

DR 8409 (09/25/09)  
 COLORADO DEPARTMENT OF REVENUE  
 LIQUOR ENFORCEMENT DIVISION  
 1375 SHERMAN STREET  
 DENVER CO 80261

**COLORADO LIQUOR (0021)**  
**OR 3.2% FERMENTED MALT BEVERAGE**  
**PUBLIC TRANSPORTATION, WHOLESALE,**  
**MANUFACTURER, IMPORTER LICENSE APPLICATION**

- NEW LICENSE                       LICENSE RENEWAL  
 TRANSFER OF OWNERSHIP

- ALL ANSWERS MUST BE PRINTED IN BLACK INK OR TYPEWRITTEN
- APPLICANT MUST CHECK THE APPROPRIATE BOX(ES)
- APPLICANT SHOULD OBTAIN A COPY OF THE COLORADO LIQUOR AND BEER CODE (Call 303-370-2165)

1a. Applicant is applying as a  Individual (Attach DR 8404-I)  
 Corporation  Limited Liability Company  
 Partnership (Includes Limited Liability and Husband and Wife Partnerships)  Association or Other

1b. Name of Applicant(s) If partnership, list partners' names (at least two); if corporation, name of corporation      FEIN Number

2. Trade Name of Establishment (DBA)      State Sales Tax No.      Business Telephone

3. Address of Premises (specify exact location of premises)

City      County      State      ZIP Code

4. Mailing Address (Number and Street)      City or Town      State      ZIP Code

5. If the premises currently have a liquor or beer license, you MUST answer the following questions:  
 Present Trade Name of Establishment (DBA)      Present State License No.      Present Class of License      Present Expiration Date

**You must make one selection in section A, and you may make only one selection in sections B or D.  
 For additional licenses, you must file a separate application and pay separate fees.**

LIAB	SECTION A	LIAB	SECTION D LIQUOR LICENSE FEES
2300	<input type="checkbox"/> Application Fee for New License.....\$1,025.00	2000	<input type="checkbox"/> Public Transportation License
2310	<input type="checkbox"/> Application Fee for Transfer of Ownership....1,025.00		\$75.00 x _____ (# Units) Total Fee _____
	<b>SECTION B</b>	2080	<input type="checkbox"/> Manufacturer's License (brewery).....300.00
2130	<input type="checkbox"/> 3.2% Beer Wholesale License.....150.00	2100	<input type="checkbox"/> Manufacturer's License (winery).....300.00
2140	<input type="checkbox"/> 3.2% Beer Manufacturer's License.....150.00	2090	<input type="checkbox"/> Manufacturer's License (distillery or rectifier).....1,050.00
2160	<input type="checkbox"/> 3.2% Beer Importer's License.....150.00	2050	<input type="checkbox"/> Wholesale Liquor License
2150	<input type="checkbox"/> 3.2% Nonresident Manufacturer License .....150.00		(vinous & spirituous).....1,050.00
	<b>SECTION C</b>	2060	<input type="checkbox"/> Wholesale Beer License (malt liquor) .....550.00
2240	<input type="checkbox"/> Sole Source Registration	1920	<input type="checkbox"/> Importer's License (malt liquor) .....300.00
	\$100.00 X _____ ... Total Fee _____	2070	<input type="checkbox"/> Importer's License (vinous & spirituous liquor) .....300.00
2290	<input type="checkbox"/> Product Registration	1930	<input type="checkbox"/> Limited Winery License.....70.00
	\$5.00 X _____ ... Total Fee _____	1910	<input type="checkbox"/> Nonresident Manufacturer's License
			(malt liquor).....300.00

**DO NOT WRITE IN THIS SPACE - FOR DEPARTMENT OF REVENUE USE ONLY**

**LIABILITY INFORMATION**

Liability Date	License Issued Through (Expiration Date)	License Account Number

State (999)	Cash Fund New License 2300-100 (999)	Cash Fund Transfer License 2310-100 (999)	Sole Source Registration 2240-100 (999)	Product Registration 2290-100 (999)	TOTAL

## APPLICATION CHECKLIST AND WORKSHEET

**Instructions:** This check list should be utilized to assist applicants with filing all documents required for licensure. **All** documents must be properly signed and correspond with the name of the applicant exactly. Copies (other than application) may be accepted if signatures are legible. **All** documents must be typed or legibly printed in **black ink**. Upon state approval, the license will be mailed to you.

ITEMS SUBMITTED	DOCUMENTS	PLEASE CHECK ALL APPROPRIATE BOXES
<b>I. APPLICANT INFORMATION</b>		
<input type="checkbox"/>	A. Identify Applicant/Licensee.	
<input type="checkbox"/>	B. Identify license type or other transaction.	
<input type="checkbox"/>	C. Identify State Sales Tax License Number (Transportation applicant only).	
<input type="checkbox"/>	D. Submit original application.	
<b>II. DIAGRAM (WHOLESALE, TRANSPORTATION AND INSTATE MANUFACTURERS)</b>		
<input type="checkbox"/>	A. 8 1/2" X 11" diagram of property to be licensed.	
<input type="checkbox"/>	B. Address and diagram of premises where liquor is to be stored (Transportation and wholesale applicants only).	
<b>III. PROOF OF PROPERTY POSSESSION (NOT REQUIRED FOR IMPORTERS AND NON RESIDENT MANUFACTURERS)</b>		
<input type="checkbox"/>	A. Deed in name of the Applicant ONLY (or)	
<input type="checkbox"/>	B. Lease in the name of the Applicant ONLY and valid for at least one (1) year from the date of license issuance, or	
<input type="checkbox"/>	C. Lease Assignment in the name of the Applicant ONLY with proper consent from the Landlord and acceptance by the Applicant.	
<input type="checkbox"/>	D. Copy of Vehicle Registration (Transportation applicant only).	
<input type="checkbox"/>	E. Copy of Vehicle Lease (Transportation applicant only).	
<input type="checkbox"/>	F. Other Agreement if applicable.	
<b>IV. BACKGROUND INFORMATION (DR 8404-I)</b>		
<input type="checkbox"/>	A. Complete for each principal (stockholder, officer, director, partner, member, etc.).	
<input type="checkbox"/>	B. Complete fingerprint cards for the same persons mentioned in "A" above. Cards are to be taken to the local police department for fingerprinting. <b>Return the completed cards to this department with a check for \$39.50, per card, made payable to COLORADO BUREAU OF INVESTIGATION (Personal checks are not accepted).</b>	
<b>V. CORPORATE APPLICANT INFORMATION (If Applicable)</b>		
<input type="checkbox"/>	A. Copy of Articles of Incorporation (date stamped by Colorado Secretary of State) and/or	
<input type="checkbox"/>	B. Certificate of Good Standing if incorporated more than two years.	
<input type="checkbox"/>	C. Certificate of Authorization if foreign corporation (instate applicants only)	
<input type="checkbox"/>	D. List of officers, directors and stockholders of any PARENT corporation (designate one person as "principal officer").	
<b>VI. PARTNERSHIP APPLICANT INFORMATION (If Applicable)</b>		
<input type="checkbox"/>	A. Partnership Agreement (general or limited). Not needed if husband and wife.	
<b>VII. LIMITED LIABILITY COMPANY APPLICANT INFORMATION (If Applicable)</b>		
<input type="checkbox"/>	A. Copy of articles of Organization (date stamped by Colorado Secretary of State) and/or	
<input type="checkbox"/>	B. Certificate of Good Standing if organized more than two years	
<input type="checkbox"/>	C. Copy of Operating Agreement	
<input type="checkbox"/>	D. Certificate of Authorization if foreign company (instate applicants only)	
<b>VIII. OTHER PERMITS</b>		
<input type="checkbox"/>	A. Copies of all Federal Basic Permits.	
<input type="checkbox"/>	B. Copy of Colorado PUC authority (Transportation applicants only)	
<b>IX. PRODUCT INFORMATION</b>		
<input type="checkbox"/>	A. IMPORTERS AND NONRESIDENT MANUFACTURERS	
	1. See PROCESSING DOCUMENT FOR ADDITIONAL FEES.	
	2. Sole Source of Supply/Brand Registration (DR 8440).	
	3. Copy of Geographic Territory Agreement (beer importers only): a properly executed and binding agreement showing, for each wholesaler, the designated products to be sold and territories assigned for product distribution.	
	4. Copies of federal brand label approvals.	
	5. If applicant is not the U.S. Primary Source, a letter of appointment from primary source.	
<input type="checkbox"/>	B. WHOLESALE	
	1. Disclose your Colorado licensed importer.	
	2. Copy of Geographic Territory Agreement (Beer only): a properly executed and binding agreement from the Colorado licensed manufacturer or importer showing the designated products being sold and territories for product distribution.	
<input type="checkbox"/>	C. INSTATE MANUFACTURERS	
	1. If not submitting labels for federal approval, submit labels for state approval.	
<b>X. APPLICATION REVIEW</b>		
<input type="checkbox"/>	A. Complete in all sections.	
<input type="checkbox"/>	B. Sign application.	



**12. Colorado Manufacturer, Wholesaler or Limited Winery** applicants, answer the following:

(a) Will the applicant store or sell alcoholic beverages at more than one location in Colorado? If yes, provide the address of each location and explain the activity to be conducted at each location (e.g., warehouse, salesroom, etc.).	Yes	No
	<input type="checkbox"/>	<input type="checkbox"/>
(b) If applicant is a wholesaler, does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the State of Colorado to sell malt, vinous or spirituous liquor? If yes, attach explanation in detail.	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the applicant have a valid Federal Basic Permit or Brewers Notice? If yes, attach a copy; if no, explain whether one has been applied for.	<input type="checkbox"/>	<input type="checkbox"/>

**Please use a separate sheet of paper for any explanations**

**13. Nonresident Manufacturer** (3.2% beer or malt liquor) or **Importer** (3.2% beer, malt, vinous or spirituous liquor) applicants, answer the following:

(a) To what Colorado licensed wholesaler do you intend to ship your merchandise? _____		
(b) Does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the State of Colorado to sell malt, vinous or spirituous liquor? If yes, attach explanation in detail.	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the applicant have a valid Federal Basic Permit or Brewers Notice? If yes, attach a copy; if no, explain in detail.	<input type="checkbox"/>	<input type="checkbox"/>
(d) Are you the primary source of supply in the U.S.? If no, explain.	<input type="checkbox"/>	<input type="checkbox"/>
(e) Are all your products registered in Colorado? If no, attach DR 8440 and register said products.	<input type="checkbox"/>	<input type="checkbox"/>

**Please use a separate sheet of paper for any explanations**

**14. Public Transportation System** license applicants, answer the following:

(a) How many vehicles; cars, buses, planes or other conveyances ("units") will serve alcoholic beverages in Colorado? _____		
(b) Does the applicant have possession by title or lease of all of the units described above?	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does applicant have PUC authority? (attach copy of authority)	<input type="checkbox"/>	<input type="checkbox"/>
(d) Where will liquor be stored? (list address)		
_____		
_____		

**OATH OF APPLICANT**

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

Authorized Signature	Title	Date
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**REPORT AND APPROVAL BY STATE LICENSING AUTHORITY**

<b>Each person required to file DR 8404-I</b>	Yes	No
a. Has been fingerprinted	<input type="checkbox"/>	<input type="checkbox"/>
b. Background and NCIC and CCIC check for outstanding warrants conducted	<input type="checkbox"/>	<input type="checkbox"/>

**For Wholesalers and Manufacturers only**

The liquor licensed premises has been inspected by an agent of the State Licensing Authority.

If "no", the building will be completed and ready for inspection by \_\_\_\_\_  
(date)

By	Title	Date
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