

Exhibit GG - Medicaid Behavioral Health Capitation Rate Trends and Forecasts

Capitation Rate Trends

Fiscal Year	Adults 65 and Older (OAP-A)	Disabled Individuals	Low Income Adults ⁽¹⁾	Expansion Parents & Caretakers	MAGI Adults	Eligible Children	Foster Care	Weighted Behavioral Health Total ⁽²⁾
FY 2007-08 Actuals	\$13.15	\$114.07	\$17.51	\$0.00	\$0.00	\$13.94	\$262.46	\$40.87
FY 2008-09 Actuals ⁽³⁾	\$13.37	\$121.31	\$18.18	\$0.00	\$0.00	\$14.34	\$251.88	\$39.96
% Change from FY 2007-08	1.67%	6.35%	3.83%	-	-	2.87%	-4.03%	-2.24%
FY 2009-10 Actuals ⁽³⁾	\$13.40	\$131.64	\$19.33	\$19.33	\$0.00	\$14.71	\$220.67	\$38.07
% Change from FY 2008-09	0.22%	8.52%	6.33%	-	-	2.58%	-12.39%	-4.72%
FY 2010-11 Actuals ⁽³⁾	\$13.79	\$139.14	\$20.94	\$20.94	\$0.00	\$15.41	\$195.38	\$37.27
% Change from FY 2009-10	2.91%	5.70%	8.33%	8.33%	-	4.76%	-11.46%	-2.11%
FY 2011-12 Actuals	\$13.89	\$140.82	\$21.69	\$21.69	\$100.85	\$16.33	\$179.30	\$36.58
% Change from FY 2010-11	0.73%	1.21%	3.58%	3.58%	-	5.97%	-8.23%	-1.85%
FY 2012-13 Actuals	\$13.66	\$140.28	\$21.89	\$21.89	\$100.98	\$16.75	\$171.85	\$36.73
% Change from FY 2011-12	-1.66%	-0.38%	0.92%	0.92%	0.13%	2.57%	-4.16%	0.43%
FY 2013-14 Actuals	\$13.96	\$144.99	\$23.98	\$23.98	\$79.38	\$17.22	\$174.80	\$40.27
% Change from FY 2012-13	2.20%	3.36%	9.55%	9.55%	-21.39%	2.81%	1.72%	9.62%
FY 2014-15 Actuals	\$14.22	\$146.82	\$25.97	\$26.01	\$55.53	\$19.20	\$215.41	\$40.83
% Change from FY 2013-14	1.86%	1.26%	8.30%	8.47%	-30.05%	11.50%	23.23%	1.39%
FY 2015-16 Q1 and Q2 Known Rate	\$15.20	\$127.51	\$25.21	\$20.47	\$58.87	\$19.47	\$171.97	\$39.91
% Change from FY 2014-15	6.89%	-13.15%	-2.93%	-21.30%	6.01%	-1.41%	-20.17%	-2.25%
FY 2015-16 Q3 and Q4 Estimated Rate	\$17.22	\$132.15	\$28.57	\$18.47	\$54.59	\$20.43	\$161.58	\$39.63
% Change from FY 2015-16 Q1 and Q2	13.29%	3.64%	13.33%	-9.77%	-7.27%	4.93%	-6.04%	-0.71%
% Change from FY 2014-15	21.10%	-9.99%	10.01%	-28.99%	-1.69%	6.41%	-24.99%	-2.95%
FY 2015-16 Estimated Weighted Average Rate ⁽⁴⁾	\$16.21	\$129.79	\$26.92	\$19.44	\$56.62	\$19.96	\$166.77	\$39.77
% Change from FY 2014-15	13.99%	-11.60%	3.66%	-25.26%	1.96%	3.96%	-22.58%	-2.59%
FY 2016-17 Q1 and Q2 Estimated Rate	\$16.41	\$132.68	\$27.54	\$19.89	\$57.92	\$20.42	\$171.64	\$40.48
% Change from FY 2015-16 Q3 and Q4 Rate	-4.70%	0.40%	-3.61%	7.69%	6.10%	-0.05%	6.23%	2.15%
% Change from FY 2015-16 Average Rate	1.23%	2.23%	2.30%	2.31%	2.30%	2.30%	2.92%	1.78%
FY 2016-17 Q3 and Q4 Estimated Rate	\$16.61	\$135.64	\$28.17	\$20.35	\$59.25	\$20.89	\$176.65	\$41.41
% Change from FY 2016-17 Q1 and Q2 Rate	1.22%	2.23%	2.29%	2.31%	2.30%	2.30%	2.92%	2.29%
% Change from FY 2015-16 Average Rate	2.47%	4.51%	4.64%	4.68%	4.65%	4.66%	5.92%	4.11%
FY 2016-17 Estimated Weighted Average Rate ⁽⁴⁾	\$16.51	\$134.18	\$27.86	\$20.12	\$58.98	\$20.71	\$171.81	\$40.95
% Change from FY 2015-16 Average Rate	1.85%	3.38%	3.49%	3.50%	4.17%	3.76%	3.02%	2.97%
FY 2017-18 Q1 and Q2 Estimated Rate	\$16.61	\$135.64	\$28.17	\$20.35	\$59.25	\$20.89	\$176.65	\$41.30
% Change from FY 2016-17 Q3 and Q4 Rate	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-0.26%
% Change from FY 2016-17 Average Rate	0.61%	1.09%	1.11%	1.14%	0.46%	0.87%	2.82%	0.85%
FY 2017-18 Q3 and Q4 Estimated Rate	\$16.81	\$138.66	\$28.82	\$20.82	\$60.61	\$21.37	\$181.81	\$42.04
% Change from FY 2017-18 Q1 and Q2 Rate	1.20%	2.23%	2.31%	2.31%	2.30%	2.30%	2.92%	1.79%
% Change from FY 2016-17 Average Rate	1.82%	3.34%	3.45%	3.48%	2.76%	3.19%	5.82%	2.66%
FY 2017-18 Estimated Weighted Average Rate ⁽⁴⁾	\$16.71	\$137.16	\$28.50	\$20.59	\$59.93	\$21.13	\$179.22	\$41.03
% Change from FY 2016-17 Average Rate	1.21%	2.22%	2.30%	2.34%	1.61%	2.03%	4.31%	0.20%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Weighted Behavioral Health Total is the weighted capitation rate distributed by Behavioral Health Organization (BHO) across each eligibility category based on the total number of claims processed (i.e. Elderly clients age 65 and over make up a percentage of all client claims, and each BHO services some subset of the total number of claims for Elderly clients).

³ The Department has adjusted the rates paid to the BHOs in FY 2008-09 through FY 2010-11 due to budget actions. The numbers provided, here, reflects the actuarial point estimates prior to budget actions and therefore do not match the numbers in Exhibit FF, which demonstrate the actual paid rates to the BHOs.

⁴ The weighted rate is derived by distributing the individual rates across the estimated proportion of caseload seen under the respective half years that the two rates are in effect.

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Capitation Rate Across Eligibility Categories								
Fiscal Year	Adults 65 and Older	Disabled Individuals	Low Income Adults	Expansion Parents & Caretakers	MAGI Adults	Eligible Children	Foster Care	Total
FY 2007-08 Average Caseload	36,284	56,079	60,031			204,022	17,141	373,557
Percentage of Total Caseload	9.71%	15.01%	16.07%			54.62%	4.59%	100.00%
FY 2007-08 Average Weighted Capitation Rate	\$13.15	\$114.07	\$17.51			\$13.94	\$262.46	\$40.87
FY 2008-09 Average Caseload	37,619	57,802	69,167			235,129	18,033	417,750
Percentage of Total Caseload	9.01%	13.84%	16.56%			56.28%	4.32%	100.00%
FY 2008-09 Average Weighted Capitation Rate	\$13.37	\$121.31	\$18.18			\$14.34	\$251.88	\$39.96
FY 2009-10 Average Caseload	38,487	60,313	83,094	3,238		275,672	18,381	479,185
Percentage of Total Caseload	8.03%	12.59%	17.34%	0.68%		57.53%	3.84%	100.00%
FY 2009-10 Average Weighted Capitation Rate	\$13.40	\$131.64	\$19.33	\$19.33		\$14.71	\$220.67	\$38.07
FY 2010-11 Average Caseload	38,921	64,052	89,513	27,167		302,410	18,393	540,456
Percentage of Total Caseload	7.20%	11.85%	16.56%	5.03%		55.95%	3.40%	100.00%
FY 2010-11 Average Weighted Capitation Rate	\$13.79	\$139.14	\$20.94	\$20.94		\$15.41	\$195.38	\$37.27
FY 2011-12 Average Caseload	39,740	67,869	101,451	35,461	1,134	334,633	18,034	598,322
Percentage of Total Caseload	6.64%	11.34%	16.96%	5.93%	0.19%	55.93%	3.01%	100.00%
FY 2011-12 Average Weighted Capitation Rate	\$13.89	\$140.82	\$21.69	\$21.69	\$100.85	\$16.33	\$179.30	\$36.58
FY 12-13 Average Caseload	40,827	71,859	108,383	41,545	10,634	368,079	17,777	659,104
Percentage of Total Caseload	6.19%	10.90%	16.44%	6.30%	1.61%	55.85%	2.70%	100.00%
FY 2012-13 Average Weighted Capitation	\$13.66	\$140.28	\$21.89	\$21.89	\$100.98	\$16.75	\$171.85	\$36.73
FY 2013-14 Average Caseload	41,836	76,837	139,456	47,082	87,243	424,377	18,267	835,098
Percentage of Total Caseload	5.01%	9.20%	16.70%	5.64%	10.45%	50.82%	2.19%	100.00%
FY 2013-14 Average Weighted Capitation	\$13.96	\$144.99	\$23.98	\$23.98	\$79.38	\$17.22	\$174.80	\$40.27
FY 2014-15 Average Caseload	41,817	80,641	178,728	71,989	241,392	495,836	20,036	1,130,439
Percentage of Total Caseload	3.70%	7.13%	15.81%	6.37%	21.35%	43.86%	1.77%	100.00%
FY 2014-15 Average Weighted Capitation	\$14.22	\$146.82	\$25.97	\$25.97	\$55.53	\$19.20	\$215.41	\$40.84
FY 2015-16 Average Caseload	42,235	86,050	186,059	85,399	317,851	530,671	19,796	1,268,061
Percentage of Total Caseload	3.33%	6.79%	14.67%	6.73%	25.07%	41.85%	1.56%	100.00%
FY 2015-16 Average Weighted Capitation	\$16.21	\$1297.00%	\$2692.00%	\$1944.00%	\$5662.00%	\$1996.00%	\$16677.00%	\$39.76
FY 2015-16 Q1 and Q2 Estimated Caseload	42,100	87,389	183,054	83,081	301,794	520,961	19,792	1,238,171
Percentage of Caseload	3.40%	7.06%	14.78%	6.71%	24.37%	42.08%	1.60%	100.00%
FY 2015-16 Q1 and Q2 Weighted Capitation Rate	\$15.20	\$127.51	\$25.21	\$20.47	\$58.87	\$19.47	\$171.97	\$39.91
FY 2015-16 Q3 and Q4 Estimated Caseload	42,368	84,710	189,062	87,716	333,908	540,379	19,800	1,297,943
Percentage of Caseload	3.26%	6.53%	14.57%	6.76%	25.73%	41.63%	1.53%	100.00%
FY 2015-16 Q3 and Q4 Weighted Capitation Rate	\$17.22	\$132.15	\$28.57	\$18.47	\$54.59	\$20.43	\$161.58	\$39.63
FY 2016-17 Average Estimated Caseload	42,831	87,647	201,832	90,649	345,496	558,771	19,806	1,347,032
Percentage of Total Caseload	3.18%	6.51%	14.98%	6.73%	25.65%	41.48%	1.47%	100.00%
FY 2016-17 Average Weighted Capitation	\$16.51	\$134.18	\$27.86	\$20.12	\$58.98	\$20.71	\$171.81	\$41.03
FY 2016-17 Q1 and Q2 Estimated Caseload	42,530	86,184	199,055	89,336	344,125	554,091	19,746	1,335,067
Percentage of Caseload	3.19%	6.46%	14.91%	6.69%	25.78%	41.50%	1.48%	100.00%
FY 2016-17 Q1 and Q2 Weighted Capitation Rate	\$16.41	\$132.68	\$27.54	\$19.89	\$57.92	\$20.42	\$171.64	\$40.48
FY 2016-17 Q3 and Q4 Estimated Caseload	43,131	89,110	204,608	91,961	346,867	563,450	19,866	1,358,993
Percentage of Caseload	3.17%	6.56%	15.06%	6.77%	25.52%	41.46%	1.46%	100.00%
FY 2016-17 Q3 and Q4 Weighted Capitation Rate	\$16.61	\$135.64	\$28.17	\$20.35	\$59.25	\$20.89	\$176.65	\$41.41
FY 2017-18 Average Estimated Caseload	43,469	91,634	210,360	94,501	347,685	583,320	19,785	1,390,754
Percentage of Total Caseload	3.13%	6.59%	15.13%	6.79%	25.00%	41.94%	1.42%	100.00%
FY 2017-18 Average Weighted Capitation	\$16.71	\$137.16	\$28.50	\$20.59	\$59.93	\$21.13	\$179.22	\$41.66
FY 2017-18 Q1 and Q2 Estimated Caseload	43,429	91,040	208,795	93,839	347,584	575,894	19,845	1,380,426
Percentage of Caseload	3.15%	6.60%	15.13%	6.80%	25.18%	41.72%	1.44%	100.00%
FY 2017-18 Q1 and Q2 Weighted Capitation Rate	\$16.61	\$135.64	\$28.17	\$20.35	\$59.25	\$20.89	\$176.65	\$41.30
FY 2017-18 Q3 and Q4 Estimated Caseload	43,507	92,227	211,921	95,163	347,784	590,745	19,724	1,401,071
Percentage of Caseload	3.11%	6.58%	15.13%	6.79%	24.82%	42.16%	1.41%	100.00%
FY 2017-18 Q3 and Q4 Weighted Capitation Rate	\$16.81	\$138.66	\$28.82	\$20.82	\$60.61	\$21.37	\$181.81	\$42.04