

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2009-10							
FY 2009-10 Q1 and Q2 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.29	\$134.37	\$21.14	\$15.61	\$237.49	\$21.14	
Estimated Monthly Caseload ⁽¹⁾	38,319	59,202	87,223	268,648	18,546	405	472,343
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2009-10 Q1 and Q2 Capitated Payments	\$3,055,557	\$47,729,836	\$11,063,365	\$25,161,572	\$26,426,937	\$51,370	\$113,488,637
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	97.63%	92.25%	92.77%	96.74%	98.55%	98.21%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,983,140	\$44,030,774	\$10,263,484	\$24,341,305	\$26,043,746	\$50,450	\$107,712,899
Estimated Expenditures for Prior Period Dates of Service	\$73,168	\$3,300,128	\$647,451	\$740,705	\$401,376	\$733	\$5,163,561
Estimated Expenditures in FY 2009-10 Q1 and Q2	\$3,056,308	\$47,330,902	\$10,910,935	\$25,082,010	\$26,445,122	\$51,183	\$112,876,460
FY 2009-10 Q3 and Q4 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15	\$21.76	
Estimated Monthly Caseload ⁽³⁾	38,792	59,894	106,106	286,962	18,884	444	511,082
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2009-10 Q3 and Q4 Capitated Payments	\$3,118,877	\$51,644,200	\$13,853,199	\$27,427,828	\$25,850,308	\$57,969	\$121,952,381
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,549,370	\$39,941,624	\$10,920,477	\$22,392,079	\$21,310,994	\$47,964	\$97,162,508
Estimated Expenditures for Prior Period Dates of Service	\$72,749	\$3,690,997	\$769,866	\$810,421	\$384,706	\$920	\$5,729,659
Estimated Expenditures in FY 2009-10 Q3 and Q4	\$2,622,119	\$43,632,621	\$11,690,343	\$23,202,500	\$21,695,700	\$48,884	\$102,892,167
Total Estimated FY 2009-10 Expenditures	\$5,678,427	\$90,963,523	\$22,601,278	\$48,284,510	\$48,140,822	\$100,067	\$215,768,627
Estimated FY 2009-10 Monthly Caseload	38,556	59,548	96,665	277,805	18,715	424	491,713
Estimated FY 2009-10 Per Capita Expenditure	\$147.28	\$1,527.57	\$233.81	\$173.81	\$2,572.31	\$236.01	\$438.81

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 3 and 4 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month, no claims will be paid in the month in which expenses were incurred.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2010-11							
FY 2010-11 Q1 and Q2 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15	\$21.76	
Estimated Monthly Caseload ⁽¹⁾	39,011	60,368	118,595	299,960	19,189	474	537,597
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2010-11 Q1 and Q2 Capitated Payments	\$3,136,484	\$52,052,912	\$15,483,763	\$28,670,177	\$26,267,822	\$61,885	\$125,673,043
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,563,762	\$40,257,722	\$12,205,850	\$23,406,333	\$21,655,192	\$51,204	\$100,140,063
Estimated Expenditures for Prior Period Dates of Service	\$568,981	\$11,467,363	\$2,886,969	\$5,025,324	\$4,541,678	\$10,005	\$24,500,320
Estimated Expenditures in FY 2010-11 Q1 and Q2	\$3,132,743	\$51,725,085	\$15,092,819	\$28,431,657	\$26,196,870	\$61,209	\$124,640,383
FY 2010-11 Q3 and Q4 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.61	\$154.99	\$22.60	\$16.39	\$221.05	\$22.60	
Estimated Monthly Caseload ⁽¹⁾	39,049	60,685	126,516	309,821	19,469	499	556,039
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2010-11 Q3 and Q4 Capitated Payments	\$3,188,741	\$56,433,409	\$17,155,570	\$30,467,797	\$25,821,735	\$67,664	\$133,134,916
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,606,477	\$43,645,599	\$13,523,736	\$24,873,909	\$21,287,438	\$55,985	\$105,993,144
Estimated Expenditures for Prior Period Dates of Service	\$572,576	\$11,507,387	\$3,251,171	\$5,258,130	\$4,610,917	\$10,681	\$25,210,862
Estimated Expenditures in FY 2010-11 Q3 and Q4	\$3,179,053	\$55,152,986	\$16,774,907	\$30,132,039	\$25,898,355	\$66,666	\$131,204,006
Total Estimated FY 2010-11 Expenditures	\$6,311,796	\$106,878,071	\$31,867,726	\$58,563,696	\$52,095,225	\$127,875	\$255,844,389
Estimated FY 2010-11 Monthly Caseload	39,030	60,526	122,555	304,891	19,329	487	546,818
Estimated FY 2010-11 Per Capita Expenditure	\$161.72	\$1,765.82	\$260.03	\$192.08	\$2,695.18	\$262.58	\$467.88

¹ This number is based on the projected average monthly caseload for the entire fiscal year, adjusted for the trended growth in caseload over the fiscal year.

² Exhibit EE, pages 3 and 4 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2 ⁽¹⁾	Paid in 10-11 Q3 and Q4 ⁽¹⁾
Incurring in all other previous periods	0.83%	-	-	-
Incurring in 08-09 Q3 and Q4	1.54%	0.83%	-	-
Incurring in 09-10 Q1 and Q2	97.63%	17.43%	0.83%	-
Incurring in 09-10 Q3 and Q4	-	81.74%	17.43%	0.83%
Incurring in 10-11 Q1 and Q2	-	-	81.74%	17.43%
Incurring in 10-11 Q3 and Q4	-	-	-	81.74%

Incurred But Not Reported (IBNR) Estimate for Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	4.38%	2.78%	1.71%	0.38%
Incurring in 08-09 Q3 and Q4	3.37%	1.60%	1.07%	1.33%
Incurring in 09-10 Q1 and Q2	92.25%	18.28%	1.60%	1.07%
Incurring in 09-10 Q3 and Q4	-	77.34%	18.28%	1.60%
Incurring in 10-11 Q1 and Q2	-	-	77.34%	18.28%
Incurring in 10-11 Q3 and Q4	-	-	-	77.34%

Incurred But Not Reported (IBNR) Estimate for Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	1.64%	-	-	-
Incurring in 08-09 Q3 and Q4	5.59%	1.64%	-	-
Incurring in 09-10 Q1 and Q2	92.77%	19.53%	1.64%	-
Incurring in 09-10 Q3 and Q4	-	78.83%	19.53%	1.64%
Incurring in 10-11 Q1 and Q2	-	-	78.83%	19.53%
Incurring in 10-11 Q3 and Q4	-	-	-	78.83%

¹ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month of the fiscal year, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.46%	-	-	-
Incurring in 08-09 Q3 and Q4	2.80%	0.46%	-	-
Incurring in 09-10 Q1 and Q2	96.74%	17.90%	0.46%	-
Incurring in 09-10 Q3 and Q4	-	81.64%	17.90%	0.46%
Incurring in 10-11 Q1 and Q2	-	-	81.64%	17.90%
Incurring in 10-11 Q3 and Q4	-	-	-	81.64%

Incurred But Not Reported (IBNR) Estimate for Foster Care

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.41%	-	-	-
Incurring in 08-09 Q3 and Q4	1.04%	0.41%	-	-
Incurring in 09-10 Q1 and Q2	98.55%	17.15%	0.41%	-
Incurring in 09-10 Q3 and Q4	-	82.44%	17.15%	0.41%
Incurring in 10-11 Q1 and Q2	-	-	82.44%	17.15%
Incurring in 10-11 Q3 and Q4	-	-	-	82.44%

Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.00%	-	-	-
Incurring in 08-09 Q3 and Q4	1.79%	0.00%	-	-
Incurring in 09-10 Q1 and Q2	98.21%	17.26%	0.00%	-
Incurring in 09-10 Q3 and Q4	-	82.74%	17.26%	0.00%
Incurring in 10-11 Q1 and Q2	-	-	82.74%	17.26%
Incurring in 10-11 Q3 and Q4	-	-	-	82.74%

¹ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month of the fiscal year, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	\$25,496	-	-	-
Incurring in 08-09 Q3 and Q4	\$47,672	\$25,693	-	-
Incurring in 09-10 Q1 and Q2	\$2,983,140	\$47,056	\$25,361	-
Incurring in 09-10 Q3 and Q4	-	\$2,549,370	\$543,620	\$25,887
Incurring in 10-11 Q1 and Q2	-	-	\$2,563,762	\$546,689
Incurring in 10-11 Q3 and Q4	-	-	-	\$2,606,477
Total Paid in Current Period	\$2,983,140	\$2,549,370	\$2,563,762	\$2,606,477
Total IBNR Amount	\$73,168	\$72,749	\$568,981	\$572,576
Total Paid for All Incurred Dates	\$3,056,308	\$2,622,119	\$3,132,743	\$3,179,053

Incurred But Not Reported (IBNR) Estimate for Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods ⁽¹⁾	\$1,649,912	\$1,297,384	\$737,579	\$166,491
Incurring in 08-09 Q3 and Q4	\$1,650,216	\$785,118	\$523,956	\$653,377
Incurring in 09-10 Q1 and Q2	\$44,030,774	\$1,608,495	\$765,268	\$510,709
Incurring in 09-10 Q3 and Q4	-	\$39,941,624	\$9,440,560	\$828,029
Incurring in 10-11 Q1 and Q2	-	-	\$40,257,722	\$9,515,272
Incurring in 10-11 Q3 and Q4	-	-	-	\$43,645,599
Total Paid in Current Period	\$44,030,774	\$39,941,624	\$40,257,722	\$43,645,599
Total IBNR Amount	\$3,300,128	\$3,690,997	\$11,467,363	\$11,507,387
Total Paid for All Incurred Dates	\$47,330,902	\$43,632,621	\$51,725,085	\$55,319,477

Incurred But Not Reported (IBNR) Estimate for Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	\$131,317	-	-	-
Incurring in 08-09 Q3 and Q4	\$516,134	\$151,424	-	-
Incurring in 09-10 Q1 and Q2	\$10,263,484	\$618,442	\$181,439	-
Incurring in 09-10 Q3 and Q4	-	\$10,920,477	\$2,705,530	\$227,192
Incurring in 10-11 Q1 and Q2	-	-	\$12,205,850	\$3,023,979
Incurring in 10-11 Q3 and Q4	-	-	-	\$13,523,736
Total Paid in Current Period	\$10,263,484	\$10,920,477	\$12,205,850	\$13,523,736
Total IBNR Amount	\$647,451	\$769,866	\$2,886,969	\$3,251,171
Total Paid for All Incurred Dates	\$10,910,935	\$11,690,343	\$15,092,819	\$16,774,907

¹ Complete runout for claims on Disabled clients takes approximately 42 months. The paid totals presented here do not account for the entirety of incurred claims as some claims will be paid in future periods beyond this request.

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$96,115	-	-	-
Incurred in 08-09 Q3 and Q4	\$644,590	\$105,897	-	-
Incurred in 09-10 Q1 and Q2	\$24,341,305	\$704,524	\$115,743	-
Incurred in 09-10 Q3 and Q4	-	\$22,392,079	\$4,909,581	\$126,168
Incurred in 10-11 Q1 and Q2	-	-	\$23,406,333	\$5,131,962
Incurred in 10-11 Q3 and Q4	-	-	-	\$24,873,909
Total Paid in Current Period	\$24,341,305	\$22,392,079	\$23,406,333	\$24,873,909
Total IBNR Amount	\$740,705	\$810,421	\$5,025,324	\$5,258,130
Total Paid for All Incurred Dates	\$25,082,010	\$23,202,500	\$28,431,657	\$30,132,039

Incurred But Not Reported (IBNR) Estimate for Foster Care

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$122,691	-	-	-
Incurred in 08-09 Q3 and Q4	\$278,685	\$109,866	-	-
Incurred in 09-10 Q1 and Q2	\$26,043,746	\$274,840	\$108,350	-
Incurred in 09-10 Q3 and Q4	-	\$21,310,994	\$4,433,328	\$105,986
Incurred in 10-11 Q1 and Q2	-	-	\$21,655,192	\$4,504,931
Incurred in 10-11 Q3 and Q4	-	-	-	\$21,287,438
Total Paid in Current Period	\$26,043,746	\$21,310,994	\$21,655,192	\$21,287,438
Total IBNR Amount	\$401,376	\$384,706	\$4,541,678	\$4,610,917
Total Paid for All Incurred Dates	\$26,445,122	\$21,695,700	\$26,196,870	\$25,898,355

Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$0	-	-	-
Incurred in 08-09 Q3 and Q4	\$733	\$0	-	-
Incurred in 09-10 Q1 and Q2	\$50,450	\$920	\$0	-
Incurred in 09-10 Q3 and Q4	-	\$47,964	\$10,005	\$0
Incurred in 10-11 Q1 and Q2	-	-	\$51,204	\$10,681
Incurred in 10-11 Q3 and Q4	-	-	-	\$55,985
Total Paid in Current Period	\$50,450	\$47,964	\$51,204	\$55,985
Total IBNR Amount	\$733	\$920	\$10,005	\$10,681
Total Paid for All Incurred Dates	\$51,183	\$48,884	\$61,209	\$66,666