Employee Legal Name:_____ **Division:** _____

Employee ID Number: _____ **Date:** _____

CDHS Fraud Policy Certification Quiz

Please fax or email completed quizzes to the CDHS Audit Division at (303) 866-7332 or Rebecca.Lembke@state.co.us.

1) Fraud prevention, detection, and deterrence is the responsibility of:
   a) The Audit Division
   b) Executive management only
   c) Local law enforcement
   d) All CDHS employees and community partners

2) While preparing financial statements, Bobby mistakenly understates expenses causing the organization to appear more profitable than it really is. Which of the following statements is most **TRUE**:
   a) This instance is non-fraudulent because Bobby had no intent to cause financial harm
   b) This is considered financial statement fraud and Bobby should reprimanded
   c) Regardless of whether this is fraud or not, Bobby made false statements and should lose his job
   d) Bobby should be allowed to keep employment as long as he promises never to make the mistake again

3) You overhear a co-worker bragging about how much overtime she is receiving for overstating her hours on timesheets. You have no proof of these conversations. What should you do:
   a) Report the fraud allegation to your immediate supervisor or the CDHS Audit Division
   b) Ask her to help you overstate your time too
   c) Nothing – You have no obligation to report fraud
   d) Call Channel 7 to report her

4) Which of the following statements about fraud is **TRUE**:
   a) Failure to report known or suspected fraud to the Audit Division and CDHS management may result in corrective or disciplinary action
   b) If an investigation determines that a whistleblower also committed fraud, the Department may take corrective or disciplinary action against the whistleblower, up to and including termination
   c) False reporting of fraud for the purpose of getting revenge against a co-worker may result in corrective or disciplinary action, up to and including termination
   d) All the above

5) Which of the following is **NOT** a reason the Department has a fraud policy?
   a) To maintain the reputation and public trust of the Department
   b) To utilize as an important tool in the reduction of fraud in the workplace
   c) To give employees more paperwork to read
   d) To help promote an environment of accountability for public monies and resources

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6) Failure to report known or suspected fraud can lead to:
   a) Disciplinary action
   b) Termination
   c) Corrective action
   d) All of the above

7) **True/False:** Suspected fraud cannot be reported. Employees must be 100% positive that the fraud has taken place before reporting it to CDHS management or the CDHS Audit Division.

8) What is the most common detection method of fraud?
   a) Internal audit
   b) A tip
   c) By accident
   d) Management Review

9) Throughout an investigation, the CDHS Audit Division and CDHS management may search an employee's:
   a) Desks
   b) Trash cans
   c) Internet usage information
   d) All of the above

10) **True/False:** According to the Fair Credit Reporting Act, CDHS may conduct an investigation prior to notification of the subject of the investigation.