

## **Environment, Health and Safety**

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[Code of Ethics](#)

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## A. SUMMARY

United Technologies Corporation will not be satisfied until its workplace is safe from hazards, its employees are injury free, its products and services are safe, and its commitment to and record in protecting the natural environment are unmatched. UTC will make environment, health and safety (“EH&S”) integral components of all business processes that impact the products, services, and operations of UTC worldwide.

## B. APPLICABILITY

This Policy applies to the Corporation and the subsidiaries, divisions and other business entities it controls worldwide.

## C. POLICY

1. UTC’s Chief Executive Officer (“CEO”) establishes major policies, provides strategic direction, and establishes company-wide numeric goals and targets for implementation of UTC’s worldwide EH&S Program (consisting of the requirements, policies and procedures described in this Policy).
2. Each operating unit chief executive is responsible to the CEO for developing strategies for and ensuring implementation of the EH&S Program in his/her unit, and for assessing the effectiveness thereof.
3. UTC’s Vice President, Environment, Health and Safety provides functional leadership to the EH&S Program and establishes company-wide strategies, policies and standards; oversees company-wide implementation; audits conformance thereto; and reports results to the UTC Board of Directors, CEO, the UTC Senior Vice President and General Counsel, and external stakeholders. EH&S policies and standards may be published as UTC policies, standard practices, cardinal rules, minimum operating requirements, EH&S information standards, or other management directives.
4. Senior EH&S Officers for each operating unit shall provide functional leadership to the EH&S Program within his/her unit and shall advise the unit’s chief executive on implementation strategies, directives, policies and standards; develop additional policies and practices appropriate to the unit; monitor development and implementation of EH&S programs within the unit; and report progress to the chief executive and the UTC Vice President, Environment, Health and Safety. Each senior EH&S Officer shall identify operations within each unit that are subject to assurance reviews, and ensure that operations not subject to review comply with this Policy and the EH&S Program.
5. The UTC EH&S Council comprised of the Senior EH&S Officers and chaired by the UTC Vice President, Environment, Health and Safety, shall address emerging issues and risks affecting the Corporation; recommend strategic direction, policies, and standards; approve actions not requiring approval by senior line management, and evaluate UTC performance against this Policy. The Council shall establish an EH&S Management System, standard practices, and EH&S information systems. UTC’s EH&S Management System shall consist of the procedures described in D. below.
6. Operations and line managers are responsible and accountable for implementing the UTC EH&S Program.



## D. PROCEDURES

1. UTC's EH&S policy (Exhibit 1) defines our goals and the general conditions that all UTC businesses and employees are expected to comply with.
2. The UTC EH&S Management System includes 12 elements that support UTC's EH&S continuous improvement processes. Operating units shall adopt local procedures consistent therewith.
3. EH&S standard practices define minimum operating requirements for all UTC locations globally. Operating units shall adopt local procedures consistent therewith.
4. Operating units shall adopt procedures consistent with reporting, investigation, and review of serious injuries, fatalities, and serious environmental incidents. See E. below.
5. Operating units shall adhere to EH&S goals and metrics adopted in support of this Policy.
6. Operating units shall provide a workplace free from violence and the threat of violence.

## E. REFERENCES

- [Policy 33](#) – Fatality and Serious Injury/Incident Investigation & Reporting
- [Policy 52](#) – Serious Environmental Incident Reporting
- [Policy 53](#) – Product and Services Safety Program

## F. REVIEW

This Policy shall be reviewed every 2 years.

*(Last reviewed – June 2014)*



## EXHIBIT 1

### Environment, Health and Safety Policy

United Technologies Corporation will not be satisfied until its workplace is safe from hazards, its employees are injury free, its products and services are safe, and its commitment and record in protecting the natural environment are unmatched.

#### Objectives

- Eliminate all employee injuries by making the workplace free from hazards and unsafe actions.
- Drive pollutants in manufacturing processes to the lowest achievable levels.
- Conserve natural resources in the design, manufacture, use and disposal of products and delivery of services.
- Establish safety and environmental protection standards that both comply with local laws and go beyond, when necessary, to achieve the goals of this policy.
- Hold operating managers accountable for safety and environmental performance and for providing leadership and required resources.
- Ensure the security of employees at all UTC locations by creating a culture that does not tolerate workplace violence.
- Require all employees to comply with these standards.

#### Support

- Quantify safety and environmental goals, measure progress regularly and report progress to the UTC Board of Directors, employees and communities; and respond to suggestions and needs of others.
- Develop technologies and methods to assure safe and secure workplaces worldwide and to protect the environment, and promulgate these outside UTC.
- Make safety and environmental considerations priorities in new product development and investment decisions, and in our dealings with contractors and suppliers.
- Work with governments and industry associations to advance laws and regulations supporting these goals.