

# Home and Community-Based Services (HCBS) Children's Extensive Support (CES) Waiver

## A Summary of Department Engagement and Outcomes

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# Our Mission

Improving health care access and outcomes for the **people** we serve while demonstrating sound stewardship of financial **resources**

# HCBS-CES Waiver: Overview

- The HCBS-CES waiver serves children with an intellectual or developmental disability (IDD) or developmental delay who require a level of care comparable to that of an individual eligible for services through an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).
  - There is additional targeting criteria reviewed to determine eligibility for the HCBS - CES waiver.
- The services offered through the HCBS-CES waiver aim to ensure a child can remain living in the community, instead of in an ICF/IID.
- Regulations that govern this waiver are found at [10 CCR 2505 - 10 8.503.](#)

# HCBS-CES Waiver: Members and Services

## *Children in this program:*

- Are less than eighteen years of age
- Have been determined to have a developmental disability which includes developmental delay if under five (5) years of age
- Live in the family home
- Demonstrate a medical or behavioral condition that is so intense that almost constant line of sight supervision is required to keep the child and others safe
- Are determined to meet the Federal Social Security Administration's definition of disability

## *Services Available:*

- Adaptive Therapeutic Recreational Equipment and Fees
- Assistive Technology
- Community Connector
- Home Accessibility Adaptations
- Homemaker Services
- Parent Education
- Professional Services
- Respite Services
- Specialized Medical Equipment & Supplies
- Vehicle Adaptations

# HCBS-CES Waiver: Statistics

- The HCBS - CES Waiver serves 1,850 children across the state
  - There are 20 Community Centered Boards (CCBs) that provide intake and case management services for families and individuals accessing the HCBS - CES waiver
  - There is one Utilization Review Contractor (URC) that reviews the eligibility criteria for HCBS - CES waiver enrollment and ongoing assessments
- From July 2018 - January 2019 the URC reviewed and approved 1,250 applications
  - The URC denied 181 applications during this time frame
    - Did not meet nighttime criteria
    - Did not have a Intellectual and Developmental Disability or Developmental Delay Determination

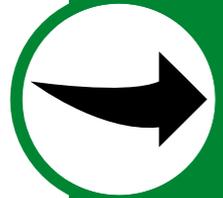
# Stakeholder Feedback



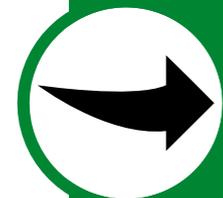
# HCBS-CES Waiver: Stakeholder Feedback

- Based on feedback received, the Department focused on three main areas for initial HCBS-CES waiver engagement:
  1. Develop plain language to ensure understanding of the targeting criteria for the HCBS - CES waiver
  2. Improve the enrollment process by reviewing the application
  3. Ensure consistency by reviewing roles and responsibilities and need for trainings

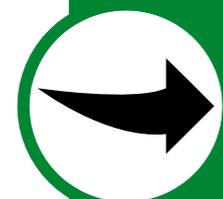
# Stakeholder Engagement



January 30, 2019 - Develop / Review Plain Language



March 27, 2019 - Review the HCBS-CES Application



April 16, 2019 - Identify Needed Trainings and Review Roles and Responsibilities

# Meeting #1: Develop/Review Plain Language

- Discussed need for plain language to be developed to help all stakeholders understand the targeting criteria for eligibility for HCBS-CES waiver
- Presented a draft document, “Children’s Extensive Support Program Information”, that explains the CES waiver program in a plain language manner
  - Took feedback on draft (not yet available)
  - Created an Operational Memo that breaks down the regulation section by section to provide Department guidance (not yet available)

# Meeting #2 - CES Application Review

- Historically, the CES waiver required the use of a separate application apart from the level of care (LOC) assessment completed by case managers with families
- The stakeholder group determined that the separate application is duplicative of the LOC assessment and burdensome to the enrollment and assessment process
  - The group decided to use a phased approach to eliminate the application's use

# Meeting #2- CES Application Review, continued

Phased approach to eliminate duplicative application:

1. Revise the application to only include the essential pages for continued use. Revised application will be implemented by the issuance of an Operational Memo.
2. Continue to work with stakeholders to implement needed trainings before eliminating the application. Trainings will ensure CCB case managers understand the documentation needs, and the URC contractor understands how to review the LOC assessment to identify the targeting criteria
3. After trainings are completed, the Department will issue another Operational Memo providing direction to stop using the CES application in its entirety.

# Meeting #3 - Identify Needed Trainings and Review Roles and Responsibilities

- Meeting scheduled for April 16, 2019
- Will review forthcoming changes, identify needed trainings, and outline approach to complete these trainings
- Reviewing roles and responsibilities will help clearly outline what is expected of each entity involved in the HCBS-CES waiver enrollment process and on-going reviews

# Outcomes from Stakeholder Engagement

Over 100 individuals reported interest in these meetings, and many joined in person and via webinar. The Department appreciates the time and participation of all who were involved at these meetings.

- Operational Memo #1 - Includes the “Children’s Extensive Support Program Information” document and specific Department guidance on section by section of the targeting criteria for eligibility regulations
- Operational Memo #2 - Will include a revised version of the CES application and guidance to begin using this shortened version until further notice
- Operational Memo #3 - Anticipated to be sent Summer 2019 to instruct stakeholders to eliminate the CES application in its entirety

# Outcomes from Stakeholder Engagement, continued

The Department appreciates stakeholder willingness to assist in problem solving the issues presented by stakeholders, while being aware of the constraints of the waiver.

The Department has reviewed the third-party documentation requirement for the HCBS-CES waiver and has determined:

- The process of collecting additional third-party documentation to corroborate a parent or guardians report of medical and/or behavioral needs adds unnecessary burden to families and case managers.
- There will be no need for a budget request to remove this requirement.
- CES waiver regulations need to be revised in order to implement this change.
  - The Department is committed to ensuring this change is completed

# HCBS – CES Waiver Contacts

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# Thank You!

