

CC-B: CAPITAL CONSTRUCTION REQUEST FOR FY 2006-07 - SUPPLEMENTAL

| | | | | | | | |
|--|---------------------|---|------------------------------|---|--|----------------|----------------|
| Project Title: Ekeley Middle Wing Renovation | | State Controller Project No: P0802 | | Name and Email of Preparer: Daniel Krug dan.krug@dhe.state.co.us | | | |
| Project Year(s): FY 2007 to present | | Signature of Department or Institution Approval: <i>[Signature]</i> | | Date: 7-May-10 | | | |
| Agency or Institution: CDHE: University of Colorado - Boulder | | Signature CCHE Approval: <i>[Signature]</i> | | Date: 1-Jun-10 | | | |
| Agency or Institution Priority Number: | | Signature OSPB Approval: <i>[Signature]</i> | | Date: 6-7-10 | | | |
| Revision? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, last submission date: _____ | Total Project Costs | Prior- Year Appropriation(s) Before FY 2007-08 | FY 2007-08 Appropriation [A] | Current Incremental Request FY 2007-08 [B] | New Total FY 2007-08 if Request Approved [A+B] | Year 2 Request | Year 3 Request |
| A. Land Acquisition | | | | | | | |
| (1) Land /Building | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| B. Professional Services | | | | | | | |
| (1) Master Plan/PP | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Site Surveys, Investigations, Reports | \$281,317 | \$0 | \$281,317 | \$0 | \$281,317 | \$0 | \$0 |
| (3) Architectural/Engineering/Basic Services | \$1,048,520 | \$0 | \$1,200,343 | (\$151,823) | \$1,048,520 | \$0 | \$0 |
| (4) Code Review/Inspection | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Construction | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (6) Advertisements | \$5,000 | \$0 | \$5,000 | \$0 | \$5,000 | \$0 | \$0 |
| (7) Inflation for Professional Services | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (8) Other | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (9) Total Professional Services | \$1,334,837 | \$0 | \$1,486,660 | (\$151,823) | \$1,334,837 | \$0 | \$0 |
| C. Construction or Improvement | | | | | | | |
| (1) Infrastructure | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (a) Service/Utilities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (b) Site Improvements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Structure/Systems/Components | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (a) New (GSF): | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| New \$ _____ /GSF | | | | | | | |
| (b) Renovate GSF: | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Renovate | | | | | | | |
| (3) Other (Specify) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) High Performance Certification Program | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Inflation for Construction | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (6) Total Construction Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| D. Equipment and Furnishings | | | | | | | |
| (1) Equipment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Furnishings | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Communications | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) Inflation on Equipment and Furnishings | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (5) Total Equipment and Furnishings Cost | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| E. Miscellaneous | | | | | | | |
| (1) Art in Public Places =1% of Total Construction Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Building Maintenance Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Relocation Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) Other Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Total Misc. Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| F. Total Project Costs | \$1,334,837 | \$0 | \$1,486,660 | (\$151,823) | \$1,334,837 | \$0 | \$0 |
| G. Project Contingency | | | | | | | |
| (1) 5% for New | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) 10% for Renovation | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Total Contingency | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| H. Total Budget Request [F+G(3)] | \$1,334,837 | \$0 | \$1,486,660 | (\$151,823) | \$1,334,837 | \$0 | \$0 |
| I. Source of Funds | | | | | | | |
| CCF | \$1,201,352 | \$0 | \$1,201,352 | \$0 | \$1,201,352 | \$0 | \$0 |
| CF | \$133,485 | \$0 | \$285,308 | (\$151,823) | \$133,485 | \$0 | \$0 |
| RF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| FF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

Out Year Requests should be submitted on the FY 2010-11 form

CC-B: CAPITAL CONSTRUCTION REQUEST FOR FY 2008-09 - SUPPLEMENTAL

| | | | | | | | |
|---|---------------------|---|------------------------------|---|--|----------------|----------------|
| Project Title: Ekeley Middle Wing Renovation | | State Controller Project No. P0802 | | Name and Email of Preparer: Daniel Krug dan.krug@dhe.state.co.us | | | |
| Project Year(s): FY 2007 to present | | Signature of Department or Institution Approval: <i>[Signature]</i> | | Date: 7-May-10 | | | |
| Agency or Institution: CDHE: University of Colorado - Boulder | | Signature CCHE Approval: <i>[Signature]</i> | | Date: 1-Jun-10 | | | |
| Agency or Institution Priority Number: | | Signature OSPB Approval: <i>[Signature]</i> | | Date: 6-7-10 | | | |
| Revision? Yes No If yes, last submission date: _____ | Total Project Costs | Prior-Year Appropriation(s) Before FY 2008-09 | FY 2008-09 Appropriation [A] | Current Incremental Request FY 2008-09 [B] | New Total FY 2008-09 If Request Approved [A+B] | Year 2 Request | Year 3 Request |
| A. Land Acquisition | | | | | | | |
| (1) Land /Building | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| B. Professional Services | | | | | | | |
| (1) Master Plan/PP | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Site Surveys, Investigations, Reports | \$281,317 | \$281,317 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Architectural/Engineering/Basic Services | \$1,048,520 | \$1,048,520 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) Code Review/Inspection | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Construction | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (6) Advertisements | \$5,000 | \$5,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (7) Inflation for Professional Services | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (8) Other | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (9) Total Professional Services | \$1,334,837 | \$1,334,837 | \$0 | \$0 | \$0 | \$0 | \$0 |
| C. Construction or Improvement | | | | | | | |
| (1) Infrastructure | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (a) Service/Utilities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (b) Site Improvements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Structure/Systems/Components | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (a) New (GSF): | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| New \$ /GSF | | | | | | | |
| (b) Renovate GSF: | \$0 | \$0 | \$1,284,396 | (\$1,284,396) | \$0 | \$0 | \$0 |
| Renovate | | | | | | | |
| (3) Other (Specify) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) High Performance Certification Program | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Inflation for Construction | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (6) Total Construction Costs | \$0 | \$0 | \$1,284,396 | (\$1,284,396) | \$0 | \$0 | \$0 |
| D. Equipment and Furnishings | | | | | | | |
| (1) Equipment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Furnishings | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Communications | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) Inflation on Equipment and Furnishings | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Inflation Percentage Applied | | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| (5) Total Equipment and Furnishings Cost | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| E. Miscellaneous | | | | | | | |
| (1) Art in Public Places =1% of Total Construction Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) Building Maintenance Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Relocation Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (4) Other Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (5) Total Misc. Costs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| F. Total Project Costs | \$1,334,837 | \$1,334,837 | \$1,284,396 | (\$1,284,396) | \$0 | \$0 | \$0 |
| G. Project Contingency | | | | | | | |
| (1) 5% for New | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (2) 10% for Renovation | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (3) Total Contingency | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| H. Total Budget Request [F+G(3)] | \$1,334,837 | \$1,334,837 | \$1,284,396 | (\$1,284,396) | \$0 | \$0 | \$0 |
| I. Source of Funds | | | | | | | |
| CCF | \$1,201,352 | \$1,201,352 | \$0 | \$0 | \$0 | \$0 | \$0 |
| CF | \$133,485 | \$133,485 | \$1,284,396 | (\$1,284,396) | \$0 | \$0 | \$0 |
| RF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| FF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

Out Year Requests should be submitted on the FY 2010-11 form

CC-B: CAPITAL CONSTRUCTION REQUEST
FY 2009-10 Supplemental for the
FY 2010-11 Budget Cycle

| 1. SUMMARY INFORMATION | Complete Every Row in this Column |
|---|---|
| a. Agency or Institution Name: | University of Colorado at Boulder |
| b. Project Name: | Ekeley Sciences Middle Wing Renovation |
| c. State Controller Project Number: | P0802 |
| d. Project's Year (1, 2, etc.): | 1 of 2 |
| e. Date Sent to DHE: | May 2010 |
| f. Date Sent to OSPB: | June 2010 |
| g. Date Sent to CDC with copy to JBC: | June 2010 |
| h. Date of Project's Most Recent Program Plan: | April 4, 2001 |
| i. Date of Governing Board Approval (for institutions of higher education): | June 21, 2001 |
| j. Request 6-month encumbrance waiver? | No |
| k. Anticipated Project Completion Date: | Fall 2012 |
| l. Purpose Code | E 1 – Continuation projects, final phase |
| m. New construction or modification? | Modification |
| n. Total Square Footage | 15,600 ASF 21,660 GSF |
| o. Cost per Square Foot (using construction cost per section C of CC-C form and GSF) | \$457 |

| 2. TYPE OF REQUEST | “X” <u>All</u> that Apply | Instructions |
|---|------------------------------|--|
| a. State-funded Project – Higher Education | X | Requires CDHE then OSPB approval before submission to CDC and JBC. Use CC-C Excel Form and CC-C Word form. |
| b. State-funded Project – Non Higher Education | | Requires OSPB approval only before submission to CDC and JBC. Use CC-C Excel Form and CC-C Word form. |
| c. 100% Cash Funded Project for higher education institution participating in the Intercept Program | | Requires CDHE approval only before submission to CDC. Use CC-C Excel Form and CC-C Word form. |
| d. Under 100% Cash-Funded Project – Higher Education | | Requires CDHE then OSPB approval before submission to CDC and JBC. Use CC-C Excel Form and CC-C Word form. |
| e. Cash-Funded Project – Non Higher Education | | Requires OSPB approval only before submission to CDC and JBC. Use CC-C Excel Form and CC-C Word form. |
| f. Federally Funded Project | | Requires CDHE (if Higher Ed) then OSPB approval before submission to CDC and JBC. Use CC-C Excel Form and Word form. |
| g. IT Project | | Use CC-IT Excel form and CC-C Word form. Non Higher Ed agencies must approve with OIT before submission to OSPB. |

| 3. CRITERIA FOR FY 2009-10 PROJECT | “X” Applicable Item(s) | Describe How Criterion is Met for Marked Items |
|--|---------------------------------------|---|
| a. 100% Cash or Federally Funded Project | | |
| b. Urgent AND Critical Life / Safety Project | | |
| c. Technical Correction | X | Need reduced spending authority |
| d. Statutorily required COP payment for capital construction | | |
| e. Project requires CDHE approval for program review but does not meet FY 2009-10 supplemental criteria for submission to the CDC. | | |

| 4. BRIEF SUMMARY OF FY 2009-10 SUPPLEMENTAL CAPITAL PROJECT | Enter summary below, this column |
|---|--|
| State exactly what is requested, why, for how much, over what period of time. | The CU-Boulder campus is requesting that the spending authority provided for this project be reduced to \$133,485 to allow proportional spending on the project of 90% CCF to 10% CF as originally approved by the Board of Regents. |

| 5. OBJECTIVES | Enter summary below, this column |
|---|--|
| List key objectives of this year’s request - detailed | Reduce spending authority to complete the physical planning phase and disperse state funds as earmarked for the project. |

| 6. FY 2009-10 SPECIFIC TIMETABLE: | | |
|---|----------------------|---------------------------|
| Delinate the steps that will be taken in FY 2009-10 to complete this project or this phase of the project. | | |
| Steps to be Completed | Start Date(s) | Completion Date(s) |
| Construction | June 2011 | Sept 2012 |
| Equipment | Aug 2012 | Sept 2012 |
| Occupancy | Sept 2012 | Oct 2012 |

| 7. IMPACT | Enter summary below, this column |
|--|---|
| a. Describe actual impact to program if this year's project is not funded | <p>State funds available to pay for the design of the project are not currently accessible. Because of state proportional spending requirements, the campus will incur a higher than anticipated cash obligation for this project if cash spending authority is not reduced to \$133,485.</p> <p>Design for the project was funded in two legislative sessions. Funding included state and cash funds. Some state funds were de-appropriated in SB09-280, but cash spending authority was not rescinded as necessary to maintain consistency with the 90% CCF to 10% CF financial plan for the project as intended by the Board of Regents.</p> <p>The campus wishes to utilize all of its remaining, available state appropriation for design. In order to access these state funds, cash spending authority needs to be reduced to \$133,485.</p> |
| b. Describe how this project will affect State operating expenditures, including dollars and FTE for each project component. | NA |
| c. Describe consistency with Agency or Institutional Master Plan and 5-Year Capital Improvement Plan Schedule, explain variances | This project is consistent with the <i>2030 Strategic Plan</i> and the <i>2001 Campus Master Plan</i> and <i>Five-Year Capital Improvement Program</i> . |

| 8. JUSTIFICATION | Enter summary below, this column |
|--|---|
| Fully justify and defend this request. This will be the most lengthy section of the request. Include all necessary detail and specific scope of work. Describe how much space is needed, what types of rooms or equipment are included in the request and why, and illustrate where on campus the project will be executed. Explain what is wrong with the current situation and why a new or different building or capital expenditure is needed. Focus more on why the current facilities are insufficient, less on why the current programs are driving change. | <p>The Ekeley Middle Wing Renovation project was conceived of and approved by the CU Board of Regents with a 90% CCF, 10% CF funding plan.</p> <p>State funds were appropriated for the project and cash spending authority granted during two separate legislative sessions. State funds were later de-appropriated, but cash spending authority remained unchanged.</p> <p>The campus proceeded into design and encumbered both state and cash funds.</p> <p>The State Controller's office is requiring proportional spending for design of the project based upon \$1,201,352 CCF and \$1,569,704 CF which does not reflect the original intent of financial planning for the project. This unintentionally increased the university's funding support of the project from 10% to almost 60%. The campus is, therefore, requesting a reduction in cash spending authority from \$1,559,704 CF to \$133,485 CF so that the remaining state funds earmarked for the project can be used to meet obligations.</p> |

| 9. CALCULATIONS | Describe how the numbers on the CC-C Excel form were calculated; describe in this column, FY 2009-10 only. Out years will be requested separately |
|---|--|
| Assumptions and calculations for land purchase | NA |
| Assumptions and calculations for professional services | The estimated budget costs have been revised to show actual costs after design. These changes were made in accordance with current statutes and processes outlined by the Office of the State Architect. |
| Assumptions and calculations for construction | Work on the project has not proceeded after design |
| Provide list of equipment and furnishings to total on CC-C Excel form | NA |
| Art in public places: describe what portions of project apply and calculation used. The calculation should apply only to appropriated State funds (typically CCF) | NA |
| Discuss all inflation assumptions, as delineated on the CC-C form, by year and by component (professional services, construction or improvement, and equipment and furnishings) | NA |
| Discuss LEED cost assumptions | NA |
| Describe the assumptions for the Building Maintenance Fund | NA |
| Other | |
| Other | |
| Other | |

| 10. CASH FUND PROJECTION | | | |
|---|---|--|---|
| Does request include cash funds? | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No | (If no, proceed to question #11) |
| If the project is being financed, describe the terms of the bond, including the length of the bond, the expected interest rate, when the agency plans to go to market, and the expected average annual payment. | <input checked="" type="checkbox"/> N/A The project is not being financed | | |
| Cash Fund Sources Lists (list all separately; projected balances must account for other obligations) | Actual FY 2008-09 Cash Fund Balance | Current Fund Balance FY 2009-10 | Projected FY 2009-10 Cash Fund Balance |
| a. Fund Number: _____ | | | |
| Cash Funds | \$ | \$ | \$ |
| Described how revenue accrues to the fund | | | |
| Describe other obligations and encumbrances to the fund | | | |
| b. Fund Number: _____ | | | |
| Cash Funds | \$ | \$ | \$ |
| Described how revenue accrues to the fund | | | |
| Describe other obligations and encumbrances to the fund | | | |

11. PROGRAM PLAN

| | |
|--|---|
| Describe any changes to this project on the Program Plan, Master Plan, or Five Year Plan since its submission to the Capital Development Committee | <input checked="" type="checkbox"/> No changes <input type="checkbox"/> Changes are described below |
|--|---|

12. SUPPLEMENTAL DEFENSE

| | |
|--|--|
| a. Does this request require revision of a prior appropriation? | <input checked="" type="checkbox"/> Yes. Describe. A reduction of cash spending authority granted in SB07-263 to \$0 and that granted in HB08-1375 to \$133,485. |
| b. Why is it necessary to have the appropriation prior to the Long Bill? | |
| c. If this is a 1331, describe the emergency nature of the request. | <input type="checkbox"/> Not a 1331 <input checked="" type="checkbox"/> Yes, this is a 1331. Describe. Remaining state funds earmarked for this project have been encumbered and are due for distribution to design consultants. |