

Minor Business License Application 3-Year

Please Check:
 Horse
 Greyhound
 OTB Location:
 Minor

Providing false information on this application may result in denial, revocation, or other disciplinary action.

Some license types may require interviewing or testing.

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Date Received <input type="checkbox"/> Mail <input type="checkbox"/> Walk-in	CRC Rep	Location	License/Badge #
Cash \$	<input type="checkbox"/> New	<input type="checkbox"/> Renewal	PSR #
Check, Money Order # # \$	Fingerprint card(s) received <input type="checkbox"/> Yes <input type="checkbox"/> No		

Please make checks or money orders payable to the Division of Racing Events. By law, all insufficient fund checks returned to the Division will be assessed a penalty equal to the amount of the check plus \$41.00 in addition to any other penalty that may be assessed by the Commission or Division. **License application fees are nonrefundable.** All business licenses are conditioned upon satisfactory background investigation.

Other Licenses Required

Any personnel involved in racing operations and who access restricted areas are required to obtain occupational licenses. Please consult a Racing Division Official if you have questions regarding who should be licensed.

◆ Pursuant to Commission rules: All licensees or applicants for licensure shall notify the Division promptly of any change of address.

Business Support Category - Please write appropriate category in question #1 below.

- | | | | |
|--|---|--|---|
| <input type="checkbox"/> Concession Operator | <input type="checkbox"/> Feed Supplier | <input type="checkbox"/> OTB Facility | <input type="checkbox"/> Video Operator |
| <input type="checkbox"/> Photo Finish Business | <input type="checkbox"/> Tack Shop | <input type="checkbox"/> Satellite Corporation | <input type="checkbox"/> Tip Sheet Operator (independent) |
| <input type="checkbox"/> Training Track | <input type="checkbox"/> Other Support Services | <input type="checkbox"/> Racing Club | |

*Must include a Partnership/Corporation/Kennel/Stable Name form.

Please Print in ink or type. Answer all questions, if not applicable, write N/A.

1. Type of Business Support License		2. Email Address	
3. Name of Business Owner Requesting Badge		4. SSN of Business Owner Requesting Badge	
5. Full Legal Business Name		6. Trade Name(s) (If applicable)	
7. Mailing address for service of all papers and notices. ◆			Suite Number
City		State	ZIP
8. Business Phone	9. Cell Phone	10. F.E.I.N.	11. Colorado Sales Tax License #
12. State of Incorporation/Registration		Date of Incorporation/Registration	

If a Corporation, in what states is it authorized to do business?

13. Name of Principal Officers, Directors, Owners, General Partners and Managers

Position	Name	Address	Date of Birth	SSN

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14. Business Officers, Directors, Owners or Managers Relations

Yes No Do any of the Business' officers, directors, owners, partners, or managers have any relatives who work for the Colorado Racing Commission or employees of the Division of Racing?

If so, please list names:

Name	Relationship

15. License History

Yes No Are you presently licensed, or have you been licensed within the last five (5) years by any racing or gaming jurisdiction, including Colorado?

If you answered "Yes" to this question, provide the following information. Use additional paper if necessary.

State	Year Issued	License Occupation	State	Year Issued	License Occupation

16. Attachments

- A. Attach copy of lease agreement or contract which this business has with the Racing Association, if applicable.
- B. All Corporations/LLCs must appoint an Authorized Agent to act on their behalf. Such Agent must be licensed and be accessible to the Colorado Racing Commission and Division. An Appointment of Authorized Agent form must be submitted by Corporations/LLCs with this application.
- C. All Corporations/LLCs must attach a Certificate of Good Standing for the Corporation from the Secretary of State's office.

17. Background Information - Be specific. Omission could effect license

- Yes No A. Within the last **ten** years, has this business or its officers, directors, owners, partners, or managers had contact with law enforcement (been arrested, cited, charged with a crime).
- Yes No B. Within the last **ten** years, has the business or its officers, directors, owners, partners, or managers been convicted, entered a plea of guilty or no contest, or been fined for any criminal offense, either felony, misdemeanor, petty offense or local ordinance?
- Yes No C. Within the last **ten** years, has the business or its officers, directors, owners, partners or managers had any other Colorado licenses denied, revoked or suspended?
- Yes No D. Is the business or its officers, directors, owners, partners or managers delinquent in the payment of any taxes, interest, penalties or judgments owed to the State of Colorado?
If yes, please provide explanation.
- Yes No E. Within the last **ten** years, have any of the business' officers, directors, owners, partners or managers been placed under or on court supervision, probation or parole?
- Yes No F. Are any officers, directors, owners, partners or managers delinquent in payments for child support?
If yes, please provide explanation.

If "Yes" to any of the above questions, provide the following information. Use additional paper if necessary.

Name(s) of Business' Officers, Directors, Owners, Partners or Managers	Date of Charge/ Crime/Offense	County	State	Nature of Charge/Crime/Offense	Final Result

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18. Racing/Gaming History/Infractions

- Yes No A. Has the business or its officers, directors, owners, partners, or managers had a conviction for any gambling related offense?
- Yes No B. Has the business or its officers, directors, owners, partners, or managers racing or gaming license ever been denied or revoked?
- Yes No C. Have any of the business' officers, directors, owners, partners, or managers been placed under suspension for more than 7 days or been the subject of any alleged rule violation in this or any other racing or gaming jurisdiction?
- Yes No D. Has the business or its officers, directors, owners, partners, or managers ever been ruled off, suspended, or discharged for cause, or denied the privileges of a racetrack or gaming facility, by any commission or board?
- Yes No E. Has the business or its officers, directors, owners, partners, or managers ever had any other license regulated by a government agency suspended, revoked, denied or issued conditionally?

If "Yes" to any of the above questions, provide the following information. Use additional paper if necessary.

Date	State	Suspension, Denial, Revocation, Etc.	Restored
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

19. Kennel (Only) – Kennel Roster Must Be Completed Before License Will Be Validated.

Kennel Name	Owner's Last Name	First Name	Middle Initial
<input type="checkbox"/> Yes <input type="checkbox"/> No Is there a partnership involved?	Last Name	First Name	Middle Initial
<input type="checkbox"/> Yes <input type="checkbox"/> No Is there a partnership involved?	Last Name	First Name	Middle Initial

List all individuals, partnerships, or corporations in the kennel on the Partnership/Corporation/Kennel/Stable Name Form and include it with this application. All persons involved in a partnership must obtain an Owner's license. For corporations, only one corporate officer must obtain an owner's license and the authorized agent must be licensed. For LLC's, at least one managing partner and an authorized agent must be licensed. Written notice must be given to the Colorado Division of Racing Events for any change in ownership.

Last Name	First Name	M.I.	License Number	Last Name	First Name	M.I.	License Number
Last Name	First Name	M.I.	License Number	Last Name	First Name	M.I.	License Number

CERTIFICATION

By accepting any license issued pursuant to this application, I agree to be familiar with and comply with the provisions of the Colorado Racing Commission's regulations pertaining to racing (**which may include "for cause" or random drug and alcohol testing**), and laws of the United States and the State of Colorado, and the subdivisions thereof. I consent to allow personnel of the Division of Racing Events or authorized law enforcement agents to search, without warrant, my person, personal property, vehicle(s), and other work premises while within the racetrack, simulcast facility, other licensed premises, or any adjacent related facilities and premises thereto, pursuant to Commission rules. I understand that any investigation and any information submitted regarding this application are subject to the Open Records Act of Colorado, and I hereby waive any right of confidentiality. I authorize all reporting agencies and other law enforcement agencies to release to the Commission, the Division, or its agents, any information requested by them for completion of the background investigation and processing of this application. I consent to the release of the information contained in my application to law enforcement agents of this or any other state, or the U.S. government; and I understand that providing false information or failing to provide complete information on this application will justify either the Commission or the Division to assess a fine, refuse to issue, deny, suspend, revoke my license, or institute other disciplinary action. I understand that my fingerprints will be used to check the criminal history records of the Colorado Bureau of Investigation (CBI) and the Federal Bureau of Investigation (FBI).

In consideration for any of the investigatory agencies releasing any information concerning me contained within criminal history record files to either the Commission or the Division, or to each other, I, on behalf of myself, my spouse, legal representatives, heirs, and assigns, hereby release, waive, discharge and agree to hold harmless the Commission, the Division, investigating agencies, their officers and employees, from all liability for any claim of damage resulting from this information.

I understand and agree that any license I receive from the Division, issued pursuant to this application, shall be temporary and conditioned upon the Division rendering a final determination on my suitability to receive permanent licensure. Any limitation or condition upon my temporary licensure does not constitute a final determination, and is not appealable until I receive such a final determination from the Division. Until I receive such a final determination from the Division, I agree and consent to the license being **summarily denied** upon demand and notice provided to my address noted herein, subject only to my right to appeal such action to the Commission. All license badges remain the property of the Division, and shall be returned upon demand therefore by either the Commission or the Division. I understand that all license and application fees are nonreturnable whether or not I am approved for licensure.

I CERTIFY UNDER PENALTY OF PERJURY THAT THE STATEMENTS AND ANSWERS I HAVE PROVIDED IN THIS APPLICATION ARE COMPLETE AND TRUE AND THAT NO MATERIAL FACTS OR INFORMATION RELEVANT TO QUALIFICATION HAVE BEEN OMITTED.

Signature of Applicant/Authorized Agent	Date
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Title

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License #	PSR #
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