

**CHAPTER 11**  
**--- ASSOCIATIONS/SIMULCAST FACILITIES ---**

**100's --- GENERAL PROVISIONS (HORSE AND GREYHOUND)**

**11.100** - Associations shall comply with all federal, state and municipal laws and cooperate with law enforcement agencies in order to insure the integrity of racing and in order to maintain all areas of racetracks and simulcast facilities in a safe and clean manner.

**11.102** - As a condition of licensing, associations are expected to enforce these Rules.

**200's --- APPLICATION PROCEDURES (HORSE AND GREYHOUND)**

**RACE/SIMULCAST DATES**

**11.200** - Applications for live or simulcast racing dates must be in the Division Office on or before a date set by the Commission. All contracts for simulcast races must specify the date simulcasting is proposed to commence and the date simulcasting is proposed to end for each individual contract. Any association applying for live or simulcast race dates should be aware of the provisions of the law.

**RACE/SIMULCAST MEET APPLICATIONS**

**11.210** - Renewal applications must be on file with the Commission sixty (60) days prior to the opening of a meet and shall contain the names of all racing officials, first aid room staff for the host association and simulcast facilities which are employed by the association for Commission approval; and other persons as the Commission may designate because of their importance in the conduct of racing. Once approved, any changes in the above personnel must be submitted to the Division Director or designee for further approval.

**11.211** – At the time of racetrack application, each association shall propose take-out rates for all pari-mutuel wagers. The Commission may reconsider take-out rates during a meet to reflect the association licensure costs.

**11.212** - An application to receive simulcast programs must be filed with the Commission 60 days prior to the proposed beginning of the simulcast race meet. Prior approval must be obtained from the Commission.

**COMMENCEMENT OF RACE/SIMULCAST MEET**

**11.220** - Any person who has been granted an initial race meet license or who has been approved to conduct a simulcast race meet must conduct such meet within a period of one (1) year after the granting of such license or approval. If a meet is not conducted within one (1) year, the license or approval shall expire.

**11.222** - Any association who has conducted a live or simulcast race meet who does not submit a renewal application for a live or simulcast race meet within the next calendar year will be deemed to have relinquished the original license or approval for the live or simulcast race meet.

**11.224** - An association shall submit to the Division the names of all persons it employs who would be subject to licensure at both the live track and all simulcast facilities, not more than fourteen (14) days after requested by the Division. Thereafter, during the duration of the meet or its operation as a simulcast facility, the association shall submit a list of changes, including new hires or terminations, to the Division in a time frame which coincides with the regular payroll period of the association.

**11.226** - Each association must provide the Division a copy of their current condition sheet at least twenty (20) days prior to the opening of their greyhound live race meet. After the start of a greyhound live race meet, any alteration of a provision listed in the association's condition sheet must be approved by the Division. A condition sheet shall contain but not be limited to the following information relating to the individual track's requirements concerning race matters - unofficial schooling, official schooling, grading and qualifying rules, maiden entries, papers turned in and exchange policy, bertillons, purse information and point system, weekly racing schedule, weights, judges' schooling comments and bad lines.

**11.228** - Associations shall not employ any person under the age of eighteen (18) years of age at a live track or simulcast facilities as a mutuel clerk or as an employee of the mutuel department.

### ***RACING SCHEDULE***

**11.230** - Each association shall conduct racing at the time, place and date(s) as indicated in the license application unless the Division veterinarian or director in consultation with the Commission deems the track or facility to be unfit for racing.

### ***REPORTS***

**11.240** - Host Associations and each simulcast facility acting as a hub shall make a daily report to the Division on forms approved by the Division showing a breakdown of the mutuel handle, and any other requested mutuel information for the host association as well as all simulcast facilities receiving the broadcast.

**11.242** - Associations shall supervise the activities of sellers of merchandise or services who have entry to the racetrack or simulcast facility but shall not, by virtue of this rule or otherwise, restrict the free enterprise of business when properly conducted.

**11.244** - Solicitation or sale of tickets or collections for any organization, charitable or otherwise, shall not be permitted at the racetrack or simulcast facility without prior approval of the Commission or Director.

**11.246** - Each association shall submit to the respective breeder organizations at the end of each race meet accurate records of Colorado bred horses that win races at their respective racetracks as well as money won.

**11.248** - Each association shall submit to the Division accounting records and a check for the total amount of unclaimed ticket money one (1) year after the end of each race meet or simulcast race meet for deposit in the Colorado horse breeders and owners awards and supplemental purse fund.

***300's --- FINANCIAL REQUIREMENTS (HORSE AND GREYHOUND)***

***INSURER OF THE RACE MEETING***

**11.300** - Associations conducting a live meet shall keep a separate bank account to be known as the "Horsemen's Account" with sufficient funds in the account to cover all monies due horsemen in regard to purses, stakes, rewards, claims, and deposits. Any interest accrued from this account does not belong to the association and shall be used exclusively for supplementing purses at the meet. Withdrawals from this account shall be subject to audit by the Division.

**11.302** - Pursuant to section 12-60-702, C.R.S., horse purse funds payable by a licensee or operator shall be retained by the licensee or operator in a trust account in a commercial bank located in Colorado until such date as the purse funds are paid to the horse owners. With the prior approval of the Commission, the operator of a horse track may withdraw moneys from such trust account to make up for shortfalls in the amounts of revenue derived from other sources which were reasonably anticipated to cover payments made on purses during a licensed race meet held at such track in the current year or a prior year.

***BOND REQUIREMENTS***

**11.310** - In lieu of the surety bond required by Law to conduct a meet, the licensee may deliver to the Commission evidence of a savings account, deposit or certificate of deposit meeting the requirements of 11-35-101 and 12-60-509(2.5)(a), C.R.S., as amended. This savings account, deposit or certificate of deposit shall be assigned to the Commission, on a form approved by the Commission for a period of fifteen (15) months from the effective date of the license. During the period of assignment, the interest earned on this account, or deposit may be paid to the licensee only when an amount equal to the maximum penalty and interest that will be forfeited due to withdrawal prior to termination or maturity of the account or deposit is accrued and retained in the account or deposit.

**11.312** - If an organization representing the majority of the owners of racing animals participating in any race meet request the licensee conducting such race meet to provide a surety bond, the association shall deliver to the Commission evidence of a bond meeting the requirements of 11-35-101 and 12-60-509(2), C.R.S.

**11.314** - Surety bonds or alternatives posted by licensees in accordance with the Law shall be assignable to the Commission or its assigns upon written request by the director or his agent to the holding institution. Any change in form, status or otherwise in the surety bond or its alternative by the licensee, its assigns or by the holding institution must be approved in writing by the Commission thirty (30) days prior to the change. Failure to do so is grounds for revocation of the license.

**11.316** - The period of liability of the licensee shall be fifteen (15) months from the effective date of the original or renewal license.

**11.318** - The form assigning any savings account deposit or certificate of deposit shall be approved as to form by the Attorney General's Office.

### ***DISPOSAL OF ASSETS***

**11.320** - No association shall sell, mortgage, or otherwise pledge or dispose of ten (10) percent or more of the total amount of racing assets listed and described on an application for a license or renewal license without thirty (30) days' prior written notice to the commission.

The commission may approve or disapprove the disposition of the assets upon good cause shown.

The total dollar value of racing assets subject to this rule section shall be listed separately on the balance sheet of the financial statements submitted as part of a license or renewal license application.

### ***CAPITAL IMPROVEMENTS FUND***

**11.330** - All monies collected pursuant to Section 12-60-702(1), C.R.S., as amended and expenditures of said monies shall be reported to the Commission on an annual basis commencing with the date said monies revert to the licensee. For the purposes of this rule, capital improvements shall be deemed to be improvements to business assets which will be used for more than one year if the improvements add to the value of an asset, appreciably lengthen the time that an asset may be used, or adapt an asset to a different use. Capital improvements include the cost or restoration, reconditioning, or replacements that stop deterioration and add to the life of the live racing facility. Improvements to be included in this definition include those made by the licensee at its live racetrack in any area used in support of the live racing event, including but not limited to areas such as the general office, the snack bars, the racing surface, or any vehicles used for maintenance activities.

In the event a licensee expends an amount that exceeds the amount collected for a specific meet, the licensee may petition the Commission to apply the excess to future amounts collected pursuant to Section 12-60-702(1).

All monies collected from unclaimed pari-mutuel wagering tickets for greyhounds pursuant to Section 12-60-702(1) shall be expended for capital improvements within one year of the date the funds reverted to the licensee.

### ***PAYMENT OF PURSES***

**11.340** - Purses shall be released promptly after the results of the saliva, blood, urine or other tests have been analyzed and approved by the Division or its authorized representative unless otherwise provided by these rules. Purses released prior to approval shall be the responsibility of the

association. Any positive test which results in a loss of purse money shall require such purse money be returned to the association for redistribution.

**11.342** - Upon presentation of sufficient documentation, greyhound associations shall make direct payments of purses to the registered owner of the greyhound that has earned the purse.

**11.344** - Greyhound purse funds shall be deposited daily and should equal at least five percent (5%) of the total in-state handle. All greyhound purse funds in the account must be completely distributed weekly. Funds for stake races shall not be deducted from the minimum purse funds.

#### ***400's --- FACILITIES AND EQUIPMENT (HORSE AND GREYHOUND)***

##### ***FACILITIES FOR PATRONS AND LICENSEES***

**11.400** - Associations shall provide adequate office space and accommodations at all live tracks and simulcast facilities so as to enable Division personnel to perform their duties.

**11.402** - During live racing hours, scheduled official workout hours, and special events, all associations and simulcast facilities shall insure that there is a medical response team available within a reasonable distance from the pari-mutuel facility to respond to emergencies. During live racing hours and scheduled official workouts at a horse racetrack, an ambulance shall either be on the premises or within range to effectively respond to an emergency.

**11.404** - Associations may allow telephones in their respective racetrack or simulcast facilities during their meet for the benefit of the public and press for transacting ordinary business during a race program. Transmitting any race information through the use of a telephone or other device at the racetrack or simulcast facility is prohibited unless express authorization is granted by the Commission or Director.

**11.406** - No licensee shall permit, participate in or allow any unapproved or unauthorized pari-mutuel wagering or other gambling activity to occur within any facility or on any race meet approved by the Commission.

##### ***OFFICIALS' STANDS***

**11.410** - No person shall be allowed in the Board's stand unless previous permission is obtained from the Board.

##### ***AUDIO AND VISUAL EQUIPMENT***

**11.420** - Associations shall be required to install an automatic timing device approved by the Commission.

**11.422** - Associations shall install at all racetracks a proper and adequately maintained photo-finish camera which shall be tested before each performance. However, in all cases the camera is merely an aid and the decision of the Board shall be final. The type of equipment used is to be approved by

the Commission. Each association shall keep on file for a period of six months after the close of the meet each digital image or film of each live race for reference or reproduction upon request of the Commission.

**11.424** - In greyhound racing, for ease in recognizing the finish line on television replays at simulcast facilities, the in-state host track shall place a painted fluorescent border around the mirror at the finish line.

**11.426** - Associations must provide direct, adequate communication systems between the starter and the board and other communications systems as deemed advisable by the Commission.

### ***RECEIVING AREA FOR TESTING***

**11.430** - Associations shall provide for a receiving area with sufficient facilities to enable urine or other samples to be collected from animals designated to be tested.

### ***GREYHOUND RACETRACK***

**11.440** - Associations shall take the necessary steps to provide a proper, well lighted, consistent and safe racing strip.

**11.442** - The Division veterinarian and a judge shall walk the racing strip prior to the commencement of the first race to determine whether or not the racing strip is in a safe condition for racing.

**11.444** - Associations must provide and maintain an adequate, enclosed and secured covered post race cool-out area and adequately covered walking area for the use of trainers participating in the meet to insure the health, safety and security of the racing greyhounds.

### ***KENNEL AREA***

**11.450** - Associations may build or provide and properly maintain adequate kennels. Use of these kennels by greyhound owners, trainers and kennels participating in the live race meet is optional. Only one trainer or kennel is to be housed in each kennel unless specifically authorized by the Commission or Director.

**11.452** - Associations must provide an adequate number of crates in the lockout kennels for all greyhounds scheduled to race. All crates in the lockout kennels must be maintained to ensure the safety and security of the racing greyhound.

### ***HORSE RACETRACK***

**11.462** - Associations shall take the necessary steps to provide a proper, well lighted, consistent and safe racing strip.

**11.464** - When the safe condition of the racing surface is in doubt prior to the commencement of the first race, a meeting may be requested in a timely manner by representatives from the Division, the association, the horsemen or the jockeys to determine whether or not the racing surface is in safe condition.

**11.466** - If during the running of a live racing program inclement weather causes a condition where injuries could occur to the horses and/or jockeys, or if the racing strip is in such condition that formful and safe racing cannot be conducted, then the Division veterinarian, stewards and association shall cancel whatever remains of the racing program.

**11.468** - All distance pole-markers shall be painted as follows:

- 1/4 poles.....Red and White
- 1/8 poles.....Green and White
- 1/16 poles.....Black and White

**11.470** - Associations shall provide a properly equipped and manned horse ambulance for the removal of disabled horses from the racetrack.

**STABLE AREA**

**11.480** - Any horse racing at a meet wherein pari-mutuel wagering is conducted must be stabled on the grounds of a racing association licensed by the Commission or at an Off-track Stabling Location or Training Facility meeting the licensing requirements of the Commission. All horses located at an Off-track Stabling Location or Training Facility meeting the licensing requirements of the Commission are subject to the same rules and regulations as if they were stabled on the grounds of a racing a racing association licensed by the Commission. Associations shall provide temporary stabling for horses eligible to race which are brought to the races from approved Off-track Stabling Locations or Training Facilities meeting the Licensing requirements of the Commission.

1. Any horse in training or entered to start stabled on such a farm or training center must be under the care and custody of a licensed owner or trainer. It shall be the responsibility of the trainer to insure that only those persons who, at the time, are licensable by and are in good standing with the Commission are employed in any capacity of caretaker, groom or other attendant with respect to the care, custody and training of such a horse. Nothing in this section shall be deemed to apply to brood mares, foals, weanlings, yearlings, stallions or other horses not in training.
2. The provisions of this Rule shall also apply to the vehicular transportation of any horse from a stabling location outside the State of Colorado to the grounds of a racing association licensed by the Commission to any racing association to start.

**11.481** - The stable area shall become a restricted area twenty-one (21) days before the start of the race meet and for twenty-four hours after the running of the last race on the final race day of the current meet.

1. The association shall provide twenty-four (24) hour security to ensure only authorized personnel and horses are admitted to the stable area.

**11.482** - All stalls and barns on the grounds of a racing association licensed by the Commission must be clearly and properly numbered.

**11.484** - Proper records must be maintained of the arrival and departure of all horses from the stable area on the grounds of a racing association licensed by the Commission.

**11.486** - Associations shall make every reasonable effort to provide stall space to resident Colorado owners.

### ***500's --- GENERAL OPERATIONS (HORSE AND GREYHOUND)***

#### ***DAILY PROGRAM***

**11.500** - Post time for the first race of the day must be advertised in a conspicuous place in the daily racing program.

**11.502** - At least once daily, all official programs sold shall contain the following information: the names of the Commissioners, Director, Board members, Racing and Security Coordinators and Veterinarian for the Division; the Officers and Directors of the Association and the Racing Officials for the meet as set out by Commission and/or Division directive or policy. The following statement shall also be included in the daily program: "This racetrack is licensed by the Colorado Racing Commission and operates under its regulations."

Simulcast locations may conspicuously post the above information in lieu of printing it in the program.

**11.504** - At least once daily, all official programs (or form sheet(s) for out-of-state races) sold shall contain the following information: exact location of the Division of Racing Events office, the period of time and procedures for uncashed tickets and how uncashed tickets can be redeemed. Simulcast locations may conspicuously post the above information in lieu of printing it in the program.

**11.506** - All official programs sold must contain a prominent notice that there are information/complaint windows throughout the live or simulcast racing facility where complaints may be made or filed in writing and the exact locations of these windows must be set forth in the notice.

Simulcast locations may conspicuously post the above information in lieu of printing it in the program.

**11.508** - The program or form sheet must carry not less than the two most recent racing lines or official workouts/schoolings from a racetrack approved by the Commission, and in the case of greyhounds, until six (6) official lines have been recorded the past performance state record shall be carried from the previous track at which the greyhound raced or a notation of where the

greyhound previously raced shall be included. Past racing lines shall appear in date order with the most recent lines appearing at the top.

**11.510** - The program or form sheet must also contain: animal's name; color; sex; age (horse) or date of whelping (greyhound); breeding; established racing weight (greyhound) or rider's name and weight (horse); number of official starts; number of times finishing first, second and third; name of the registered owner(s) and lessee(s) if any; kennel (greyhound); trainer's name; distance of the race; track record; and any other information as will enable the public to properly assess the animal's ability.

**11.512** - Once the official program (or form sheet for out-of-state races) is printed and placed on sale to the public, there will be no changes in the program (or form sheet for out-of-state races) unless an animal is scratched, except the Board in its discretion may correct printer's errors. When the Board in its discretion determines that an error(s) in the official program for in-state races only cannot be corrected over the public address system to the full protection of the wagering public, the animal(s) affected by the error(s) shall be scratched from the race by the Board.

**11.514** - The stable/kennel name shall be carried on the official program with the name of at least one (1) owner; and if the stable/kennel name represents more than one (1) owner, it shall be indicated by the use of the name of one (1) owner and the words "et al."

**11.516** - The weight regulations provided in Chapter 2, as specified by the Commission, shall be printed in the daily program (or form sheet for out-of-state races) only. Should the veterinarian establish a greyhound as a consistent "weight loser" that greyhound shall appear on the program (or form sheet for out-of-state races) with the following letters "(WL)" to be completed after review by the Division.

**11.518** - If an animal's name is changed: its new name shall be registered with the appropriate official registry, and; its new name, together with its former name, shall be published in the official entries and program (or form sheet for out-of-state races) until after the greyhound has started six (6) times or the horse has started three (3) times.

### ***PERFORMANCES (TIP SHEETS)***

**11.520** - Two (2) tip sheets will be permitted at a racetrack and/or simulcast facility, each to be independently handicapped; each handicapper must sign and deliver the sheet at least one (1) hour before post time to a Division representative at the racetrack or simulcast facility.

### ***GENERAL SECURITY***

**11.530** - All security guards employed by the association or simulcast facility and licensed by the Division must present proof of proficiency for the weapon they are carrying or are required to carry by virtue of their employment. Such proficiency must be certified by a qualified firearms instructor and be renewed at least annually.

**11.532** - Members of the Commission and Division representatives in the course of their duties shall have the right to full and complete entry to any and all parts of the racetrack, simulcast facility, farms or kennels/stables at which animals are housed for the purpose of inspecting and examining the personal effects and property of all persons licensed by the Division.

**11.534** - At all times, associations shall maintain and furnish adequate security in and about the racetrack and at all simulcast facilities. The host track shall provide at the live meet twenty-four (24) hour security at the association's kennel compound/stable area and a night watchman at the live racetrack facility while operating live. The security personnel shall be visually identifiable as such.

Associations and simulcast facilities through its employees or security staff shall submit a copy of every written complaint received and shall make written or verbal reports to the Division security staff within twenty-four (24) hours of all incidents, arrests, expulsions, disorderly conduct and investigations coming under their jurisdiction. The reports shall, if possible, include names, addresses and alleged violations or offenses of those involved as well as the action taken by the security personnel.

All written reports shall be submitted to the Division offices at the racetrack or simulcast facility at which the event occurred. If the Division field office is not open during that period, then the report shall be submitted, either by hand delivery or facsimile (FAX), to and received by the Division's main office with forty-eight (48) hours.

**11.536** - Associations are charged with the responsibility of cooperating with local authorities and Division personnel in assuring the good behavior and proper demeanor of all persons in any way connected with the racetrack and/or simulcast facility and the live or simulcast race meet.

**11.537** - Every Association and Simulcast facility shall comply with the current video Standards as adopted by the Commission. Failure to comply with the minimum procedures or standards approved pursuant to this section may result in a fine, penalty, or revocation of license.

Licensees will be provided written notice of any changes to the minimum procedures or standards approved pursuant to this section and will have thirty (30) days, unless otherwise specified, in which to comply with such changes.

**11.538** - The Commission and/or Division or the Board investigating for violations of the Law or these Rules shall have the power to permit persons authorized by either of them to search the person, or enter and search the paddock, lock-out kennels, stables, tack rooms, vehicles, or other places about the racetrack or simulcast facility at which a live or simulcast race meet is held, or other racetracks or places where animals eligible to race at the meet are kept, of all persons licensed by the Commission, and of all employees and agents of any association licensed by the Division, and of all vendors who are permitted by the association to sell and distribute their merchandise about the racetrack or simulcast facility, in order to inspect and examine the personal effects or property of the persons or kept in such paddock, lock-out kennels, stables, tack rooms, vehicles, or other place about the racetrack or any facility or kennels/stables at which animals are housed.

**11.540** - Pursuant to 12-60-507(1)(k), C.R.S., a licensed individual shall not be permitted in the restricted areas of any racetrack if the animals which they were licensed to train or care for or which they own are no longer participating in racing at that racetrack, regardless of whether their license has expired.

**11.541** – The Division shall adopt policies and guidelines for the conduct of licensees while in restricted and secure areas of the racetrack, simulcast facilities, kennel compounds and stable areas. These policies and guidelines governing conduct of licensees should include but are not limited to smoking, drinking, eating, and use of cell phone and pagers while in certain areas of the paddock, test barn, sample collection areas, lock out kennels and on the track surface during post parades.

**11.542** - Associations shall take all due precaution to prevent accidents and fires, especially in the stable area and kennel compound. Associations shall appoint an employee who shall be responsible for enforcing all safety and fire rules and regulations.

**11.544** - The Division shall conduct inspections of racing stables including tackrooms where racing horses are housed to ensure the proper maintenance and operation of such facility. The Division shall ensure the facility provides proper housing, adequate sanitation, fire safety, and controls for the secure and proper racing operations for the human and animal participants. The Commission by policy will establish standards of maintenance and operations and specify those areas of the racing stables to be inspected including but not limited to, tackrooms, shedrows and their immediate surrounding areas. Any failure to comply with the requirements to maintain and operate the facility will be referred to the Board of Stewards, a hearing officer, or the Commission.

:1 - All stable facilities under the jurisdiction of the Division and Commission will be subjected to pre-meet inspection to ensure that they meet the facility standards adopted by the Commission.

:2 - An owner/ trainer must ensure that stable facilities, which house their horses under their care, meet the stable standards adopted by the commission. Stables requiring repairs during a race meet must complete a maintenance request form provided by the association. The association will have ten (10) days to complete the request depending on the situation.

:3 - The stable that an owner/ trainer houses his/ her horses in must meet the facility standards adopted by the commission. An owner/trainer will not be issued or allowed to retain a meet validation if the facilities in which they house his/her horses in fails to meet these standards.

:4 - An owner/ trainer who continues to house their horses in stable facilities that fail to meet the Commission's standards may have his/ her licenses suspended or revoked.

:5 - All stable facilities under the jurisdiction of the Division and Commission shall be subjected to inspections by representatives of the Division/ Commission. Failure to permit inspection may result in administrative license sanctions including fines, license suspension or revocation.

## **STABLE STANDARDS**

:1 - The purpose of the stable facility standards is to provide minimum guidelines to ensure the health, safety and general well-being for the racing horse.

:2 - Housing facilities must be designed and constructed so that they are structurally sound, kept in good repair and protect the animals housed in such facility from injury.

:3 - The association must provide stable housing facilities: at a minimum the facilities must include the following, unless otherwise approved in advance by the commission:

a) Locks to protect against illegal entry into tackrooms including those for medicine cabinets and grain storage areas.

b) Lighting to adequately illuminate all areas of the inside of the stable building and shedrows to permit routine inspection and cleaning of the facilities.

1. Electrical outlets with coverplates, electrical wiring and lights shall be recessed into the walls or surrounding structures or otherwise to protect against damage by or to the horses.

2. Electrical devices in use shall be connected directly to an electrical outlet and/ or one device connected to an extension cord placed in a safe location.

c) Shedrows and suitable stall flooring shall be free of potholes and ruts.

1. Bedding must be adequate to absorb moisture from manure and urine and provide proper cushioning.

2. All manure shall be removed from each stall daily and bedding replaced as needed. horses should not have access to manure storage areas because of the danger to exposure to gastrointestinal parasites.

d) All stable buildings shall have easily accessible outside water faucets with a minimum of one faucet for every ten (10) stalls.

e) All stable buildings must have adequate stall space with available solid doors.

1. Minimum stall dimensions shall be ten (10) feet by ten (10) feet and five (5) feet by nine (9) feet respectively.

2. Stall door dimensions should be approximately four (4) feet wide.

3. Each stall shall have solid walls a minimum of five (5) feet high with open guard rails spaced at least four (4) inches apart to aid in ventilation.
  4. Minimum ceiling height should be at least ten (10) feet high.
  5. Hayracks should be at least four (4) feet above the stall floor.
  6. Each stable building must have at least two (2) fire extinguishers or one for every ten (10) stalls, which are in working order.
- f) Food and bedding storage shall be in a manner that protects them from the elements.
1. Food supplies must be stored off the ground.
  2. All open grain, supplements and like products must be kept in leak proof containers.
- g) All outer perimeter fences for stables and open exercise areas shall be a minimum of seven (7) feet high. materials used for fencing shall be such that the health and safety of the horse are not endangered.
1. The track and/or exercise areas must have a large enough gate for a vehicle to drive through for easy access to conduct maintenance routines.
- h) Shedrows must allow for complete unobstructed passage for both horses and humans with adequate space for walkers. parking restrictions will be set forth by the association.
1. Stable and immediate surrounding areas must be free of sharp or protruding objects and shall be kept clean and reasonably uncluttered at all times with weeds not exceeding six (6) inches in height.
  2. Rakes and pitchforks and other implements must be safely stored to protect against damage by or to the horse.
  3. Drainage in shedrows and surrounding areas shall be adequate to prevent standing water and impassable areas of mud.
  4. Driveways shall be constructed of concrete and/or asphalt or asphalt base material.

- i) Each stable building not in compliance as of January 1, 2002, shall submit a plan to the division that will bring the facility into compliance by December 31, 2004. Said plan must include an equal work plan for each successive year.

### ***GREYHOUND SECURITY***

**11.550** - Associations shall not extend invitations nor select kennels to enter greyhounds when the persons owning or involved in such kennels would be excludable under these Rules or Law.

**11.552** - An association during the course of its meet may not race a greyhound housed in an unlicensed and unapproved kennel; or one which trains at a disapproved training track or receives its food supply from a disapproved supplier.

**11.554** - Only licensed owners, concession operators, trainers, assistant trainers, kennel helpers, veterinarians, officials at the racetrack, Division staff, and members of the Commission shall be permitted in and about any restricted kennel enclosures or farms or kennels at which racing animals are housed.

**11.556** - The Commission and/or Division reserves the right to disapprove any persons or business firms who deal in raw greyhound food or greyhound food additives or supplements used by racing greyhounds if the Commission finds that the source of greyhound food products their handling thereof, method of delivery, and security in relation thereto are unsatisfactory. If greyhound food products are delivered to the kennels the Commission reserves similar rights with regard to the person(s) making the delivery. If the person or business firms should be disapproved by the Commission and any licensed greyhound owner or trainer uses the source of greyhound food they may have their greyhounds scratched.

**11.558** - The following rules will apply to all kennels during the period of thirty (30) days before and one (1) day after a meet at which the greyhounds are competing:

:1 - All kennel facilities under the jurisdiction of the Division and Commission will be subjected to pre-meet inspection to ensure that they meet the facility standards adopted by the Commission.

:2 - An owner/ trainer must ensure that kennel facilities, which house the greyhounds under their care, meet the kennel standards adopted by the Commission. Kennels requiring repairs during a race meet must complete a maintenance request form provided by the association. the association will have ten (10) days to complete the request depending on the situation.

:3 - The kennel that an owner/ trainer houses his/ her greyhounds in must meet the facility standards adopted by the Commission. An owner/trainer will not be issued, or allowed to retain, a meet validation if the facilities in which his/her greyhounds are housed, fails to meet these standards.

:4 - An owner/ trainer who continues to house their greyhounds in kennel facilities that fail to meet the Commission's standards may have his/ her licenses suspended or revoked.

:5 - All kennel facilities under the jurisdiction of the Division and Commission shall be subjected to inspections by representatives of the Division/ Commission. Failure to permit inspection may result in administrative license sanctions including fines, license suspension or revocation.

### **KENNEL STANDARDS**

:1 - The purpose of the kennel facility standards is to provide minimum guidelines to ensure the health, safety, and general well-being for the racing greyhound.

:2 - Housing facilities must be designed and constructed so that they are structurally sound, kept in good repair and protect the animals housed in such facilities from injury. The association and/or public housing kennel facilities, which shall mean any facility where other greyhounds besides those owned or trained by the owner of the facility are kenneled, must include the following, unless otherwise approved in advance by the Commission. These standards will also apply to a kennel located on a farm housing racing greyhounds whether or not these racing greyhounds are currently active.

- a) Locks to protect against illegal entry into a kennel including those for medicine cabinets and grain storage areas. When kennels are unattended by authorized personnel, all doors must be locked, including medicine cabinets and food refrigerators.
- b) Climate control system to regulate temperature between 68 degrees and 85 degrees.
  1. Each building in addition shall have windows that can be opened with screens and screen doors.
- c) A trainer must thoroughly inspect the housing kennels before leaving the kennel and immediately upon return to determine if unauthorized persons have entered the kennel.
- d) Before a trainer uses run-out pens these pens must be inspected for any foreign matter or for any signs of unauthorized entry.
- e) Should an unauthorized entry into a kennel be detected, a report of the entry shall immediately be made to the Division so that an investigation may be initiated and samples may be taken from all greyhounds before they are permitted to race.
- f) Housing kennel areas must be equipped with sufficient lighting.

1. Electrical outlets with coverplates, electrical wiring and lights shall be recessed into the walls or surrounding structures or otherwise to protect against damage by or to the greyhound.
  2. Electrical devices in use shall be connected directly to an electrical outlet and/ or one device connected to an extension cord placed not more than 50 feet long.
- g) All outer perimeter fences for kennels and open exercise areas constructed or reconstructed after July 1, 1998 shall be a minimum of 5' high. All run-out pens, enclosure gates and open exercise area gates are to be fitted with ample locking devices. All run-out pen fences shall be a minimum of 5' high. Materials used for fencing shall be such that the health and safety of the greyhounds are not endangered. Fences must be maintained in satisfactory repair. Fences must have Division approval with regard to the standards set forth in these Rules.
1. Each kennel building shall have adequate turnout pens a minimum of 1000 square feet with interconnecting gates.
  2. Each turnout pen shall have a minimum of three (3) to four (4) inches of sand with a base that has been routinely aerated to provide an adequate drainage system to minimize areas of standing water.
  3. Each turn out pen must have a gate a minimum of 36 inches wide for easy access to conduct maintenance routines.
  4. Kennels and immediate surrounding area shall be free of sharp or protruding objects and kept clean and reasonably uncluttered at all times. All manure must be picked up and removed from the kennel area daily. Manure buckets must have lids, and the lids kept over manure buckets except when in use.
- h) Floors impervious to moisture and easily cleanable waterproof walls high enough to clean around the crates and kitchens with splashguards.
- i) Partitioned kitchens and crate areas with hot and cold running water and easily accessible outside water faucets.
- j) All housing kennels must have at least two (2) fire extinguishers, which are in working order. In addition, temperature detectors, and alarm with outside warning lights must be attached to each building.
- k) Maximum of 60 crates in each kennel building with crates located on the ground level if possible.

1. Crates should be constructed of metal or fiberglass with removable wood floors and castors, for easier moving to clean under and behind such structures.
  2. Minimum crate dimensions shall be 29 inches by 40 inches by 29 inches.
  3. Only one greyhound per inside crate will be allowed.
- l) Food and bedding storage shall be in a manner that protects from spoilage, contamination and vermin infestation.
1. The food and bedding supplies must be stored off the floor and away from walls for easy cleaning around the area.
  2. Food requiring refrigeration must be stored accordingly to prevent contamination and deterioration.
  3. All open food and bedding supplies must be kept in leak proof containers.
- m) All openings must be screened with ordinary fly screen and covered with mesh wire.
- n) Each kennel building not in compliance as of January 1, 2002, shall submit a plan to the Division that will bring the facility into compliance by December 31, 2004. Said plan must include an equal work plan for each successive year.

**11.560** - Public housing kennel facilities constructed after March 1, 1980 shall be subject to the following additional requirements. Further, any public housing kennel facility which changes ownership after March 1, 1980 will be subject to these same provisions unless otherwise approved by the Division.

:1 - Public housing kennel facilities shall mean any facility where other greyhounds besides those owned or trained by the owner of the facility are kenneled.

:2 - Associations shall maintain and furnish adequate security services twenty-four hours a day at the host track's kennel compound during the live meet.

**11.562** - Each individual kennel incorporated in trucks and trailers delivering racing greyhounds to and from the racetrack will not stop between the housing kennel and the racetrack unnecessarily. Vehicles being used to transport racing greyhounds will not be allowed to transport any person that has been ruled off or is under suspension by any racing jurisdiction.

## ***HORSE SECURITY***

**11.570** - All stable enclosures must be properly fenced and admission granted only to persons showing proper credentials.

**11.572** - Associations shall establish regulations which will designate those persons who shall be within the stable enclosure.

**11.574** - Associations shall exercise strict supervision over the stable area “kitchen” and any place in the stable area where food or drink is dispensed.

**11.576** - A horse shall not be ordered off the grounds without at least seventy-two (72) hours notice to the person in charge of the horse. Compliance is not necessary when a notice of less than seventy-two (72) hours is given. Failure to remove a horse shall subject the owner and/or trainer to suspension and/or a fine, or both. (Subject to Chapter 5, Section 5.224 and orders of the State Veterinarian or the Department of Agriculture.)

**11.578** - Animals sold to any person or stable not registered for racing must be removed from the grounds within seventy-two (72) hours unless approved by the Board.

## ***600's --- MUTUEL DEPARTMENT OPERATIONS***

*[See Chapter 12 – Pari-Mutuel Wagering]*