

## **ALR SIGNIFICANT TAGS IDENTIFIED FOR DEFINING PATTERN**

P tags include Chapter VII ALR regulations and Volume 8 ACF regulations

M tags include Chapter XXIV Medication Administration regulations

Look back period = 3 years from exit date of most recent survey

### **Hot Tags (20) – Pattern = 4+**

- P0032 – Implement intermediate condition imposed
- P0034 – Compliance with occurrence reporting
- P0212 – Policies – Serious illness, injury, death policy
- P0215 - Policies - Physician assessment policy
- P0252 – Policies – Investigation of abuse/neglect policy
- P0302 – Admission – Comprehensive Assessment
- P0306 – Admission – Admission/retention of bedridden resident
- P0310 – Admission – Need for restraint
- P0390 – Discharge – When cannot protect from harming self or others
- P0391 – Discharge – Unable to Meet Needs
- P0402 – Residents Rights – Respect and Dignity
- P0408 – Residents Rights – Sexual, verbal, physical abuse, intimidation
- P0410 – Residents Rights – Neglect
- P0412 – Residents Rights - Involuntary confinement, restraints, financial exploitation
- P0426 – Residents Rights – Choices and decisions
- P0504 – Protective Oversight, personal services to meet needs
- P0512 – Care Plan – Develop and implement a care plan to oversee resident needs
- P0518 – Care Plan – Reassessment and update
- P1040 – ACF – Team assessment requirements
- P1132 – ACF – Protective Oversight

### **Residents Rights/Home-like (35) – Pattern = 3+**

#### **Includes res rights, home-like and some dietary**

- P0402 - Resident Rights - Respect and dignity
- P0404 - Resident Rights - Privacy
- P0406 - Resident Rights - Isolation
- P0408 - Resident Rights - Sexual, verbal, physical abuse, intimidation
- P0410 - Resident Rights - Neglect
- P0412 - Resident Rights - Involuntary confinement, restraints, financial exploitation
- P0414 - Resident Rights - Full use of common areas
- P0416 - Resident Rights - Voice grievances, recommend changes in policies
- P0418 - Resident Rights - Communicate privately
- P0420 - Resident Rights - Reasonable use of telephone
- P0422 - Resident Rights - Visitors
- P0424 - Resident Rights - Visits outside facility
- P0426 - Resident Rights - Choices and decisions
- P0428 - Resident Rights - Maximum degree of benefit from services provided
- P0430 - Resident Rights - Choice re: religious activities
- P0432 - Resident Rights - Reimbursement for work performed on premises
- P0434 - Resident Rights - 30 day advance written notice of changes in services

P0438 - Resident Rights - Advocates  
P0440 - Resident Rights - Clothing of choice  
P0442 - Resident Rights - Choice to participate in activities  
P0448 - Resident Rights - Ombudsman access to premises and residents  
P0450 - Resident Rights - Restraints prohibited  
P0712 – Dietary – Substitute Must be Provided  
P0714 – Dietary – Nourishing Between Meal Snacks Available  
P1050 – ACF - Foster Independence, Choice of Care, Lifestyle  
P1052 – ACF – Afford Client Opportunity to Contribute to Home in Meaningful Way  
P1068 – ACF - Unscheduled Access to Food and Prep Area if Capable  
P1070 – ACF – Choice to Perform Household Chores if Care Planned  
P1112 – ACF – Maintains Home-like Quality  
P1114 – ACF – Accessible Private Telephone  
P1116 – ACF - Private Area Where Clients in Shared Rooms Can Visit  
P1120 – ACF – Minimum and Maximum Room Temperatures  
P1126 – ACF – Appropriate Reading Materials Available  
P1128 – ACF - Access to Food and Beverage at All Times  
P1130 – ACF – Assessment of Cooking Capacity

**Care, Services, Medications (37) – Pattern = 6+**

**Includes meds, staffing, some res rights, care plan .**

M0350 – Meds – Reporting of medication errors  
M0410 – Meds – P&P consistent with regimen taught in class  
M0521 – Meds – New orders following inpatient hospitalization  
M0550 – Meds – Administration through G-tube prohibited  
M0552 – Meds – Administration by injection prohibited  
M0720 – Meds – Storage/counting of controlled substances  
P0172 – Staffing – Staffing sufficient to meet resident needs  
P0174 – Staffing – At least one trained staff on premises  
P0446 – Residents Rights – Services in accordance with agreement and care plan  
P0504 – Protective oversight, personal services to meet needs  
P0506 – Activities – Opportunities within and outside the facility  
P0508 – Activities – Involvement of residents in planning activities  
P0512 – Care Plan – Develop and implement a care plan to oversee resident needs  
P0518 – Care Plan – Reassessment and update  
P0524 - Meds – Return upon discharge/death  
P0528 - Meds - One resident’s medications is not given to another resident  
P0536 - Meds – Safe storage  
P0538 - Meds – Locked and compartmentalized  
P0540 - Meds –Refrigeration requirements  
P0552 - Meds – Current Medication Administration Record  
P0554 - Meds – Documentation at time of administration  
P0556 - Meds – Administer only upon current order  
P0558 - Meds – Orders for self- administration  
P0560 - Meds – Telephone orders only taken by licensed nurse  
P0562 - Meds – Telephone orders signed by physician

P0564 - Meds – Compliance with physician orders  
P0566 - Meds – Notification and documentation of refusals  
P0576 - Meds – Administration of PRN psychotropic meds  
P0578 - Oxygen – Order for Administration  
P0710 – Dietary – Three balanced meals, adequate portions, variety of foods  
P0722 – Dietary – Minimum of Three Day Supply of Food  
P1040 - ACF – Team Assessment Requirements  
P1132 – ACF – Protective Oversight  
P1154 - ACF - 1:10 staff to resident ratio during daytime hours  
P1156 - ACF - 1:16 staff to resident ratio during nighttime hours unless waiver  
P1158 - ACF - 1:6 staff to resident ratio in secured environment  
P1160 - ACF - At least one awake staff at all times in secured environment

**Facility Administration (35) – Pattern = 3+**

**Includes records, reporting, personnel, infrastructure, some policies**

M0310- Qualification - QMAP onsite at all times  
P0032 - Implement intermediate conditions imposed  
P0034 - Compliance with occurrence reporting  
P0102 - Owner compliance with regulations  
P0110 - Administrator knowledgeable of laws and regulations  
P0122 - Records - Required personnel documents onsite for Dept review  
P0132 - Records - Criminal background check prior to resident contact  
P0134 - Records - Felony or misdemeanor convictions that could pose risk  
P0136 - Training - Sufficient skill and ability to perform duties  
P0138 - Qualification- Perform duties only licensed or qualified to provide  
P0140 - Qualification - Only qualified staff administer medications  
P0206 – Policies - Emergency plan and alternate housing  
P0212 – Policies - Serious illness, injury, death policy  
P0215 - Policies - Physician assessment policy  
P0224 – Policies - Discharge  
P0252 - Policies - Investigation of abuse/neglect policy  
P0262 – Policies - Restrictive egress alert devices policy  
P0302 - Admission - Comprehensive preadmission assessment  
P0306 - Admission - Admission/retention of bedridden residents  
P0310 - Admission – Need for restraint  
P0354 - House Rules – Required content  
P0360 - Resident Record – required for each resident  
P0364 - Resident Record - Progress notes  
P0382 - Resident Records – Protect from Loss, Damage  
P0390 - Discharge - When cannot protect from harming self or others  
P0391 – Discharge – Unable to Meet Needs  
P0393 - Discharge - 30 day advance written notice  
P0462 - Resident Rights - Internal grievance procedure  
P0614 – Secured Environment – Legal Authority Established for Admission  
P0622 – Secured Environment – Preadmission Assessment/Evaluation of Least Restrictive

- P0626 - Secured Environment – Assess by Qualified Professional
- P0644 – Secured Environment – Staff Requirements
- P0648 – Secured Environment - Family Forum for Facility to Respond to Concerns
- P0650 – Secured Environment - Family Forum at Least Quarterly
- P1106 – ACF SEP Case manager notification

**Environment/Physical Plant (20) – Pattern = 3+**

**Includes safety, sanitation, some secured environment)**

- P0580 - Oxygen – Tanks Stored Upright
- P0590 - Oxygen – No Smoking Where Oxygen in Use
- P0692 – Secured Environment – Access to Safe Outdoor Area Year Round
- P0698 – Secured Environment – Independent Access to Outdoor Area
- P0702 – Dietary – Safe Storage and Handling of Food
- P0706 – Dietary – 20 + Beds Meet Retail Food Establishment Regulations
- P0802 – Environment – Clean, Sanitary, Free of Hazards
- P0818 – Environment – Assessment for Safe Use of Appliances
- P0820 – Environment – Assessment for Safe Use of Heating Pads/Electric Blankets
- P0826 – Environment – Portable Heaters Prohibited in Resident Bedrooms
- P0832 – Environment – Insects, Rodents, Openings Screened
- P0836 – Environment – Adequate Heat, Light, Ventilation
- P0844 – Environment – Maximum Hot Water Temperature of 120 Degrees Fahrenheit
- P0846 – Environment – Sufficient Supply of Hot Water
- P0848 – Environment – Telephone Available for Residents and Staff
- P0864 – Means of Egress – Wheelchair Access – Two Means of Egress
- P0866 – Bedrooms – Must be Designated Bedroom
- P0894 – Bathrooms – Use of Common Personal Articles
- P0896 – Bathrooms – Toilet Paper Dispenser
- P0898 – Bathrooms – Liquid Soap and Paper Towels Avail

Handout ALR Advisory 11-29-12

Revised with # tags outlier per final data-run but did not result in changes

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