DR 9025 (04/18/13)

COLORADO DEPARTMENT OF REVENUE
Division of Racing Events
1881 Pierce Street, Suite 108
Lakewood, Colorado 80214-1494

Renewal Background Information Form Personal History Information

Note: Providing false information or the omission of information on this application and/or renewal may result in denial, revocation, and/or other disciplinary action.

Hand print or type an answer to every question. If a question does not apply to you, so state with N/A. If space available is insufficient, use a separate sheet and precede each answer with the appropriate page and title. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. Applicant must initial each page, as provided in the lower right hand corner. By placing his/her initial on each page, the applicant is attesting to the accuracy and completeness of the information contained on that page. All applicants are advised that this Personal History Information is an official document and misrepresentation or failure to reveal information requested may be deemed

to be sufficient cause for the denial, suspension, fine, or revocation of a license.

All applicants are further advised that an application for a racing license may not be withdrawn without the permission of the Colorado Division of Racing Events.

Failure to fully complete this application may result in the application not being processed, and may result in the application being returned to the applicant for completion, or may result in denial of a license.

Applicant Please Note: this application has been designed to allow the Division to determine your suitability for licensure. However, the division's investigation may require you to submit additional information in support of your application, any additional information requested must be provided in a timely manner or your application for license may be denied.

or failure to	icveal illioill	iation requeste	a may be	accilica	uniery ma	aririer o	i youi	application	ioi licei	ise may be deflied.
1. General	nformation				1					
Date		Type of ra	acing licen	se	☐ Key	☐ Bu	siness	 S		
2. Trade Na	me	,								
Submitted in Trade name:	connection wit	h application for	racing licen	se			,			
3. Business	Applicants	Only					,			
I represent a	a	% ownersh	ip of this b	ousiness.						
4. Key Emp	loyee Inforn	nation			1		,	1		
operation, a	ind require c se submit a	/ participate in ontinuing acce Key Employe	ss to restr	icted area	as at the fa	cilities		ng or OTB	}	☐ Yes ☐ No
	Information									
Last name			First name			M.I.	En	nail address		
Alias (i.e. nickr	ames, maiden n	ame, other name o	hanges)		Date of Birth	ำ	·	SS	N	
Present Reside	ence Address					City				
County			State		ZIP			Sir	nce (date)
Present Busine	ess Address					City				
County			State		ZIP			Sir	nce (date)
Occupation					Business Ph	none		Re	sidence l	Phone
Place of Birth (city)				Place of Bir	th (state))	Pla	ace of Bir	th (country)
Sex Co	olor of Hair	Color of Eyes	Weight	Height	Driver's Lice	ense Nur	nber			State of Issue
List all other	social secur	ity numbers use	ed and FE	IN obtaine	d by applic	cant				<u> </u>
SSN			SSN				FE	EIN		
Scars, Tattoos	or Distinguishing	Marks and/or Cha	racteristics							
Are you a citizen of the United States:				If "NO" provide documentation of authorization work in the United States and Alien Registration Number.						
Documentation	of Authorization	to Work in U.S.					Ali	ien Registration	on Numb	er
If Naturalized,	Certificate Numb	per		Place			ate			Applicant's Initials
License #				ı	PSR#					

6. Marital Info	rmation											
☐ Single		Marrie	ed	☐ Sep	arated		Divorce	ed	☐ Wide	owed	☐ Enga	aged
Children												
Last Name		Fi	irst Name	е		MI	Da	te of Birth		Relationship	Involved in F	Racing
Last Name		Fii	irst Name	Э		MI	Da	te of Birth		Relationship Involved in Racing		Racing
Last Name		Fii	irst Name	е		MI	Da	te of Birth		Relationship Involved in Racing		Racing
Last Name		Fi	irst Name	9		MI	Da	te of Birth		Relationship	Involved in F	Racing
7. Arrests, De	tentions,	and Liti	igation	ns (Include 1	those ar	rrests ir	n which y	ou were	not convi	icted)		
Have there bee	n any chan	nges in th	his area	a in the last th	hree (3)	years?	☐ Yes	s \square N	o If no,	do not co	mplete this	section.
event (exc give details	fense or vi ept MINOI	iolation R traffic	for any	ained, charg reason whons, but incluw. List all ca	atsoeve iding red ases wit	er, regar ckless of hout ex	dless of driving, Dace	the dispo	osition of	the	☐ Yes	□ No
Date of Arrest	Age		Char	ge	С	ity, Cou	ınty, State	е	Dispo	sition	Arresting	Agency
B. Have you					co-consp	oirator?					☐ Yes	☐ No
	ever been related offe	questionense, by	ned, in y a city,		ite, or fe	deral la	aw enford	cement a		racing or	☐ Yes	□ No
D. Has any m convicted of If Yes, comp	ember of yof a felony	your imr ?	-						ly ever be	een	☐ Yes	□ No
	Name			Relationsh	iip	(Charge		Loca	ition	Da	te
Applicant's Initials	:											
License #						PSR	#					

							oration, ever beer st you (other than	
	Yes \square	No If yes,	give details be	elow. List all ca	ses without exc	eption, includin	ng bankruptcies:	
	Plaintiff/Def	endant	Court and C	ase Number	City, Cou	nty, State	Dispositi	on
	ployment				10.15			
Hav	ve you ever b	een fired or as	ked to resign t	rom any emplo	yment? If yes p	olease explain.	☐ Yes	i ∐ No
	set/Liability l							
Have If no	there been a	ny changes in ete this sectior	this area in the	e last three (3)	years?		☐ Yes	□ No
A.	Do you own	or control any	racing or gam	ning related ass	ets or liabilities	located within	or	□ No
_		United States,	whereby you	receive, or will i	eceive, any be	nefit?		
De	scribe:							
B.	to, a race tra		reyhound, lotte	ery, casino, bod		luding but not lination, card room		□ No
	When		here	% of Interest	Rusines	ss Name	Business Lo	cation
				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
C.	Do you con	trol manage o	r hold in truct o	ny raoina or ac	mina acceta o	r liabilities for ar	acthor —	
<u> </u>				n separate she		liabilities for at	Yes	i ∐ No
10. Li	icense Refus	al Information	n					
Have	there been an	y changes in thi	is area in the las	st three (3) years	?	☐ No If no,	do not complete tl	nis section.
Have	you ever bee	n refused a rac	ing or gaming	license or relate	ed finding of sui	tability or been a	a Yes	□ No
•	ipant in any gr scribe:	oup which has	been denied a	racing or gamin	g license or rela	ated finding of su	ultability?	
	SCHDC.							
			-	-	-			
Applica	ant's Initials:							
License	e #			P	SR#			

11. Family Association/Interest								
Have there been any changes in th	is area in the last thr	ree (3) yea	ars? If no, do not co	mplete this	section.	☐ Yes	\square No	
A. Is there anyone in your imme interest in, or is otherwise en and Gaming)? If yes, comple	nployed in the racin	•				☐ Yes	□ No	
Name Relation Address Association or Employm								
B. Does anyone in your immedia liabilities located within or ou benefit? If yes, complete the	tside the United Sta					☐ Yes	□ No	
Name	Relation			Asset/Liabi	lity			
12. Assignment/Pledge/Transfe	eral Information							
Have there been any changes in th	is area in the last thr	ee (3) yea	ars? If no, do not co	mplete this	section.	☐ Yes	\square No	
Has your interest in this racing any person, firm, or corporation to be assigned, pledged or solo	n, or has any agree	ment bee				☐ Yes	□ No	
If yes, explain:								
п усо, схріані.								
13. Bankruptcy Information								
Have there been any changes in th	is area in the last thr	ee (3) yea	ars? 🗌 Yes 🗌	No If no	o, do not co	mplete this	s section.	
Have you ever filed for bankruptcy?	If ves furnish deta	ils on a se	enarate sheet			☐ Yes		
14. Evidence of Financial Stab i Required by [C.R.S. 12-60-504 (3)		3 /16				-		
A. Annual Income		5.410						
Salary (describe source)					\$			
,								
Interest (describe source)					\$			
Dividends (describe source)					\$			
Other (describe in detail)					\$			
					\$			
					\$			
Applicant's Initials:					<u> </u>			
License #			PSR#	1			,	

Have there been any changes in this area in the last three (3) years? If no, do not complete this section.	☐ Yes ☐ No
B. Detail below or on a separate sheet the amount of your interest in the subject busing and source(s) of your initial investment and the amount and source(s) of your current descriptions of any funds, assets, or other items used as security for your investment.	ent investment. Include detailed
Have there been any changes in this area in the last three (3) years? If no, do not complete this section.	☐ Yes ☐ No
C. Detail below or on a separate sheet all outstanding debts owed by you, your de members, to any person or entity that is involved or associated with, any racing or ga	
Applicant's Initials:	
License # PSR #	

Schedule A

Statement of Assets



List all assets, both tangible and intangible on the appropriate line below. Enter the amount as of the date of this statement. Each listed asset must be described fully on the appropriate schedule.

Date:		
Current Assets		
	Original Cost/Investment	Market Value
Cash on Hand	\$	\$
Cash in Banks	\$	\$
Accounts and Notes Receivable	\$	\$
Investments		
Stocks and Bonds	\$	\$
Business Investments	\$	\$
Fixed Assets		
Real Estate	\$	\$
Other Assets (Cars, Etc.)		
	\$	\$
	\$	\$
	\$	\$
	\$	\$
	\$	\$
	\$	\$
	Total Assets	\$

Applicant's Initials:	
License #	PSR#

Schedule B

Statement of Liabilities



List all liabilities, both tangible and intangible on the appropriate line below. Enter the amount as of the date of this statement.

Date:							
Current Liabilities (Debts due and pa	Current Liabilities (Debts due and payable within one year)						
Accounts Payable (credit cards, etc.)	\$						
Taxes Payable	\$						
Long Term Liabilities (Debts due and	d payable in more than one year)						
Notes Payable	\$						
Mortgages Payable	\$						
Other Liabilities	\$						
Total Liabilities	\$						
Net Worth	\$						
	(Assets - Liabilities = Net Worth)						

Applicant's Initials:	
License #	PSR#

Schedule C

License #

Authorization and Release



the Colorado Bureau of Investigation, the Colorado Attorney General, the Colorado Racing Commission and the Division of Racing Events (hereinafter collectively the "investigatory agencies") to obtain from any source, and to share with each other and release to the Racing Division, any information concerning me contained in any type of criminal history record files, wherever located. I understand that the criminal history record files contain records of arrests which may have resulted in a disposition other than a finding of guilty (i.e., dismissed charges, or charges that resulted in a not guilty finding). I further understand that the information may contain listings of charges that resulted in suspended imposition of sentence, even though I successfully completed the conditions of said sentence and was discharged pursuant to law. I acknowledge that this type of information may be released to the agencies listed above, even though this record may be designated as "confidential" or "nonpublic" under the provisions of state or federal laws.							
In consideration for any of the investigatory agencies releasing any information concerning me contained within criminal history record files to the Colorado Racing Division, or to each other, I, on behalf of myself, my spouse, legal representatives, heirs, and assigns, hereby release, waive, discharge and agree to hold harmless the commission, the division, the investigatory agencies, their officers and employees, from all liability for any claim or damages resulting from the release of this information to the investigatory agencies.							
Applicant Signature	Date	Time	A.M.				
City		State	☐ P.M.				
Witness Signature							
Witness Signature							
Applicant's Initials:							

PSR#

Authority for Release of Information and Records



То	Colorado Racing Division	From	

- 1. I hereby authorize and request all persons to whom this request is presented having information relating to or concerning the above named applicant to furnish such information to a duly appointed agent of the Colorado Division of Racing Events, or the Colorado Bureau of Investigation, whether or not such information would otherwise be protected from the disclosure by any constitutional, statutory or common law privilege.
- 2. I hereby authorize and request all persons to whom this request is presented having documents relating to or concerning the above named applicant to permit a duly appointed agent of the Colorado Division of Racing Events, or the Colorado Bureau of Investigation to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
- 3. If the person to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of the same, I hereby authorize and request that a duly appointed agent of the Colorado Division of Racing Events, or the Colorado Bureau of Investigation be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to me, including but not limited to past loan information, notes co-signed by me, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
- **4**. I do hereby make, constitute, and appoint any duly appointed agent of the Colorado Division of Racing Events, or the Colorado Bureau of Investigation, my true and lawful attorney in fact for me in my name, place, stead, and on my behalf and for my use and benefit for the following purposes only:
 - (a) To request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person to whom this request is presented as I might;
 - (b) To name the person or entity to whom this request is presented and insert that person's name in the appropriate location on this request:
 - (c) To place the name of the agent presenting this request in the appropriate location on this request.
- **5.** This release ends twelve (12) months from the date of execution.
- 6. The above named applicant has filed with the Colorado Racing Division an application for a racing license. Said applicant understands that it is seeking the granting of a privilege and acknowledges that the burden of proving its qualifications for a favorable determination is at all times on the applicant. Said applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss which may result from action with respect to this application.
- 7. The applicant does, for itself, its heirs, executors, administrators, successors, and assigns, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all and all manner of actions, causes of action, suits, debts, judgements, executions, claims, and demands whatsoever, known or unknown, in law or equity, which the applicant ever had, now has, may have, or claims to have against the person to whom this request is being presented or his agents or employees arising out of or by reason of complying with the request.
- 8. The applicant agrees to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
- **9.** A Division reproduction of this request (photocopy or similar process) shall be for all intents and purposes as valid as the original.

Signature		Date Signed Date Signed			
Signature of Agent Representing	Request				
Printed Last Name	First Name	Middle Initial	Other Name	es Used	
Date of Birth	SSN		Home Telep	hone Number	
Address	City		State	ZIP	
Applicant's Initials:					
License #		PSR#			

Schedule E

Individual's Waiver of Liability



I	evenue, the Division entalities and agents, or publication in any terial or information
Signature of Person Named Above	Date

Applicant's Initials:	
License #	PSR#

Schedule F

Oath and Affirmation



State of	County of	
belief and that these statements are executed we to reveal information requested may be deemed license by the State of Colorado. Further, that I amisrepresentation made in the above statement license. I further state that I am voluntarily submitted.	s may be grounds for the revocation of a racing nitting this application to the Colorado Racing it I may be charged with perjury or other crimes for	
Applicant Signature	Date	
Applicant's Initials:		_
License #	PSR#	