of intended action provided to participant at least 15 days prior in writing
- Participant files complaint
- Within 15 days agency provides opportunity to participant to informally negotiate
- If unable to resolve complaint, present to impartial decision maker



F: Department Review of the Dispute Decision (CES)

- The Department is responsible to review the dispute decision
- The Department monitors the Dispute Resolution process at PASA agencies through its Inter Agency Agreement with the Colorado Department of Public Health and Environment (CDPHE)



F: Operation of Grievance/ Complaint System (CES)

The Department is responsible for operating the state grievance/complaint system. Rules describing the requirements for this process are located at 10 CCR 2505-10



Questions or Concerns?



Submit any public comments to:

Itss.publiccomment@state.co.us



Next Meeting

September 20, 2018

1:00 PM - 3:00 PM



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Contact Information

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Thank You!
